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## Recent Advances in Radiation Transfer Parametrizations

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#### Abstract

This paper discusses several recent advances in radiation transfer (RT) parametrizations for the ECMWF model and illustrates the improvements brought to the forecasts at different time-scales. It also presents preliminary results of the ECMWF IFS including prognostic aerosols developed through the GEMS project.

First, it briefly reviews the history of radiative transfer parametrization in the ECMWF forecasting system. It then describes the new radiation package (McRad) recently implemented in CY32R2. McRad includes an improved description of the land surface albedo from MODIS observations, the Monte-Carlo Independent Column Approximation treatment of the radiation transfer in clouds, and the *RRTM* short-wave scheme.

The impact of McRad on year-long simulations at  $T_L$ 159L91 and higher-resolution ten-day forecasts is then documented. McRad is shown to benefit the representation of most parameters over both short and longer time-scales, relative to the previous operational version of the RT schemes. At all resolutions, McRad improves the representation of the cloud-radiation interactions, particularly in the tropical regions, with improved temperature and wind objective scores. While smaller, the improvement is also seen in the r.m.s. error of geopotential in the Northern and Southern hemispheres and over Europe.

Given the importance of the cloudiness in modulating the radiative fluxes, the sensitivity of the model to cloud overlap assumption (COA) is also addressed, with emphasis on the flexibility inherent to this new RT approach when dealing with COA.

The sensitivity of the forecasts to the space interpolation required to deal efficiently with the high computational cost of the RT parametrization is also revisited. A reduction of the radiation grid for the EPS (Ensemble Prediction System) is shown to be of little impact on the scores while reducing the computational cost of the radiation computations.

McRad is also shown to decrease the cold bias in ocean surface temperature in climate integrations with a coupled ocean system.

Perspectives are finally drawn looking at a future when the ECMWF model might include the full coupling of aerosols with model clouds and radiative processes.

## 1. Introduction

While it had always been recognized that an accurate representation of the radiation transfer is a precondition for a good climate simulation, a similar requirement for weather forecasts was thought, in the 70s, to be a luxury, given the long time scale generally ascribed to radiative processes at the time. Table 1 gives the timeline of the major changes affecting the representation of the radiation transfer in the ECMWF model over the last twenty years. ECMWF, with its ten-day forecasts, was from its inception, one of the very first weather forecast centres where emphasis was put on having a reasonably accurate radiation transfer (RT) parametrization, interactive with humidity and cloudiness (Geleyn, 1977; Geleyn and Hollingsworth, 1979).

Even if by today's standards, these first versions of the ECMWF radiation codes were not free from systematic errors, they already provided interactivity with the temperature, water vapour and then a few years later with the distribution of the fractional cover and optical thickness of clouds provided by the diagnostic cloud scheme (Slingo, 1987). These first versions served their purpose with a fair description of the Equator-Pole gradient in the deposition of radiative energy and of the vertical distribution of the total radiative heating.

At the end of the '80s, the Intercomparison of Radiation Codes for Climate Models (ICRCCM, 1991) was the first opportunity to compare in a systematic way results of GCM-type radiation schemes with line-by-line (LbL) models of the infrared radiation transfer and to document their successes and failures. A more extensive description of the characteristics of the early ECMWF schemes can be found in Morcrette (1991) together with a description of the RT schemes, originally developed at the University of Lille, which replaced these early schemes in May 1989. This replacement followed an assessment of the systematic errors in the forecast model linked to the representation of the radiative processes provided by these early schemes (Morcrette, 1990). In the following years, cloud optical properties were revised following the availability of new parametrizations (Morcrette, 1993).

At the end of the '90s, following developments in line-by-line RT models and the emergence of much more accurate measurements of the surface radiation fields and of the temperature and water vapour profiles (mainly as part of the Atmospheric Radiation Measurement program of the U.S. Department of Energy, ARM, but also of dedicated surface radiation network: SURFRAD in the U.S.A., Baseline Surface Radiation Network, BSRN), it became possible to validate the clear sky radiation fields computed by a GCM-type RT scheme to within a few  $Wm^{-2}$  in the long-wave and to within 10-15  $Wm^{-2}$  in the short-wave part of the spectrum.

In 2000, RRTM, the long-wave RT scheme (Mlawer et al., 1997) developed at AER, Inc., from the LBLRTM (line-by-line RT model: Clough et al., 1992; Clough and Iacono, 1995) was adapted to the ECMWF computer environment, extensively tested (Morcrette et al., 1998) and adopted as the operational long-wave RT scheme (Morcrette et al., 2001). In parallel, following comparisons with some of the surface observations discussed above (Morcrette, 2002a, 2002b), revisions were made to the short-wave radiation scheme (extended from 2 to 4 spectral intervals in June 2000, then to 6 spectral intervals in April 2002).

Despite the improvements brought to the representation of the clear sky radiative fluxes by these revised/new schemes, the handling of cloudiness had kept following an approach originally introduced twenty years earlier by Geleyn and Hollingsworth (1979). Various sensitivity studies (e.g., Morcrette and Fouquart, 1986; Morcrette and Jakob, 2000) had shown the huge impact that a change in cloud overlap assumption (COA) usually brings to the instantaneous radiative fluxes at the boundaries of the atmosphere and radiative heating rate profiles. Also, ground-based cloud radar measurements at a mid-latitude location (Hogan and Illingworth, 2000, 2003) were showing that the maximum-random COA generally used in GCM-type RT schemes did not provide enough decorrelation even for cloud layers distributed continuously over the vertical. Such measurements, repeated at other locations as part of the ARM program, confirmed these early conclusions.

Unfortunately, the GCM-type RT schemes prevalent at the time could not easily be made flexible enough to

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accommodate such observationally-based cloud overlap distributions. This deficiency, together with concern about the role of the spatial inhomogeneity in the distribution of the condensed water within a layer (first addressed by Cahalan et al., 1994, then by a number of authors among them Barker et al., 1999, Barker et al., 2003), and the regular revision of the spectroscopic database were the background for the adoption of a new approach to radiation transfer.

Cycle	Date of implementation	Description	
SPM 32	02/05/1989	RT schemes from Univ.Lille	
SPM 46	01/02/1993	Optical properties for ice and mixed phase clouds	
IFS 14R3	13/02/1996	Revised LW and SW absorption coefficients from HITRAN'92	
IFS 16R2	15/05/1997	Voigt profile in long-wave RT scheme	
IFS 16R4	27/08/1997	Revised ocean albedo from ERBE	
IFS 18R3	16/12/1997	Revised LW and SW absorption coefficients from HITRAN'96	
IFS 18R5	01/04/1998	Seasonal land albedo from ERBE	
IFS 22R3	27/06/2000	<i>RRTM<sub>LW</sub></i> as long-wave RT scheme	
		short-wave RT scheme with 4 spectral intervals	
IFS 23R4	12/06/2001	Hourly, instead of 3-hourly, calls to RT code during data assimilation cycle	
IFS 25R1	09/04/2002	Short-wave RT scheme with 6 spectral intervals	
IFS 26R3	07/10/2003	New aerosol climatology adapted from Tegen et al. (1997)	
IFS 28R3	28/09/2004	Radiation called hourly in high resolution forecasts	
IFS 32R2	05/06/2007	McICA approach to RT with $RRTM_{LW}$ and $RRTM_{SW}$	
		revised cloud optical properties, MODIS-derived land albedo	

Table 1: Major changes in the representation of radiation transfer in the ECMWF forecasting system.

## 2. Impact of a new radiation package, McRad, in the ECMWF Integrated Forecast System

#### a. What is McRad?

As part of the modifications to create the CY32R2 model library that became operational on 5 June 2007, the radiation transfer package was modified along three lines (Morcrette et al., 2007a):

- the spectrally flat land surface albedo derived from ERBE satellite measurements was replaced by a land surface albedo with four components derived from MODIS satellite measurements: albedo for direct and diffuse radiation given for the two spectral intervals on both sides of 0.7  $\mu m$ ;

- the radiation transfer in clouds is now treated following the Monte-Carlo Independent Column Approximation (McICA);

- the short-wave radiation scheme is now based on the Rapid Radiation Transfer Model (RRTM), originally developed by Mlawer and Clough (1997), making it fully consistent with the RRTM long-wave code, operational at ECMWF since June 2000.

#### b. A climatology of land surface albedo derived from MODIS observations

A new climatology of land surface albedo has been introduced in the IFS to be used as boundary conditions in shortwave flux computations. Apart from being derived from more recent and more spatially detailed satellite observations than the previously operational land surface albedo derived from ERBE observations (Sellers et al., 1996), this MODIS albedo will be consistent with the MODIS-derived surface reflectances that will be used when computing synthetic MODIS radiances for aerosol analysis as part of the GEMS-AERosol.

This new climatology was derived from the 2001-2004 datasets produced by Boston University (Schaaf et al, 2002), with processing over 16 day periods of the 1km spatial resolution MODIS observations. The wide-band albedo, given for direct and diffuse radiation in both the UV-visible and near-infrared parts of the shortwave spectrum replaces the monthly mean spectrally flat albedo previously derived from ERBE observations. Figure 1 presents for the month of April the UV-visible (0.3-0.7  $\mu$ m), near-infrared (0.7-5.0  $\mu$ m) components of the short-wave albedo derived from MODIS. Figure 2 compares the previous operational spectrally flat (0.3-5.0  $\mu$ m) land surface albedo derived from ERBE observations with the equivalent surface albedo obtained from the ratio of the upward over downward short-wave fluxes computed with the new albedo.

	OLR	ASW	LWCF	SWCF	TP
Observ.	-239	244	27.3	-48.7	2.61
Rad ERBE	-8.1 (12.7)	-10.0 (17.5)	-9.6 (13.6)	-5.2 (15.4)	0.45 (1.39)
Rad MODIS	-8.4 (12.8)	-10.2 (17.0)	-9.8 (13.8)	-5.3 (15.1)	0.42 (1.30)
McRad ERBE	-3.4 (8.3)	-6.3 (14.7)	-4.2 (8.2)	-0.0 (13.1)	0.42 (1.30)
McRad MODIS	-3.2 (7.9)	-5.8 (14.2)	-4.0 (7.9)	-0.2 (12.9)	0.40 (1.21)

Table 2: Annual means from 13-month cycle 31R1 simulations (first month is discarded) at  $T_L$ 159L91 with the ERBE- and MODIS-derived land surface albedos. Radiative fluxes at the top of the atmosphere (TOA) are compared to CERES measurements: OLR is the outgoing long-wave radiation, ASW is the net short-wave radiation, LWCF and SWCF the long-wave and short-wave cloud forcing, respectively, all in  $W m^{-2}$ . TP is the total precipitation (mm/day) compared to GPCP data. For the model, bias and standard deviation (between parentheses) are given for the previously operational Rad and McRad models.

Sets of 13-month long integrations at  $T_L 159L91$  were conducted with the two different representations of land surface albedo and the two radiation configurations (pre-McRad and McRad) within cycle 32R2 of the operational library. As seen in Table 2, the impact of the change from ERBE-derived to MODIS-derived land surface albedo on the climate of the IFS  $T_L 159L91$  model is small, whatever the radiation configuration, but with the previous radiation configuration, the change of land surface albedo was somewhat detrimental, whereas with the McICA-based radiation, the change of land surface albedo brings some small improvements to the representation of the climate. Despite what could be thought as some sizeable changes in local albedo features (e.g., a general increase of about 0.05 over Sahara, a decrease of up to 0.10 over South of Central Russia), the impact in 10-day forecasts at  $T_L 399L62$  from the change in surface albedo is marginal. Figure 3 compares for the model with ERBE and MODIS albedos the parameter the most sensitive to this albedo change (mean error in temperature at 850 hPa). With the pre-32R2 radiation package, the difference remains within 0.02 K after 10 days; it is slightly bigger (up to 0.08 K after ten days in the Northern hemisphere) with the McRad radiation package. Such differences are very small, and do not translate to any sizeable change in other parameters. Similar results are found for the  $T_L 799L91$  model configuration.

#### c. What is McICA?

At the grid-scale of a large-scale atmospheric model (LSAM), domain-averaged radiative fluxes in clouds with substantial horizontal and vertical variability can in principle be determined quite accurately using the planeparallel independent column approximation (ICA) by averaging the flux computed for each class of cloud in turn (Cahalan et al., 1994; Barker et al., 1999). This approach neglects true three-dimensional effects, but those are generally minor (Barker et al., 2003a). Unfortunately, such an ICA-based method is too computationally expensive for dealing with radiation transfer (RT) in a LSAM. Various approximations have been introduced over the years to compute domain-averaged radiative fluxes for internally variable clouds, all invoking assumptions about the nature of the horizontal variability (e.g., Stephens, 1988; Oreopoulos and Barker, 1999; Cairns et al., 2000) or how cloud layers are linked over the vertical (Geleyn and Hollingsworth, 1979; Morcrette and Jakob, 2002; Li, 2002). Regardless of what assumptions are made about these unresolved structures, estimates of radiative heating should theoretically become increasingly unbiased at increasingly large spatial and temporal scales. However, this is generally not the case, and climate simulations have been shown to be very sensitive to seemingly small, but systematic, alterations to cloud optical properties (e.g., Senior, 1999).

Recently, Barker et al. (2003b) and Pincus et al. (2003) introduced a new method for computing broadband radiative fluxes in LSAMs yielding unbiased radiative fluxes over an ensemble average of one-dimensional RT simulations. It is referred to as the Monte-Carlo Independent Column Approximation (McICA). The most attractive features of McICA are two-fold: first, it extricates the description of the sub-grid scale cloud structure from the radiative transfer algorithm through a cloud generator that provides the cloud parameters for the radiation schemes by sampling the cloud information randomly from the cloud fraction and water profiles provided by the LSAM; second, its radiative fluxes, unbiased w.r.t. ICA, are consistent with assumptions made about the unresolved structure in other parts of the model. In practice, this sub-grid scale cloud structure is related either to the overlapping of the cloud layers in the vertical and/or to the horizontal variability of the cloud characteristics. Whether in the vertical or in the horizontal, the cloud characteristics referred to above correspond to input parameters in a traditional radiation transfer scheme, namely the distribution of condensed water in various phases, that of the particle effective dimension, which together with the distribution of intervening gases should define the radiation exchange on the vertical within a grid of the LSAM. As ICA, McICA does not account for true three-dimensional transfer effects, but those can generally be neglected as shown by Räisänen et al. (2003) using fields produced every three hours over a day by a cloud-resolving-model (CRM) embedded in a LSAM.

#### d. Theoretical background

The McICA approach is an approximation to the full Independent Column Approximation (ICA). As discussed by Barker et al. (2003b) and Pincus et al. (2003), for the full ICA, the average monochromatic radiative flux, over a domain sub-divided in N columns, in which each layer can only have a cloud fraction of 0 or 1, is

$$\langle F \rangle = \frac{1}{N} \sum_{n=1}^{N} F_n \tag{1}$$

In sub-column *n*, using a radiation parametrization (plane-parallel, and considering a homogeneous cloud water distribution in all overcast layers) with a correlated k-distribution (CKD) approach (Lacis and Oinas, 1991) to

deal with absorption, the total flux  $F_n$  is

$$F_n = \sum_{k=1}^{K} c_k F_{n,k} \tag{2}$$

where the summation is over the K absorption coefficients and  $c_k$  is the corresponding width of the part of the spectrum corresponding to the absorption coefficient k (spectral sub-interval k) in the correlated k-distribution.

Combining (1) and (2) gives

$$\langle F \rangle = \frac{1}{N} \sum_{n=1}^{N} \sum_{k=1}^{K} c_k F_{n,k} \tag{3}$$

A radiation code explicitly integrating the double sum in (3) would be far too expensive for GCM applications. The McICA solution to this problem is to approximate (3) as

$$\langle F \rangle_M = \sum_{k=1}^K c_k F_{n_k,k} \tag{4}$$

where  $F_{n_k,k}$  is the monochromatic radiative flux in spectral sub-interval k with a randomly selected vertical cloud distribution  $n_k$ .

From this definition, the McICA solution (4) equals the ICA solution only when all N sub-columns are identical or N = 1. As discussed in Räisänen and Barker (2004), McICA's incomplete pairing of sub-columns and spectral intervals ensures that its solution will contain random, but unbiased, errors.

McICA can in principle be used within any radiation transfer scheme provided 1/ a cloud generator is used to define how the cloud information is distributed over each spectral element in the radiation spectrum and 2/ enough g-points (or spectral intervals) are available to make the profiles of cloud fraction and cloud water resulting from the summation over the whole distribution consistent with the original profiles.

The application of the McICA approach involves using a cloud generator together with slightly modified but otherwise standard radiation schemes. A description of the radiation transfer schemes and of the cloud generator used in this study is given below.

#### e. Practical implementation of McICA in the ECMWF model

Table 3 summarizes the main features of the radiation package used in the operational model since 5 June 2007. The radiation fluxes are computed using the Rapid Radiation Transfer Models (RRTM), both in the longwave and shortwave parts of the spectrum.

The ECMWF version of  $RRTM_{LW}$  (Mlawer et al., 1997, Morcrette et al., 2001) describes the longwave spectrum with 16 spectral intervals, corresponding to a total of 140 g-points ( $K_{LW} = 140$  in Eqn 4).  $RRTM_{SW}$  (Mlawer and Clough, 1997) describes the shortwave spectrum with 14 spectral intervals, corresponding to a total of 112 g-points ( $K_{SW} = 112$  in Eqn 4). Each of the 16/14 spectral intervals might have a different number of g-points (in the cumulative probability space directly derived from the correlated-k distribution), depending how much the absorption coefficient varies within the spectral interval, but also how much the spectral interval contributes overall to the total flux, and this over the whole depth of the atmosphere represented by the atmospheric model. For each of these g-points, an essentially monochromatic type radiation transfer is carried out using a twostream method using an approximate representation of the LW scattering and using a Delta two-stream method with scattering in the shortwave. For liquid water clouds, the effective droplet radius is diagnosed from the cloud liquid water content following Martin et al. (1994); the effective ice particle size is diagnosed from the cloud ice water content following a modification of Ou and Liou (1995) in the reference scheme, and following Sun (2001) in the McRad scheme.

The McICA versions of  $RRTM_{LW}$  and  $RRTM_{SW}$  differ from the above versions in two respects: i/ Avoiding any explicit reference to cloud fraction greatly simplifies the parts of the algorithms devoted to the vertical integration, which now deal simply with optical thicknesses. For a given g-point, a cloud when present fully occupies a model layer. Therefore any cloudy calculation only involves modifying the optical parameters (optical thickness  $\tau$ , single scattering albedo  $\omega$ , and asymmetry factor g). ii/ This allows the removal of the 0.7 factor multiplying the cloud optical thickness, which had been introduced in 1997 (Cahalan et al., 1994; Tiedkte, 1996) in the ECMWF Integrated Forecasting System (IFS) to account approximately for the effect of cloud inhomogeneities at the sub-grid level.

As stated in Section 2.2, the McICA representation of cloud-radiation interactions requires the cloud information to be distributed by a cloud generator over the vertical with the constraint that the total cloudiness and cloud water loading for a grid-point is strictly conserved for an infinite number of draws of the cloud generator (and conserved to a high degree of approximation for a large number of draws as with 140 in the LW and 112 in the SW)

The purpose of the cloud generator is, starting from a cloud profile (cloud fraction and cloud water content) provided by a traditional cloud scheme (e.g., Tiedtke, 1993), to distribute randomly the cloud information (in terms of presence (1) or absence (0)) into each of the layers covered by the original cloud profile. This distribution is done N times (McICA with N going to infinity would be equal to ICA) with the constraint that a summation over the N profiles would recreate the original vertical distribution of partial cloudiness. In the ECMWF model, for each radiation time-step (every one hour of model time for the  $T_L799L91$  forecast) and each radiation grid-point, the cloud generator is used twice, to produce two cloud distributions relevant, respectively, to the 140 g-points of the LW- and 112 g-points of SW radiation schemes. We use the cloud generator of Räisänen et al. (2004), which vertically can distribute either the cloud cover according to a maximum-random overlap assumption (Morcrette and Jakob, 2000) or both the cloud cover and cloud water assuming a generalized overlap (Hogan and Illingworth, 2000, 2003).

Clouds when present occupy the full horizontal extent of the layer, and the vertical distribution of such clouds (of 0 or 1 cloud cover) is defined independently for each of the 140 (112) g-points of the longwave (shortwave) scheme by the cloud generator, with the constraint that the total cloudiness and cloud water loading for a grid-point is conserved when N tends to infinity.

	RRTM <sub>LW</sub>	RRTM <sub>SW</sub>	
Solution of RT Equation	two-stream method	two-stream method	
Number of spectral intervals	16 (140 g-points)	14 (112 g-points)	
Absorbers	$H_2O, CO_2, O_3, CH_4, N_2O,$	$H_2O, CO_2, O_3, CH_4, N_2O,$	
	CFC11, CFC12, aerosols	CFC11, CFC12, aerosols	
Spectroscopic database	HITRAN, 1996	HITRAN, 1996	
Absorption coefficients	from LBLRTM line-by-line model	from LBLRTM line-by-line model	
Cloud handling	true cloud fraction	true cloud fraction	
Cloud overlap assumption	maximum-random (+)	maximum-random (+)	
as set up in cloud generator	generalized (*)	generalized (*)	
Cloud optical properties			
method	16-band spectral emissivity	14-band $\tau$ , g, $\omega$	
	from $ au$ , g, $\omega$		
Data: ice clouds	Ebert & Curry, 1992 (+)	Ebert & Curry, 1992 (+)	
	Fu et al., 1998 (*)	Fu, 1996 (*)	
water clouds	Smith & Shi, 1992 (+)	Fouquart, 1987 (+)	
	Lindner & Li, 2000 (*)	Slingo, 1989 (*)	
Effective liquid droplet size	Martin et al., 1994	Martin et al., 1994	
Effective ice particle size	Sun, 2001	Sun, 2001	
Reference	Mlawer et al., 1997	Mlawer and Clough, 1997	
	Morcrette et al., 2001		

Table 3: Characteristics of the longwave and shortwave radiation schemes in McRad.

(+) refer to the configuration operational up to CY31R2

(\*) refer to the configuration operational with McRad.

Most of the McRad results presented hereafter correspond to a generalized overlap with decorrelation lengths of 2 km for cloud cover and 1 km for cloud water, and a normalized standard deviation  $\left(\frac{\sigma_l}{l}\right)$  of the cloud condensate of 1. Only in section 2.8, will results corresponding to a generalized overlap with different decorrelation lengths, or to maximum-random overlap of the cloud layers be discussed. In all comparisons discussed hereafter, the pre-McRad model (CY31R1 operational model) uses the ECMWF six spectral interval version of the shortwave radiation code of Fouquart and Bonnel (1980), with a slightly different set of cloud optical properties marked by a cross (+) in Table 3. In tests not discussed here, it was shown that replacing the operational short-wave radiation scheme by  $RRTM_{SW}$  alone or changing the cloud optical properties, while affecting the radiation fields, did not affect much the systematic errors shown by the ECMWF IFS in 13-month simulations at  $T_L$ 159L91. Only the full McRad package with the suppression of the 0.7 inhomogeneity factor, the use of the McICA approach within  $RRTM_{LW}$  and  $RRTM_{SW}$ , and the revised cloud optical properties shows the positive impact discussed below.

## f. A different radiation grid for McRad

A new interface for radiation computations was developed and implemented in October 2003. Radiation calculations are performed on a grid with a coarser resolution than the current model grid. Interpolation between model and radiation grids are performed using interfaces existing within the IFS libraries and this, as a result, helps reduce code maintenance. This radiation grid had been used since October 2003, with a coarsening factor of two in both latitude and longitude w.r.t. the rest of the model (e.g., the operational forecast model at  $T_2$ 799 is run with a radiation grid R399). The introduction of McRad in the ECMWF IFS brought a sizeable increase in the computer time required for carrying out a given forecast. It must be stressed that this increase is *not* related to the McICA approach, as the McICA versions of  $RRTM_{LW}$  and  $RRTM_{SW}$  are slightly faster than the original versions as they are not dealing with fractional cloudiness, but just optical thicknesses, whether originating from clear-sky absorbers and aerosols, or the same plus cloud optical thickness. The increase is mainly linked to the use of  $RRTM_{SW}$  with its 112 g-point radiative transfer computations compared with computations over the six spectral intervals of the previously operational SW scheme (Fouquart and Bonnel, 1980; Morcrette, 2002).

The implementation of the more computer-intensive McRad has therefore led to the search for an optimal radiation grid for the different weather forecasting applications run at ECMWF. Table 4 presents for the various model configurations used at ECMWF an overview of the timing with and without McRad. Depending on the model resolution, associated time-step, and the frequency for calling the full radiation schemes, the cost of the model integration increased from 15 to 29 percent. However, comparisons of results with the different radiation grids (from R399 to R95 for the  $T_L$ 799L91 high-resolution model, from R255 to R31 for the  $T_L$ 399L62 model run in the Ensemble Prediction System, from R159 to R31 for the  $T_L$ 159L91 model used for seasonal forecasts, were systematically carried out.

For the choice of the radiation grid, a compromise has to be made between the computer time required to run a given configuration and how detailed one wants the representation of the spatial cloud structure and of its associated radiative fluxes to be. Different meteorological applications lead to different answers: For the high-resolution deterministic forecast where the position of clouds as affected by land-sea temperature and orographic effects is an important information, the highest radiation resolution is to be kept as much as possible. However, it must be kept in mind that McICA allows sub-grid scale information on the horizontal distribution of cloud elements to be taken into account (via the normalized standard deviation), so what appears as a reduced radiation grid in fact includes more information than the original radiation grid used with the pre-McRad scheme. For EPS, the constraint to have the highest radiation resolution possible can certainly be released (see section 2.10). A best compromise was chosen (R319 for  $T_L$ 799, R95 for  $T_L$ 399, R63 for  $T_L$ 159), which allows the maximum benefit of McRad within the time constraints for delivering the various operational products. The coarsening of the radiation grid was shown to be of very little impact on the objective scores provided by high-resolution models, and is further documented in section 2.10. A more extensive discussion of the impact on the radiation grid can be found in Morcrette et al. (2007).

Configuration	Dyn	Rad	Freq	%Rad	Ratio
T <sub>L</sub> 799L91					
ref31R1	799	399	1	7.3	1.000
McRad	McRad 799 511		1	36.4	1.456
	799	399	1	26.5	1.262
	799	319(*)	1	19.2	1.147
	799	255	1	13.8	1.076
	799	159	1	6.7	0.994
	799	95	1	3.4	0.960
$T_L 399L62$					
ref31R1	399	159	3	4.1	1.000
McRad	399	255	3	31.6	1.403
	399	159	3	16.4	1.148
	399	95(*)	3	7.7	1.039
	399	63	3	3.8	0.998
	399	47	3	3.0	0.989
	399	31	3	2.1	0.980
$T_L 159L91$					
ref31R1	159	63	3	8.0	1.000
McRad	159	159	3	67.5	2.831
	159	95	3	45.1	1.675
	159	63(*)	3	27.7	1.273
	159	47	3	19.5	1.143
	159	31	3	11.0	1.034

Table 4: Impact of the McRad radiation package on the timing of the ECMWF model forecasts for different configurations and different horizontal resolutions. *Dyn* is the resolution for the dynamics, *Rad* that for the radiation. *Freq* is the frequency (hour) for calling the full radiation scheme, %*Rad* is the fraction of computer time taken by the radiative transfer calculations. *Ratio* is the factor by which McRad increases the computer cost relative to the previous operational configuration (ref31R1). (\*) refers to the operational configuration implemented on 5 June 2007.

#### g. Results for seasonal simulations at $T_L$ 159L91

Sets of seasonal simulations have been carried over the 13-month period between August 2000 and September 2001. Each set includes 3 simulations starting 24-hours apart, with output parameters averaged over the September'00-August'01 period presented as maps in Figs. 4 to 10. Global mean values for an extended list of parameters are given in Table 5, averaged over the year, and over the DJF and JJA three-month periods.

	Annual	DJF	JJA
OLR	-239	-236	-242
31R1	-8.1 (12.7)	-6.1 (15.0)	-5.1 (12.8)
McRad	-3.2 (7.9)	-1.1 (10.1)	-0.6 (10.5)
ASW	244	251	238
31R1	-10.0 (17.5)	-15.6 (23.9)	-9.2 (19.7)
McRad	-5.8 (14.2)	-11.4 (20.5)	-5.3 (18.6)
LWCF	27.3	26.8	26.1
31R1	-9.6 (13.6)	-10.4 (16.5)	-8.3 (14.1)
McRad	-4.0 (7.9)	-4.8 (10.3)	-3.0 (9.7)
SWCF	-48.7	-52.8	-45.1
31R1	-5.2 (15.4)	-4.1 (18.6)	-6.3 (18.2)
McRad	-0.2 (12.9)	0.5 (17.0)	-1.3 (17.3)
TCWV	29.0	27.7	29.3
31R1	-2.10 (3.65)	-2.27 (4.29)	-1.73 (3.69)
McRad	-1.67 (3.13)	-1.80 (3.63)	-1.25 (3.32)
TCC	62.2	62.9	61.4
31R1	-6.0 (10.3)	-5.7 (12.3)	-5.4 (11.8)
McRad	-5.3 (9.5)	-4.9 (11.2)	-4.7 (11.4)
TCLW	82.2	80.4	84.3
31R1	1.67 (22.1)	3.13 (33.4)	-1.11 (30.6)
McRad	0.86 (22.4)	2.05 (32.8)	-1.21 (30.8)
TP gpcp	2.61	2.58	2.63
31R1	0.45 (1.39)	0.42 (1.88)	0.43 (1.75)
McRad	0.40 (1.21)	0.37 (1.60)	0.41 (1.72)
TP ssmi	3.80	3.57	3.66
31R1	0.67 (2.45)	0.57 (3.56)	0.44 (3.90)
McRad	0.50 (2.23)	0.38 (3.32)	0.35 (3.81)
SSR ocn	155.2	163.7	143.7
31R1	8.4	15.1	0.3
McRad	15.6	21.9	7.4
STR ocn	-51.8	-52.5	-50.4
31R1	0.6	1.0	1.3
McRad	-0.1	0.3	0.6
SSH ocn	-11.0	-13.7	-9.0
31R1	-4.7	-3.0	-5.9
McRad	-3.5	-2.0	-4.9
SLH ocn	-96.5	-100.2	-94.2
31R1	-10.5	-7.7	-11.1
McRad	-7.2	-4.5	-7.9
SNET ocn	-2.1	-0.9	-7.9
31R1	-8.1	3.6	-17.3
McRad	2.8	14.0	-6.8

Table 5: Annual means from 13-month simulations at  $T_L$ 159L91, discarding the first month. Radiative fluxes at TOA are compared to CERES measurements, total cloud cover (TCC in percent) to ISCCP D2 data, total column water vapour (TCWV in  $kg m^{-2}$ ) and liquid water (TCLW in  $g m^{-2}$ ) to SSM/I data. TP is the total precipitation (in  $mm \ day^{-1}$ ) compared to GPCP or over ocean to SSM/I data. The surface fluxes over the

ocean (in  $W m^{-2}$ ) are compared to the Da Silva climatology, with SSR and STR the surface net solar and terrestrial radiation, respectively, SSH and SLH, the surface sensible and latent heat fluxes, respectively, and SNET the surface net energy flux. For the model, bias and standard deviation (between parentheses) are given for the previously operational and McRad models. At the top of the atmosphere, OLR is the outgoing long-wave radiation, ASW is the net short-wave radiation, LWCF and SWCF the long-wave and short-wave cloud forcing, respectively, all in  $W m^{-2}$ .

#### 1) RADIATIVE FIELDS AT THE TOP OF THE ATMOSPHERE

McRad improves the behaviour of the model in a number of aspects: a change in the balance between long-wave and short-wave radiation heating leads to a noticeable shift in the location of the tropical cloudiness. Figures 3 to 6 respectively present comparisons of the annual mean outgoing longwave radiation at TOA (OLR: Fig. 4), absorbed shortwave radiation (ASW: Fig. 5), longwave cloud forcing (LWCF: Fig. 6) and shortwave cloud forcing (SWCF: Fig. 7) with corresponding parameters from CERES observations. This is mainly a feature of McICA, as preliminary tests using RRTM<sub>cw</sub> (without the McICA approach) instead of the operational shortwave radiation code, or with a different set of cloud optical properties, changed somewhat the overall radiation budget at the top of the atmosphere, but without affecting the negative bias linked to too small a cloudiness over South America, Africa and the Tropical West Pacific. McRad improves markedly on the TOA radiation biases over these areas. Differences with CERES observations are improved with the new model, with a reduction of the global annual mean bias from -8.1 to -3.2 W  $m^{-2}$  for OLR, from -10.0 to -5.8 W  $m^{-2}$ for ASW, from -9.6 to -4.0 W  $m^{-2}$  for LWCF, and from -5.2 to -0.2 W  $m^{-2}$  for SWCF. More importantly, the reduction in biases is accompanied by reduction in standard deviations showing that temporally (based on monthly averages) and spatially the location of the minima and maxima of the various fields are improved by McRad. Table 4 confirms that these improvements happen over the whole year, with a general improvement on the TOA radiative parameters also appearing for winter (DJF) and summer (JJA) conditions.

From Table 5 and the related figures, it is clear that the overall climate of the model is improved not only in terms of a better TOA radiation budget, but also in terms of the water budget: total column water vapour, total column liquid water, and level of total precipitation, when compared to climatological estimates. A significant improvement is also seen in terms of temperature and humidity when compared to ERA40 analysis. With McRad, the surface SW radiation is increased, a worse agreement with the Da Silva climatology (over oceans only). However, for the ECMWF model run with an interactive ocean, the better geographical distribution of SW surface fluxes produced by the new radiation package has been found to be beneficial to the forecasts of ocean surface temperature (see section 2.11).

#### 2) HYDROLOGICAL BUDGET

As seen also in Table 5, the overall climate of the model is also improved in terms of the global water vapour (TCWV: total column water vapour) and cloud water distribution (TCLW: total column liquid water), and level of total precipitation (TP, compared in Table 5 to GPCP and SSM/I estimates). The only degradation is seen in surface SW radiation, which shows the annual mean difference to the Da Silva climatology (over oceans only) roughly doubled. This is directly partly linked to slightly more transparent clouds induced by the McICA approach, but mostly to the transfer of convective cloudiness from tropical oceanic to tropical continental areas.

However, despite the increase in surface SW radiation over the tropical oceans, it was found that for the ECMWF model including an interactive ocean, the better geographical distribution of surface fluxes linked to the shift of the convection produced by McRad is beneficial to the forecasts of ocean surface temperature (see section 2.11).

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Figure 8 presents the total precipitation and its comparison with GPCP observations. The improvements are less marked than for radiation fields. However a reduction of the deficit of precipitation over South America and Africa and a slight reduction of the overestimation of precipitation over the Pacific, Atlantic and Indian oceans are present, confirmed by the better global results, on an annual or seasonal basis, seen for total precipitation in Table 5, whether compared globally to GPCP or over the tropical ocean to SSM/I.

#### 3) TEMPERATURE, HUMIDITY AND WIND ERRORS

Figures 9 and 10 respectively present the zonal mean differences of temperature and humidity (Fig. 9) and zonal wind and vertical velocity (Fig. 10) averaged over the year. The McRad package improves on the temperature differences (Fig. 9 top) to ERA40 analyses, showing an overall warming of the troposphere, and a cooling of the stratosphere. This translates into a slight improvement in the zonal mean humidity w.r.t. ERA40 (Fig. 9 bottom). The impact on zonal mean zonal wind (Fig. 10 top) is somewhat smaller but generally positive. Impact on vertical velocity (Fig. 10 bottom) is mainly seen in the tropical area with a slight decrease in both the negative and positive difference to ERA40 between 30°N and 30°S. The differences to ERA40 of the annual mean of the wind at 200, 700 and 925 hPa (Fig. 11) show that McRad has a beneficial impact at all heights with a decrease of the errors over the tropical oceans.

#### h. Sensitivity to cloud overlap assumption

As already indicated in section 2.3, the use of a cloud generator external to the LW and SW radiation schemes to deal with the vertical overlap of clouds layers and potential inhomogeneity in the horizontal distribution of cloud water content makes easy the testing of various configurations. Sets of seasonal simulations were carried out in the same conditions as those in section 2.7, with the McRad cycle 31R1 model configuration and different assumptions for the cloud vertical overlap and horizontal distribution of cloud water. As can be seen from Fig. 12, the impact on temperature of various decorrelation lengths for cloud cover (DLCC) or cloud water (DLCW), or switching to a maximum-random cloud overlap with provision for inhomogeneous cloud water distribution is much smaller than the impact of introducing the new radiation package. As can be seen from Table 6, each of these configurations is slightly different in terms of impact on radiation and other physical fields, and the configuration chosen for operational implementation in cycle 32R2 is the one which gives the best overall comparisons to observations.

	Observation	G21	G42	G51	MR
OLR	-239	-2.7 (7.8)	-4.3 (8.1)	-3.9 (7.8)	0.02 (8.3)
ASW	244	-5.9 (14.6)	-1.8 (12.5)	-1.9 (12.3)	-13.1 (19.5)
LWCF	27.3	-2.6 (6.9)	-4.0 (7.3)	-3.6 (7.0)	0.03 (7.5)
SWCF	-48.7	-0.2 (13.4)	3.8 (12.6)	-3.7 (12.4)	-7.5 (17.2)
TCWV	29.0	-1.38 (3.06)	-1.43 (3.03)	-1.40 (3.02)	-1.18 (2.92)
TCC	62.2	-1.04 (11.1)	-1.14 (11.0)	-1.00 (10.7)	-0.12 (10.9)
TCLW	82.2	-7.44 (22.7)	-7.45 (22.8)	-7.31 (22.7)	-5.37 (22.2)
TP gpcp	2.61	0.30 (1.17)	0.31 (1.15)	0.30 (1.14)	0.29 (1.19)
TP ssmi	3.80	0.31 (2.16)	0.30 (2.14)	0.26 (2.10)	0.31 (2.23)
SSR ocn	155.2	15.9	20.1	19.9	7.3
STR ocn	-51.8	-3.6	-5.0	-4.9	-0.5
SSH ocn	-11.0	-1.6	-1.6	-1.5	-1.5
SLH ocn	-96.5	-4.2	-4.1	-3.5	-4.1
SNET ocn	-2.1	4.5	7.4	7.9	-0.8

Table 6: Results from 13-month cycle 31R1 simulations at  $T_L$ 159L91 with different cloud configurations. *G*21 is the McRad model with generalized overlap of cloud layers with a decorrelation length for cloud cover DLCC=2 km and and a decorrelation length for cloud water DLCW=1 km, G42 is with DLCC=4 km and DLCW=2 km, G51 with DLCC=5 km and DLCW=1 km. MR is the McRad model with maximum-random overlap of homogeneous clouds. All quantities are annual means. Radiative fluxes at TOA are compared to CERES measurements, total cloud cover (TCC) to ISCCP D2 data, total column water vapour (TCWV) and liquid water (TCLW) to SSM/I data. TP is the total precipitation compared to GPCP or SSM/I data (over ocean). The surface fluxes are compared to the Da Silva climatology.

## i. Impact on operational forecasts at $T_L$ 799L91

An experimental suite, parallel to the operational suite at  $T_L799L91$  was run from July 2006 to April 2007. It included McRad and a series of data assimilation modifications, unlikely to affect the radiative fluxes beyond the first few hours in the forecasts.

In the following, results are presented for the period December 2006-April 2007, with more specific diagnostics for January 2007. It must be stressed that the model response at  $T_L$ 799 is similar to what was shown in section 2.7 for seasonal simulations. Here the emphasis is put on the short term response (12 hours to 10 days) of the model and on objective scores.

The main impact of McRad, compared to the previously operational radiation scheme, is to modify separately the vertical distributions of the additional long-wave and short-wave heating induced by the presence of the clouds. This is linked to several factors: the revised cloud optical properties, particularly for ice clouds where the effective particle size is now diagnosed from temperature and the local ice water content (only temperature up to cycle 31R2) contributes for a small part, with the rest coming from the McICA approach, which replaces the previous 0.7 inhomogeneity factor scaling all cloud optical thicknesses in the long-wave and short-wave parts of the spectrum in the previous version of the radiation schemes.

For clouds with the same profiles of cloud fraction and optical thickness, the McICA approach lets more shortwave radiation reach the surface than a non-McICA scheme. In the tropics (shown here as  $10^{\circ}N - 30^{\circ}S$  for January), this increase in downward short-wave radiation at the surface (Fig. 13a) is not compensated by an increased loss of long-wave radiation due to a more transparent atmosphere (Fig. 13b). Resulting effect is a heating of the land surface (Fig. 13c), making the atmosphere more unstable above and increasing the convection and subsequent precipitation (Fig. 13d). This also impacts the cloudiness. Over Africa, a reduction in low-level cloudiness is accompanied by an increase in low-level cloudiness eastward (Fig. 13e). Over South America, the reduction in low-level cloudiness over the east of the Amazon Basin does not translate into any clear signal. For total cloudiness (Fig. 13f), the signal is even less apparent as some vertical arrangement occurs.

The increase in surface solar radiation over the tropical continents is reflected in the temperature (Fig. 14a), humidity (Fig. 14b) and cloudiness (Fig. 14 c).

Over the whole tropical belt, a slight increase in temperature is seen between about 650 and 250 hPa, and a decrease in temperature is seen between 200 hPa. Specific humidity decreases between about 650 and 250 hPa, and increases between 200 and 100 hPa, with a corresponding increase in cloudiness. The impact on the zonal component of the wind (Fig. 15 left) is a weakening of the easterlies in the lower 300 hPa of the atmosphere and of the westerlies between 350 and 100 hPa. Slightly stronger ascent is seen in the vertical velocity over South America (70°W), Africa (20°E) and the Tropical West Pacific (130°W). Given that in both the long climate simulations at  $T_L$ 159 and high resolution forecasts the sea surface temperature is specified, the above changes are mainly driven by a change in the contrast between tropical land masses and ocean.

In terms of radiation at the top of the atmosphere, the changes in radiative heating profiles, and position of the convective activity directly affect the outgoing long-wave radiation (OLR) and absorbed short-wave radiation (ASR), as can be seen in Figure 15, which presents the changes in OLR and ASR during the first 24 and last 24 hours of the ten-day forecasts started every day at 12UTC over January 2007. In the tropical area, the decrease in OLR (a negative quantity) and increase in ASR (a positive quantity) are consistent with more high level cloudiness over South America, South of Africa and the Tropical West Pacific. Impact over Sahara is linked to the revised surface albedo.

The improvements brought by McRad can also be seen in various objective scores. Figure 16 presents the time series of the r.m.s. error in geopotential at 200, 500 and 1000 hPa for the Northern hemisphere, European area and Southern hemisphere computed over the period 20061201-20070430. A small but systematic improvement is seen over most of the ten days of the forecasts. The improvement in the location of the major tropical cloud systems has a direct impact on the tropical scores as seen in Figure 17 for the r.m.s. error of the vector wind at four heights within the troposphere and four lead times (after one, three, five and seven days in the forecasts).

#### j. Impact on medium resolution 10-day forecasts as used in the EPS

As discussed in Buizza et al. (1999), for each of the 50 forecast members of the EPS, the model uncertainties deriving from parametrized physical processes are simulated by applying a random number between 0.5 and 1.5 to the sum of the physical tendencies within a  $10^{\circ}x10^{\circ}$  degree box over three hours. The scaled physical tendencies are then passed to the thermodynamic equation to be solved. Therefore, introducing a more approximate treatment of the radiation tendencies (as through the use of a more reduced radiation grid) is not likely to deteriorate the quality of the EPS forecasts. Table 4 shows the various radiation resolutions from R255 down to R31 that could be used for the current  $T_t$  399L62 EPS configuration.

In ten-day forecasts with McRad running the  $T_L$ 399L62 model with various resolutions for the radiation grid, the impact on the objective scores was small. For example, Figure 18 presents the r.m.s. error of the temperature at 850 and 200 hPa (the most sensitive parameter) in the Tropics for sets of 93 forecasts starting every fourth day spanning a year from 20060202 to 20070205. For these sets of forecasts with the resolution of the radiation grid being reduced from R255 to R31, the impact on the geopotential is small and does not appear before day 6 of the forecasts (not shown). Similarly small is the impact on the r.m.s. error of temperature at 850 and 200 hPa. Only the mean error in temperature at 850 hPa for all areas (Northern and Southern hemispheres, tropical area) and the mean error in temperature at 200 hPa in the Tropics show a distinct signal. However, the difference between R255 and R31 (i.e, a radiation grid coarsening from  $[0.70^\circ]^2$  to  $[5.625^\circ]^2$ ) is at most 0.06 K, with the resolutions between R255 and R63 very close to each other, and R47 and R31 showing a more undesirable impact. In the tropics, where these differences in temperature between the various radiation grids are the most marked, the impact on the wind is very small (not shown). So it appears that reducing somewhat the radiation grid could allow for a decreased cost of the EPS with a rather small effect on its overall quality. Further tests were conducted within the VarEPS system running for 10 days at  $T_L$ 399, then at  $T_L$ 255 for the last five days using three sets of radiation grids: R159/R95, R95/R63, R47/R31 respectively. Ensemble forecasts were started every 2 days between 3 Dec 2006 and 2 Jan 2007 (16 cases). As shown in Fig. 20, R47/R31 indeed produces an obvious deterioration of the ranked probability skill score of the temperature at 850 hPa in the Southern hemisphere. The EPS, operational since 5 June 2007, is therefore run at  $T_{4}399L62R95$  then at  $T_L 255L62R63.$ 

#### k. Impact on climate integrations with a coupled ocean system

As part of the testing of the McRad package, sets of simulation with the model including a coupled ocean were run over ten years starting on 1 November 1994. One of the effects of McRad, namely the increase in downward solar radiation at the surface, is seen to improve the simulation of the ocean temperature particularly during the first two years of the simulations. Figure 21 presents for these two years the difference of the ocean annual mean temperature with ERA40 for both versions of the model, and between themselves.

Over most of the tropical region, the bias in SST is decreased between 0.3 and 0.9 K, with a complex pattern of improvement. For example, over the northern parts of the Pacific and Atlantic oceans, McRad decreases the cold bias in SST, and decreases the warm bias over the Pacific tropical area and Southern region.

#### l. Conclusions on McRad and outlook

The new radiation package McRad presented in this paper became operational with model cycle 32R2 on 5 June 2007. It includes a new short-wave radiation scheme, revised cloud optical properties, the MODIS-derived land surface albedo, the McICA approach to radiation transfer in cloudy atmospheres, and a more extensive use of a flexible radiation grid that can be made coarser for all applications, but particularly useful when the highest accuracy of the radiative heating rates, as with the EPS, is not essential for the application.

The impact of McRad was studied in seasonal simulations and ten-day forecasts, and it was shown to benefit the representation of most parameters at both short and longer time-scales, relative to the previous operational version of the RT schemes.

With respect to surface albedo, the MODIS-derived land surface albedo is at present not used for the icecovered Greenland and Antarctica. By the same token, the definition of the sea-ice albedo has not been revised. Revision of the albedo over these areas will be considered in the future.

Up to this point in the report, RRTM<sub>SW</sub> has been advocated as a scheme very suitable for the McICA approach due to the large number of spectral computations. However, RRTM<sub>SW</sub> has merits on its own. With the McRad package, both the LW and SW radiation schemes are based on the same line-by-line model and the same database of spectroscopic parameters. As part of ARM (Atmospheric Radiation Measurement program of the US DoE), both the RRTM<sub>IW</sub> and RRTM<sub>SW</sub> models (and the corresponding line-by-line model LBLRTM, Clough et al., 1992; Clough and Iacono, 1995) have been extensively used these last three or four years for sustained comparisons against spectrometer measurements at the ARM South Great Plains (SGP), North Slope of Alaska (NSA) and two Tropical West Pacific sites. Both schemes are used as part of a so-called box-budget exercise (BBHRP: Mlawer et al., 2007) at the ARM SGP site, with surface radiation measurements at 22 stations over a  $100 \times 100 \text{ km}^2$  area, and satellite data for OLR and reflected SW at the top. The RT schemes are then used, together with lidar and other profiler instruments measuring gas, cloud, and aerosol parameters over the vertical to provide the top-of-the-atmosphere and surface radiation LW and SW fluxes on a typical GCM grid-box, that are then systematically compared to the observations. When this is done, the typical agreement between one-hour averaged computed and observed radiation fluxes at both top and bottom of the atmosphere is better than  $2Wm^{-2}$  in LW and  $10Wm^{-2}$  in SW in clear-sky/aerosol only conditions and  $5Wm^{-2}$  and  $25Wm^{-2}$ in cloudy conditions, at least a factor of 5 better than the best RT schemes at the end of '90s. Both  $RRTM_{W}$ and RRTM<sub>SW</sub> have a very sound behaviour when going from Lorentz line absorption in the troposphere to Voigt line profile higher up to Doppler line profile above 60 km, whereas the pre-32R2 SW scheme was at best only approximate. Given the increasing interest on how the stratosphere is modelled, particularly in the framework of a VarBC analysis,  $RRTM_{SW}$  is seen as an asset as it improves the temperature distribution in what is largely a clear-sky part of the atmosphere. The higher resolution of  $RRTM_{SW}$  (14 bands to describe the SW spectrum

between 0.2 and 5 microns) allows a better treatment of the clouds and aerosols via a better description of the cloud and aerosol optical properties.

In terms of methodology, McICA is the most important change as it simplifies the radiation transfer schemes by suppressing all references to partial cloud cover, avoids separate calculations for clear-sky and cloudy parts of the layers, and gets rid of the inherent complexity of the vertical integration accounting for the overlapping of these clear and cloudy quantities (reflectances/transmittances or fluxes). The cloud generator used here (Räisänen et al., 2004) being independent of the radiation transfer can now handle any overlap situation, and is used here with a definition of the overlap of cloud layers through decorrelation lengths (Hogan and Illingworth, 2000, 2003). It must again be stressed that, through McICA, McRad is ready to handle implicitly any spatial inhomogeneity (horizontal and/or vertical) in the distribution of the condensed water in clouds. The McICA approach could also be used for dealing with inhomogeneities in surface boundary conditions, a feature that could be of importance when the radiation fluxes are computed over an area encompassing several model grids, each with a number of tiles with different long-wave emissivity and short-wave albedo.

McRad will allow the same overlap assumption to be used for radiation transfer and precipitation/evaporation processes, a problem previously solved either only approximately (Jakob and Klein, 1999, 2000) or through additional calculations. In the future, it will help connect the radiation transfer calculations with cloud information derived from pdf-based cloud schemes (as that of Tompkins, 2002) (thanks to the McICA approach) and from observations of the vertical profiles of the condensed water as made available from CALIPSO-type measurements (thanks to the flexible handling of cloud overlap). As it does for cloud information, McRad can also include information on the sub-grid variability of the water vapour that would be provided by a pdf-based cloud scheme working on total water.

## 3. Towards prognostic aerosols in the ECMWF forecast model

In April 1989, the ECMWF model was the first operational forecast model to include the effects of aerosols as part of its radiation transfer calculations (from the initial work of Tanré et al., 1984 in a climate version of the model). Since then, a revised climatology (Tegen et al., 1997) was introduced in October 2003, and various studies (Tompkins et al., 2005; Rodwell, 2006) showed the positive impact of this change on various aspects of the model, sometimes far from the location of the main change in aerosol optical thickness.

As part of the GEMS project, a prognostic representation of aerosols is being developed in the IFS in both its analysis and forecast modules. In the following, the emphasis is on the forward modelling, i.e., in the forecast model, outside of the analysis. The forecast module accounts for five tropospheric aerosol types (sea-salt, desert dust, organic matter, black carbon, and sulphate). The sea-salt aerosols are currently represented by 3 bins, with limits at 0.03, 0.5, 5 and 20 microns. Similarly, the desert dust aerosols are represented by 3 bins with limits at 0.03, 0.55, 0.9, and 20 microns. The above limits are chosen so that roughly 10, 20 and 70 percent of the total mass of each aerosol type are in the various bins. The package of ECMWF physical parametrizations dedicated to aerosol processes mainly follows the aerosol treatment in the LOA/LMDZ model (Boucher at al., 2002, Reddy et al., 2005). It includes the sources for sea salt, desert dust (both interactive with surface and near-surface variables of the model), a representation of the sedimentation of all particles, and the wet and dry deposition processes. For organic matter and black carbon, two components, hygrophobic and hygrophilic, are considered. Sulphate is considered as one variable with no explicit chemistry included.

Recent developments in the ECMWF physical package now allow the vertical diffusion and the mass-flux convection schemes to account explicitly for tracers such as aerosols. The wet and dry deposition schemes were directly adapted from the LMDZ model, whereas the sedimentation of aerosols follows closely that recently introduced by Tompkins (2005) for the sedimentation of ice particles.

As of June 2007, the surface flux of sea salt aerosols is parametrized from the 10-metre wind at the free ocean surface from a hybrid scheme developed by Schulz et al. (2004) based on Monahan et al. (1986) for the large particles and modified from Smith and Harrison (1998) for the smaller ones. For the production of desert dust, a preliminary formulation of the source was implemented after Ginoux et al. (2001) with dependence on snow, low vegetation cover, soil moisture, the MODIS component of UV-visible albedo and the 10-m wind. Sources for the other aerosol types are taken from the GFED (Global Fire Emission Database), SPEW (Speciated Particulate Emission Wizard), EDGAR (Emission Database for Global Atmospheric Research) year- or monthly-mean climatologies until more temporally-resolved data are provided as part of the GEMS project. More details on the sources of aerosols can be found in Dentener et al. (2006).

Although at present, the model prognostic aerosols are not interactive with the radiation scheme and are therefore passive tracers, their optical thickness is evaluated as a diagnostic quantity that can be compared to surface measurements such as those taken by AERONET (Holben et al., 1998; Kinne et al., 2003) or derived from satellite measurements like those of MODIS (Remer et al., 2005).

For the results presented below, there is no assimilation of any data related to aerosol. The model was run from a given starting date in a series of 12-hour forecasts starting every 12 hours from the ECMWF operational analyses. The model aerosols are free-wheeling, i.e., starting from null concentrations of aerosols on the starting date, the various aerosols are let to spin up for about 8-12 days (the time their contents establish themselves) with aerosols produced from surface emission fluxes, and going through the physical processes (dry deposition, sedimentation, wet deposition by large-scale and convective precipitation). The aerosols at the end of a given 12-hour forecast are passed as initial conditions at the start of the next 12-hour forecast). This is in essence not very different from what is done within a transport model, except for the fact that dynamics and all other physical parametrizations are consistent with the aerosol processes.

From this experimental version of the forecast model including prognostic aerosols and started from aerosolfree conditions on 15 April 2007, Figure 22 compares averaged over the month of July 2007 the optical depth at 550 *nm* produced by 12-hour forecasts (middle panel) with the corresponding quantity derived from MODIS satellite measurements MODIS on Terra on top panel and MODIS on Aqua on bottom panel).

In the same conditions, Figure 23 (top) presents the sea-salt and desert dust, the only naturally occurring aerosols the sources of which are interactive with model surface fields. The optical thickness of the anthropogenic aerosols presently included in the model from climatologies (black carbon, other organic and sulphate components) is shown in Figure 23 (bottom). Other natural aerosols, DMS, di-methyl sulphide, and VOC, volatile organic compound are not included at present. Before the end of the GEMS project, it is expected that similar optical depth will be validated against near-real time AERONET measurements.

Plumes of aerosols of desert origin are not uncommon over Europe. Such a plume was observed over Britain on 15 May 2003. Figure 24 (top) is the corresponding imagery from the MODIS instrument. Figure 24 (bottom) presents the 12-hour forecast of this particular plume by the ECMWF model started from aerosol-free conditions on 1 December 2002. The good quality of the ECMWF model wind fields ensures a reasonable description of the modelled plume.

The optical thickness in the seven MODIS short-wave channels is presented in Figure 25 as forecasted for 2 June 2007 at 00UTC from the same aerosol simulation as in Fig. 22. As expected, different aerosol types, dominant in different locations, have a distinct spectral signature. The sea-salt aerosols (dominant in the Southern hemisphere storm track) display a rather flat spectral signature with almost no variation in optical thickness between 469 and 2130 *nm*. Desert dust aerosols (Sahara) show a steady decrease in optical thickness with increasing wavelength. Black carbon aerosols (Central Africa) display a rapid decrease from 469 to 865 *nm*, then keep a roughly similar value of optical thickness at longer wavelengths. These spectral characteristics will obviously help in the validation of the prognostic aerosols against multi-spectral surface and satellite measure-

ments. This also forms the basis of the variational assimilation of aerosols, being tested in the analysis part of GEMS-Aerosol (not discussed here).

These results from an experimental model are likely to be improving till the end of the GEMS project. However, they offer a good starting basis for further developments that are briefly discussed in the next section.

## 4. Perspectives

This report has concentrated on the new radiation package for the IFS, plus some developments (prognostic aerosols) likely to be of importance in the future. However, other radiation-related work is also going on with the objective of improving the forward model. Below are two such developments:

Up to now, the IFS radiation scheme, whether McRad or the previous radiation package, has been using the zonal mean average ozone climatology of Fortuin and Langematz (1994) for computing the ozone contribution to the long-wave and short-wave heating rates. Tests have been performed using the ozone prognosed by the IFS as input for the radiation schemes. Despite changes in the background ozone climatology used in the linearized prognostic ozone scheme (Cariolle and Déqué, 1986), larger temperature biases at the tropical tropopause, in the lower stratosphere and at the stratopause result from this ozone-radiation interactive model configuration when compared with temperature obtained using Fortuin and Langematz's ozone climatology.

A new processor for evaluating the UV-B and UV-A radiation at the surface, based on modifications to the pre-32R2 shortwave radiation scheme of the IFS has been developed as part of the GEMS-Reactive Gases project (Morcrette and Arola, 2007). It can provide a spectrally detailed description (5 nm) of the incident UV flux at the surface between 280 and 400 nm, from which the biologically effective dose and a UV Index (McKinley and Diffey, 1987) are computed.

What is the future for RT modelling in the ECMWF forecast model? The recent introduction of the McRad package and the development of prognostic aerosols as part of the GEMS project clearly pave the way for a cloud scheme interactive with aerosols, and for RT schemes dealing with the distributions of cloud condensed water and aerosols, and surface radiative properties, but in a much more integrated manner than in the past. In the near future. the new radiation package, albeit heavily tested before its operational implementation, will undergo further extensive validation against surface measurements (Atmospheric Radiation Measurements: ARM, Baseline Surface Radiation Network: BSRN, SURFace RADiation network: SURFRAD ) and satellite observations.

As already stated, McRad is suited to use information on the sub-grid variability of the water vapour and of condensed water in clouds as provided by a pdf-based cloud scheme working on total water.

In past validation efforts carried out with previous versions of the RT parametrizations (Chevallier and Morcrette, 2000; Morcrette, 2002a, b), the aerosols had to be taken as external constraints. With McRad and the other developments discussed in this report, the more complete integration of the cloud, aerosol and radiation processes is expected to allow a more thorough validation, in particular, that of the distributions of water vapour and cloud water against the distributions retrieved from CALIPSO and CloudSAT observations.

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## **List of Figures**



FIG. 1. The land surface albedo derived from MODIS observations for April at  $T_L$ 799. Top panel is for the UV-visible (0.3-0.7  $\mu$ m), bottom panel for the near-infrared (0.7-5.0  $\mu$ m) part of the short-wave spectrum.



FIG. 2. The land surface albedo over the entire short-wave spectrum for April as seen by the model at  $T_{2}799$ . Top panel is the one corresponding to the spectrally flat ERBE-derived albedo, middle panel is the equivalent one from the various MODIS components, bottom panel is the difference between the model with MODIS and ERBE albedos.



FIG. 3. The mean error of the temperature at 850 hPa for the Northern hemisphere, Tropics, and Southern hemisphere (from top to bottom) from sets of 93 10-day forecasts at  $T_L$ 399*L*62, started every 96 hours from 2006020212 to 2007020512 with the cycle 32R2 of the ECMWF model. Left panels are for the pre-32R2 radiation configuration, right panels for the 32R2 McRad configuration; red and blue curves correspond to the MODIS-derived and ERBE-derived land surface albedo, respectively.



FIG. 4. Annual average of the outgoing longwave radiation at the top of the atmosphere (in  $Wm^{-2}$ ). Top figures are the ECMWF model simulations (left: operational 31R1, right: McRad), middle ones are the CERES observations, bottom ones are the differences between simulations and observations. For the model, results are for averages over 3 simulations starting 24 hours apart, with output parameters averaged over the September 2000-August 20001 period. Please note the convention for fluxes in the ECMWF IFS: positive downward is a gain of energy for the system, negative upward is a loss of energy from the system.



FIG. 5. As in Fig. 4, but for the net shortwave radiation at the top of the atmosphere (in  $Wm^{-2}$ ). Top figures are the ECMWF model simulations (left: operational 31R1, right: McRad), middle ones are the CERES observations, bottom ones are the differences between simulations and observations.



FIG. 6. As in Fig. 4, but for the long-wave cloud forcing (in  $Wm^{-2}$ ). Top figures are the ECMWF model simulations (left: operational 31R1, right: new McRad), middle ones are the CERES observations, bottom ones are the differences between simulations and observations.



FIG. 7. As in Fig. 4, but for the short-wave cloud forcing (in  $Wm^{-2}$ ). Top figures are the ECMWF model simulations (left: operational 31R1, right: McRad), middle ones are the CERES observations, bottom ones are the differences between simulations and observations.



FIG. 8. As in Fig. 4, but for the total precipitation (in  $mm \ day^{-1}$ ). Top figures are the ECMWF model simulations (left: operational, right: McRad), middle ones are the GPCP observations, bottom ones are the differences between simulations and observations.



FIG. 9. Zonal mean cross-section of the difference between the 31R1 model including McRad and the ERA40 analysis over the 12-month period September 2000-August 20001. Temperature in the top panels (K) and humidity in the bottom panels ( $g kg^{-1}$ ). Left column is for the operational 31R1 model; right one for the model with McRad.



FIG. 10. As for Fig. 9, but for the zonal wind (top panels, in  $m s^{-1}$ ) and vertical velocity (bottom panels, in  $Pa s^{-1}$ ). Left column is for the operational model; right one for the model with McRad.



FIG. 11. The difference in wind between the annual averages from model simulations and ERA40. Top two panels for 200 hPa, middle two for 700 hPa, bottom two panels for 925 hPa. For each pair, the upper panel is the 31R1 model with McRad, the lower with the previous operational radiation scheme.



FIG. 12. The difference with ERA40 analysis for temperature (top panels, in *K*). Top left is the McRad model with generalized overlap of cloud layers with a decorrelation length for cloud cover DLCC=2 km and and a decorrelation length for cloud water DLCW=1 km, top right with DLCC=4 km and DLCW=2 km, bottom left with DLCC=5 km and DLCW=1 km. Bottom right is the McRad model with maximum-random overlap of homogeneous clouds.



FIG. 13. Differences in surface parameters ( $\Delta x = McRad - 31R2$ ) between the McRad and the 31R2 model for the month of January 2007. From top to bottom, are the differences in (a) net solar radiation at the surface  $(Wm^{-2})$ , (b) net long-wave radiation at the surface  $(Wm^{-2})$ , (c) surface temperature (*K*), (d) total precipitation  $(mm \ day^{-1})$ , (e) low-level cloudiness (*percent*) and (f) total cloudiness (*percent*). All quantities are averaged over the 62 12-hour forecasts starting at 00 and 12 GMT over the month of January 2007.



FIG. 14. As in Fig. 13, but for the differences in atmospheric parameters  $\Delta x$  averaged over the 10°*N* - 30°*S* latitude band. Top panel is for temperature ( $\Delta T$  with steps of 0.1 *K* from +/- 0.05 *K*), middle panel for specific humidity ( $\Delta Q/Q$  with steps of 2 *percent* from +/- 1 *percent*), bottom panel for cloud cover ( $\Delta CC$  with steps of 1 *percent* from +/- 0.5 *percent*).



FIG. 15. Atmospheric parameters in the McRad and the 31R2 model for the month of January 2007 in same conditions as in Fig. 13. Top panels are for McRad, middle panels for 31R2, bottom panels are the differences McRad - 31R2. Left column is for the zonal wind (steps of  $3 m s^{-1}$  from  $-3 m s^{-1}$  for easterlies, steps of  $5 m s^{-1}$  from  $5 m s^{-1}$  for westerlies. Right column is for the vertical velocity (steps of  $0.02 Pa s^{-1}$  from  $+/- 0.01 Pa s^{-1}$ ). In bottom panels, steps are of  $0.2 m s^{-1}$  from  $+/- 0.1 m s^{-1}$  for  $\Delta U$ , and of 0.04 unit from +/- 0.02 unit for  $\Delta W$  (Note that for this last panel *unit* is  $10^{-1}Pa s^{-1}$ .



FIG. 16. The difference in outgoing long-wave radiation (left) and absorbed short-wave radiation (right) at the top of the atmosphere between the McRad and the 31R2 model for the month of January 2007. Upper panel is the average over the first 24 hours, lower panel over the last 24 hours of the ten-day forecasts. All quantities in  $W m^{-2}$ .



FIG. 17. The time-series of the r.m.s. error on the geopotential in the Northern hemisphere (left column), European area (middle column) and Southern hemisphere (right column) at 200, 500 and 1000 hPa (from top to bottom panels) over the period 20061201-20070430. Unit is  $nt s^{-2}$ 



FIG. 18. The time-series of the r.m.s. error on the vector wind in the Tropics  $(20^{\circ}N - 20^{\circ}S)$  at 100, 200, 500 and 850 hPa (from top to bottom panels) over the period 20061201-20070430.



FIG. 19. The r.m.s. error (top panels) and mean error (bottom panels) of the temperature at 850 hPa (left panels) and 200 hPa (right panels) for McRad 10-day forecasts at  $T_L$ 399L62, started every 96 hours from 2006021212 to 2007020512, and using the six different radiation grids from *R*255 to *R*31 given in Table 2.



FIG. 20. The ranked probability skill score for the geopotential at 500 hPa (upper panels) and the temperature at 850 hPa (lower panels) for the Northern (left column) and Southern (right column) hemispheres for the 32R2 EPS, with three sets of radiation grids: Black curve is for R159/R95, red for R95/R63, blue for R47/31.



FIG. 21. Comparison of annual mean sea surface temperature (SST) produced by the  $T_L$ 159*R*63 model for year 1 (left panels) and year 2 (right panels). Top panels are the differences between the McRad 32R2 model and ERA40 SSTs, middle panels the differences between the 31R1 model and ERA40 SSTs, lower panels are the differences between McRad 32R2 and 31R1 models. All values in K.



MOD08\_M3.005 Aerosol Optical Depth at 0.55 micron [unitiess]



NYD08\_M3.005 Aerosol Optical Depth at 0.55 micron [unitless] (Jul2007)



FIG. 22. The optical depth at 550 *nm* averaged over the month of July 2007. Top panel is the optical thickness derived from MODIS on Terra, bottom panel the optical thickness derived from MODIS on Aqua. Middle panel is the optical thickness produced by a series of 12-hour forecasts including prognostic aerosols, started from aerosol-free conditions on 15 April 2007.



FIG. 23. The optical depth at 550 *nm* averaged over the month of July 2007. Top panel is for the naturally occurring aerosols (sea salt and desert dust), bottom panel includes only a preliminary representation of anthropogenic aerosols from climatologies.



uesday 15 April 2003 12UTC ECMWF Forecast t+12 VT: Wednesday 16 April 2003 00UTC Surface: \*\*



FIG. 24. Top: The MODIS imagery for the desert dust plume of aerosols on 15 April 2003. (courtesy, Louis Gonzalez, Laboratoire d'Optique Atmosphérique de Lille, France) Bottom: The optical depth at 550 *nm* for desert dust aerosols on 15 April 2003, produced by the ECMWF forecast including prognostic aerosols, started from aerosol-free conditions on 1 December 2002.



FIG. 25. The total optical depth for 2 June 2007 00UTC produced by the ECMWF forecast including prognostic aerosols and simulated for the MODIS channels, from top to bottom, left to right, 469, 550, 670, 865, 1240, 1640 and 2130 *nm* respectively. Same forecast conditions as in Fig. 22. Note the different colour scale.