



**Assimilation of clouds and precipitation
General issues and prospects from future
sensors**

**Stephen J. English
Met Office**

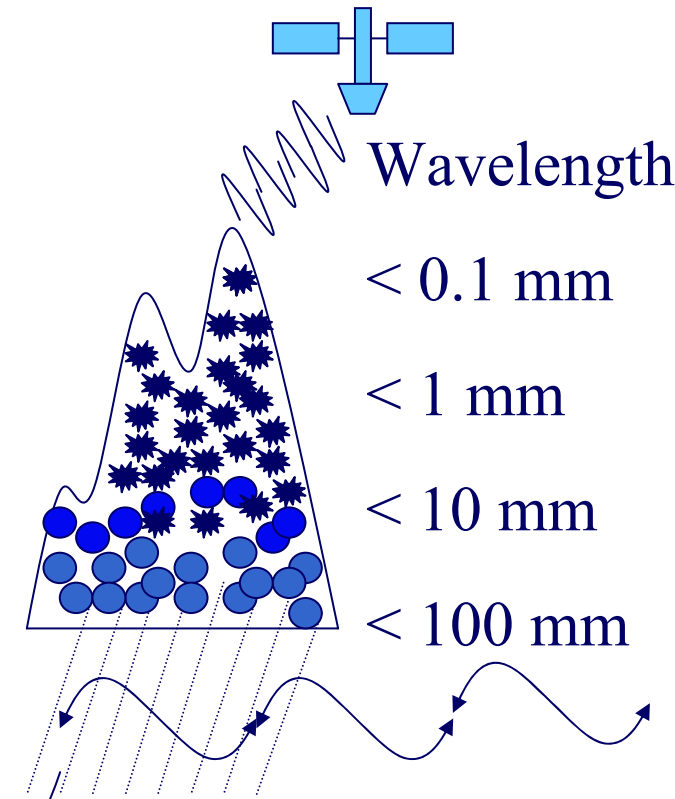
- Cloudy radiances – the basics
 - Existing sensors
- Applications of existing sensors
 - 1D-var analysis of cloud from AIRS
 - Assimilation of cloudy AMSU-A microwave radiances
 - The impact of ice cloud on MHS and AMSU-B
 - Assimilation of cloudy geostationary IR radiances (SEVIRI)
- Future sensors
 - Sub-mm sensors
 - Geostationary MW
 - Polarimetric radiometers (including wind vector potential)

Cloudy radiances - basics



Polar Orbit
830 km
FOV size

			Wavelength
MODIS	Infrared	< 1 km	< 0.1 mm
CIWSIR	Sub-mm	> 1 km	< 1 mm
MHS	Microwave	> 10 km	< 10 mm
AMSR	Radiowave	> 100 km	< 100 mm



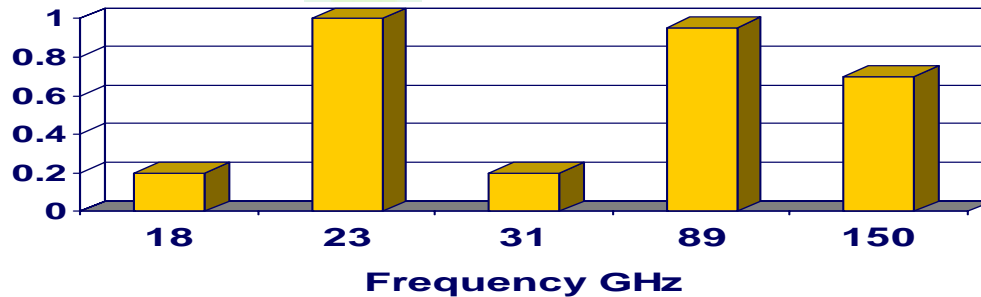
~ 100 mm

Visible channels (e.g. $0.6 \mu\text{m}$) ignored in this presentation though as clouds are non-absorbing in Vis bulk quantities e.g. LWP can be analysed.

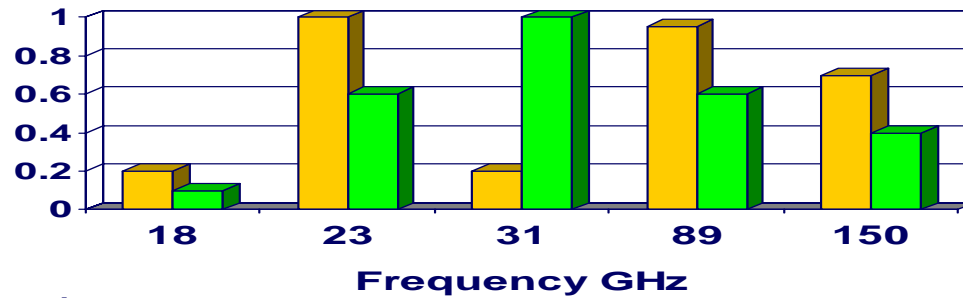
Microwave "window" channels: schematic



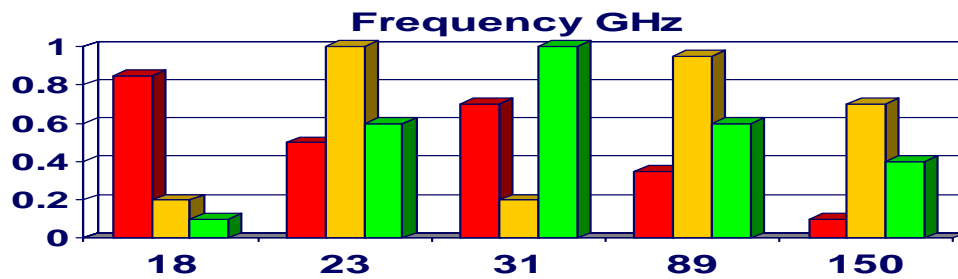
Normalised sensitivity



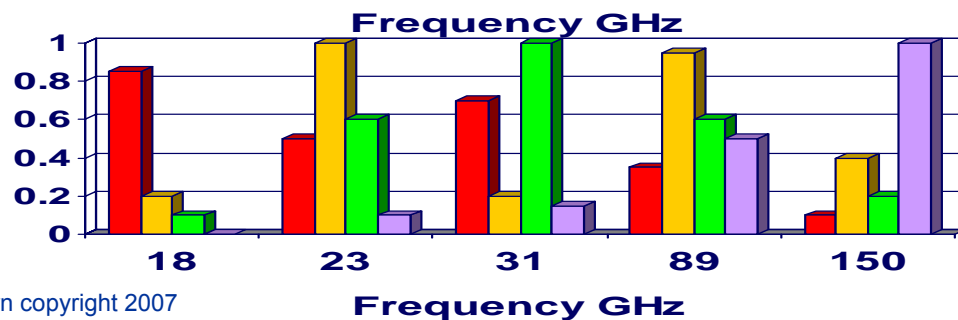
Water vapour



Water vapour
Cloud liquid water



Surface
Water vapour
Cloud liquid water

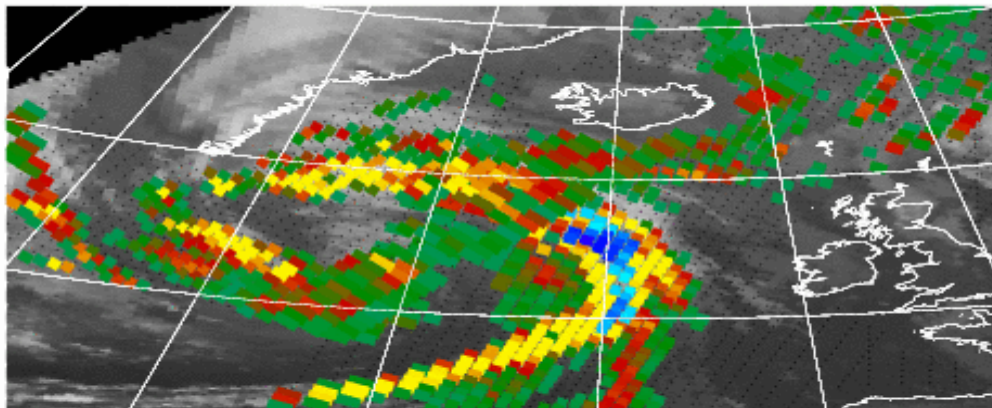


Surface
Water vapour
Cloud liquid water
Ice scattering

SEVIRI/AMSU-A/MHS composite image

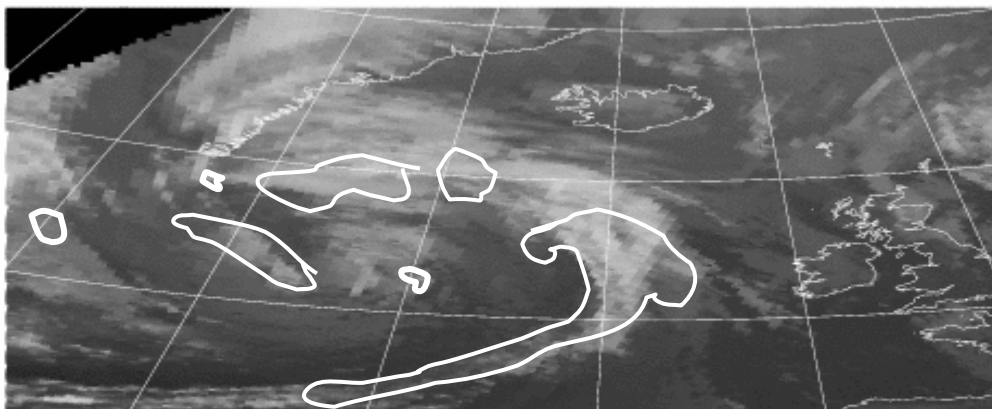


Green to red
to yellow
Cloud liquid
water derived
from 23/31
GHz



(AAPP)

White lines
denote high
cloud LWP.



Blue to purple
Heavy rain
derived from
23/89 GHz or
89/150 GHz

(AAPP)

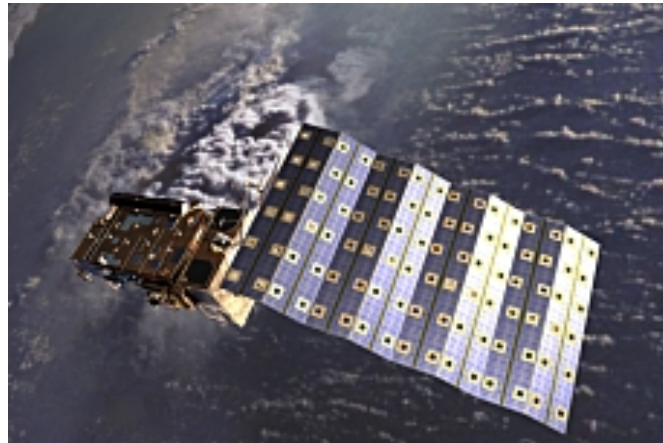
Gray scale =
IR image.

Clouds and precipitation: issues



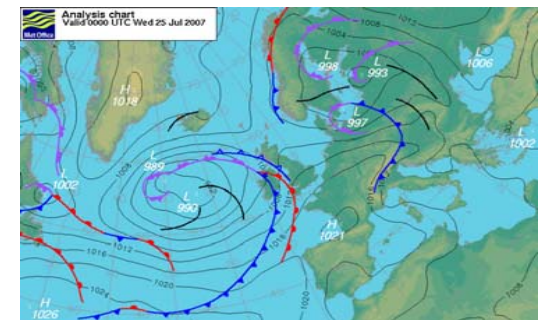
Sounding (**IASI, AIRS, AMSU, MHS**)

Surface (**ASCAT, QuikSCAT, WindSat, SSM/I, AVHRR, MODIS**)



Sounding (**IASI, AIRS, AMSU, MHS**)

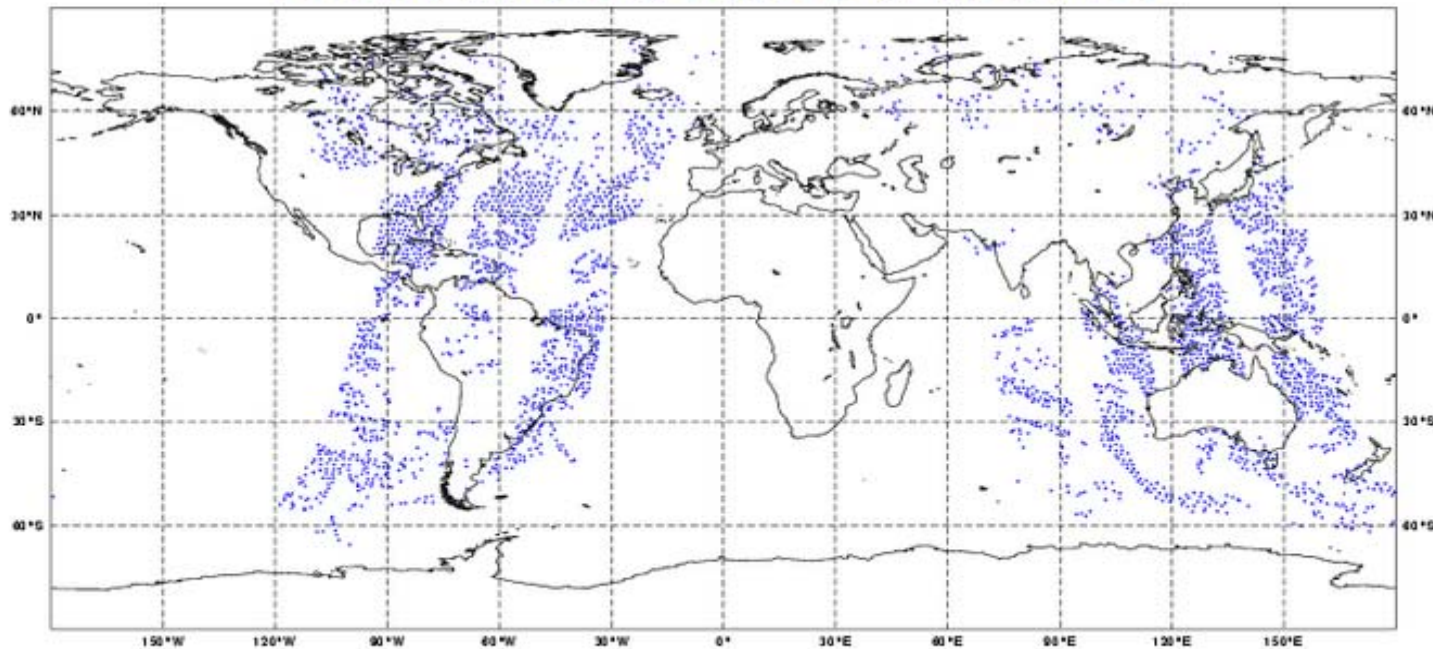
Surface (**ASCAT, QuikSCAT, WindSat, SSM/I, AVHRR, MODIS**)



Data Coverage: AIRS (19/7/2007, 6 UTC, qu06)
Total number of observations assimilated: 2882



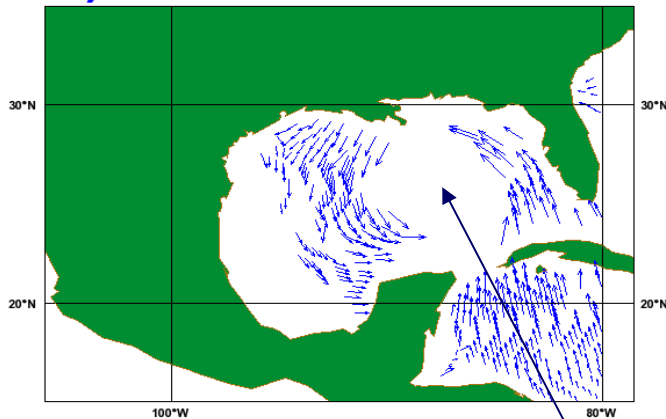
2882 0, Min: 784, Max: 784, Mean: 784



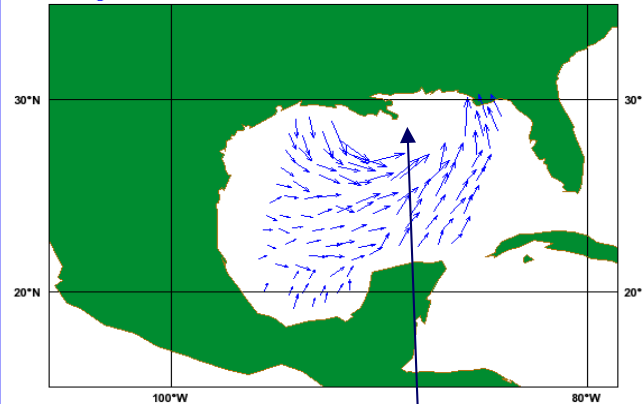
QuikScat, WindSat, ERS-2 over Hurricane Katrina



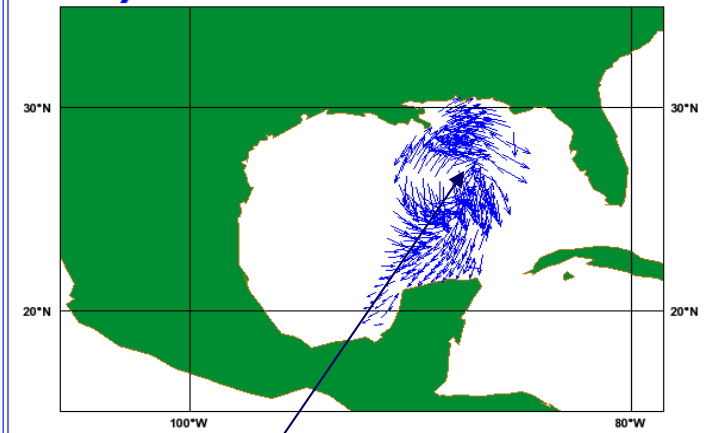
a) Quikscat 20050828



b) WindSat 20050829



c) ERS-2 20050828



Both Ku-band (14 GHz) and WindSat (10 & 18 GHz) struggle near storm centre.

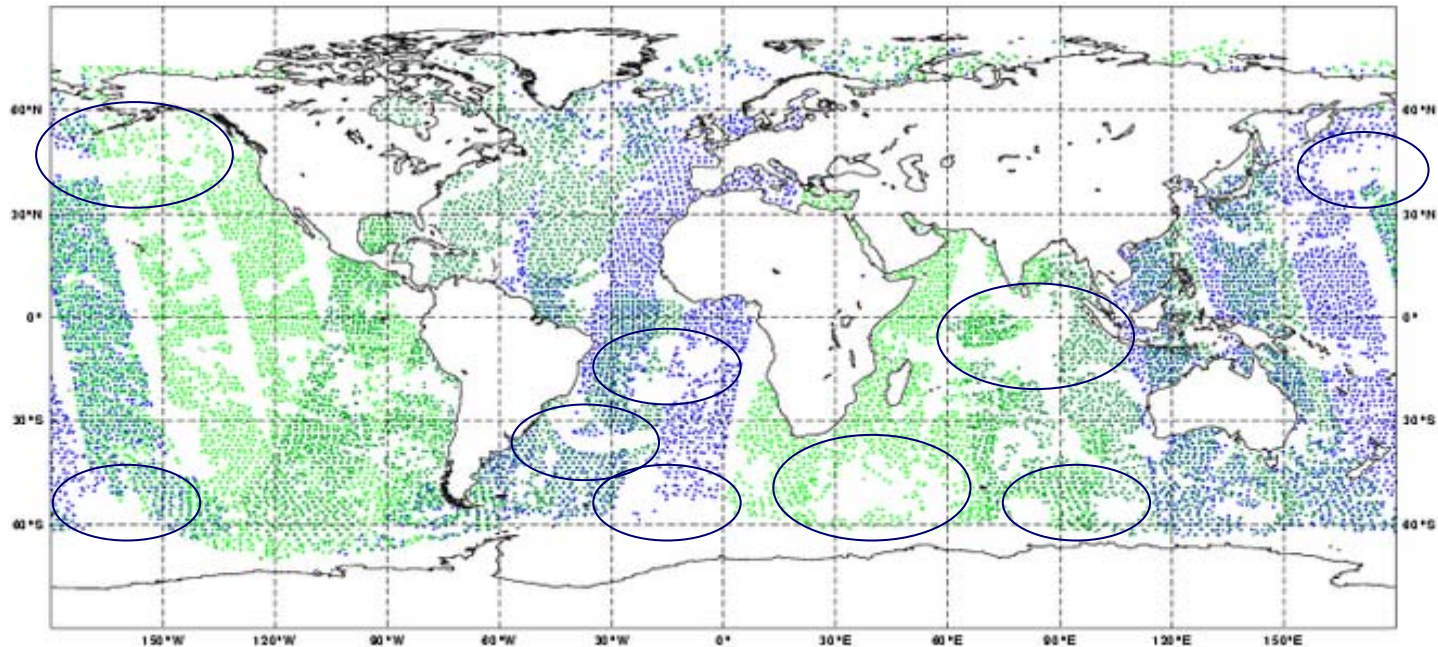
C-band (6 GHz) OK.

Low level microwave AMSU Ch.5, peak 750 hPa



Data Coverage: SatRad ATOVS Brightness Temperature, ATOVS_AMSU_5 (19/7/2007, 6 UTC, qu06)
Total number of observations assimilated: 13895

4734 METOP-A, Min: 224.31, Max: 263.86, Mean: 248.798276299
4607 NOAA-18, Min: 225.95, Max: 262.4, Mean: 249.500633818
4554 NOAA-16, Min: 228.64, Max: 263.03, Mean: 250.728588054



There is a clear motivation to model cloud effects
on satellite data not just to reject cloudy
radiances

Coping with presence of cloud and rain

- 1D-var analysis of cloud and pass cloud information to assimilation system with radiances (e.g. Pavelin).
- EOF regularisation e.g. NESDIS MIRS system (Boukabarra, Weng, Zhao & Ferraro).

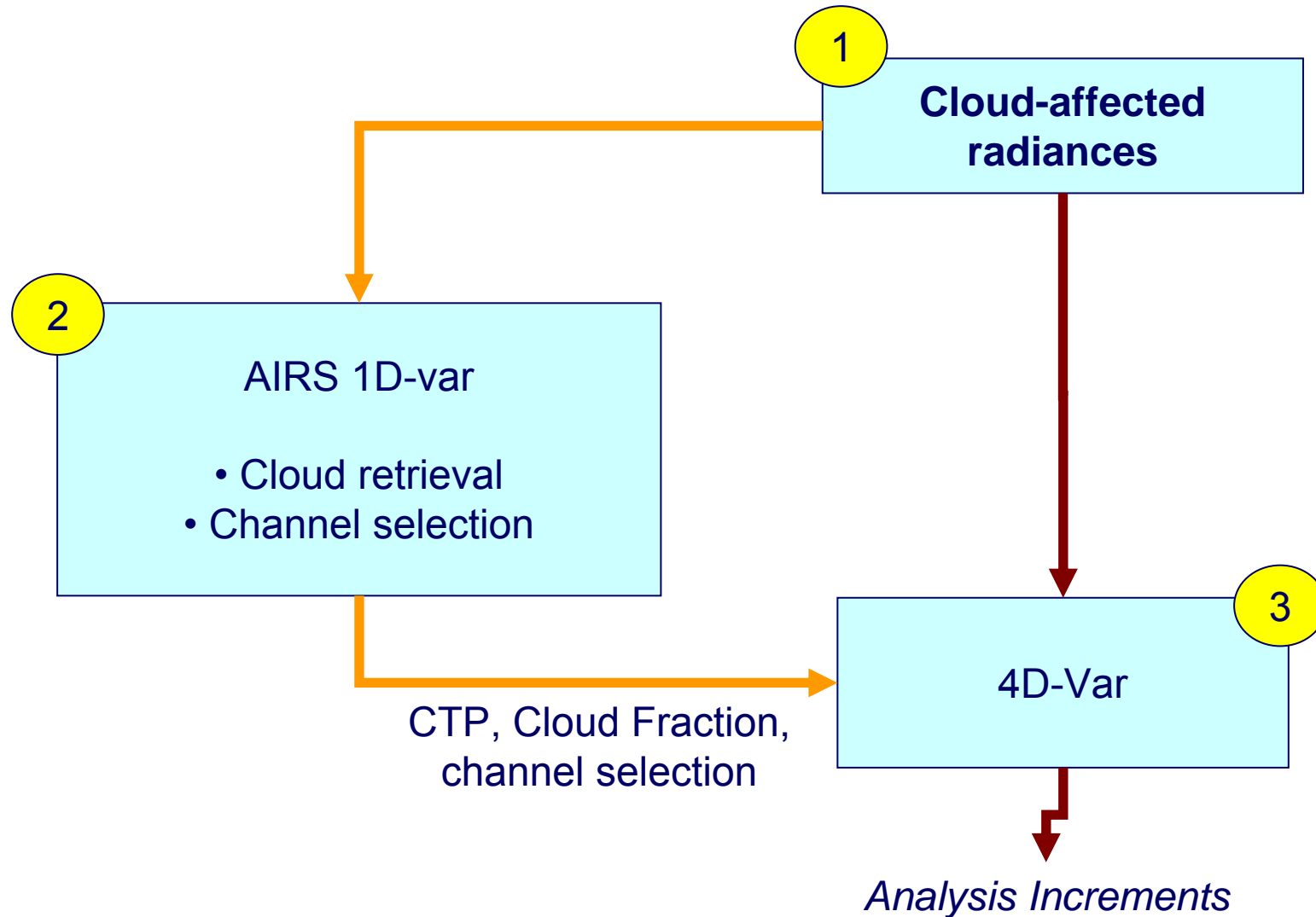
Extracting cloud/rain information

- Analyse cloudy radiances in 1D-var; assimilate 1D-var geophysical product. e.g. Deblonde and Mahfouf 2007, Peter Bauer, today!
- Incrementing cloud operator in 4D-var and direct assimilation of cloudy microwave radiances (e.g. Una O'Keeffe (MW), Dingmin Li (IR) at Met Office).



Assimilation of cloudy AIRS radiances
(Ed Pavelin)

Simplified processing flowchart

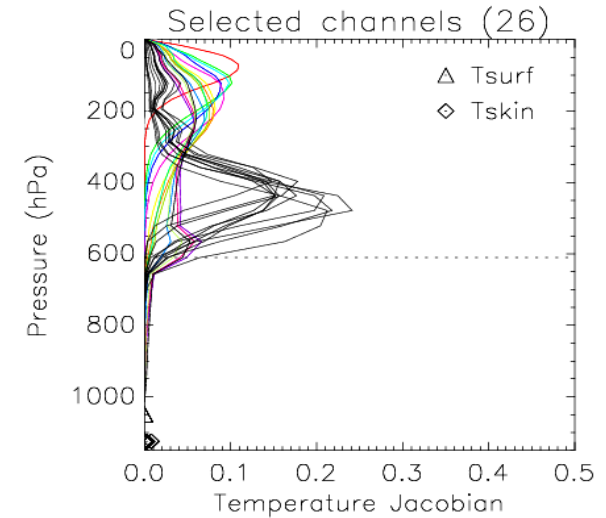
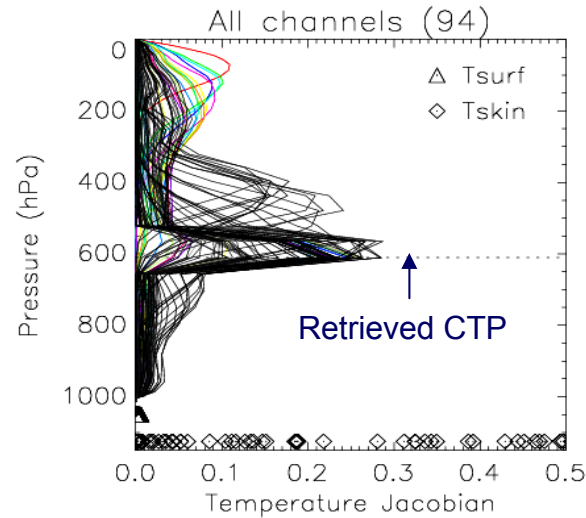


Example cloudy weighting functions ($\partial B_i / \partial T_j$)



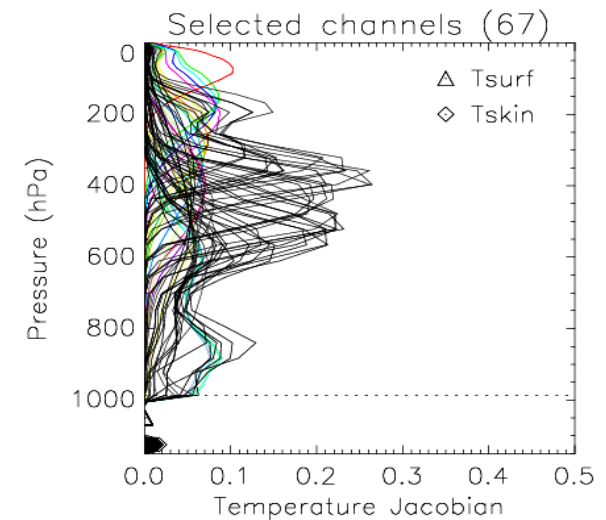
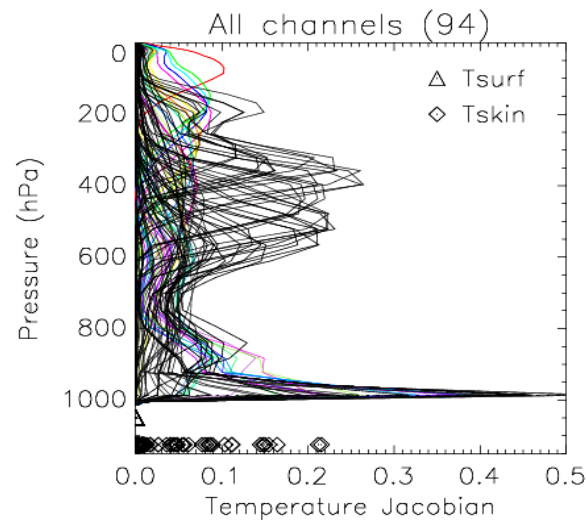
Mid-level cloud

- Use 26 of 94 channels



Low cloud

- Use 67 of 94 channels

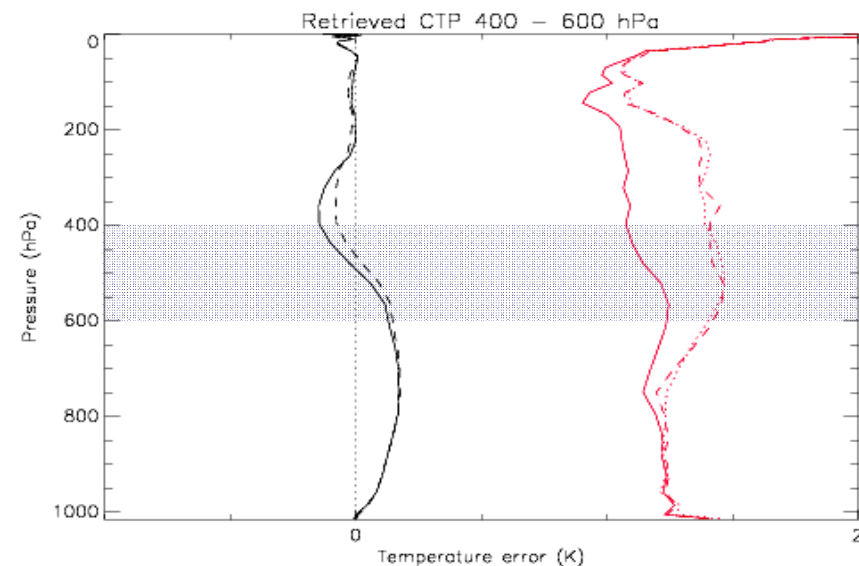
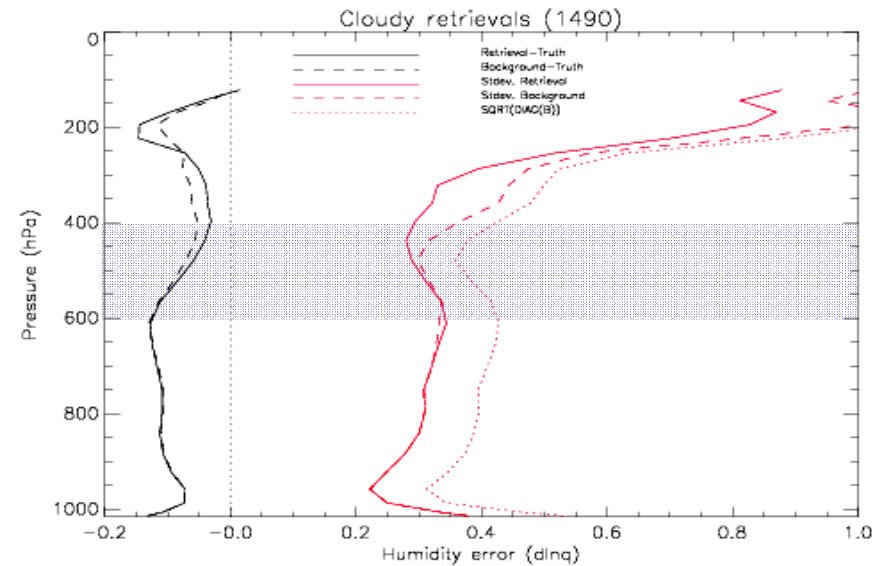


Example: Simulation for mid-level cloud



“Mid-level” cases: CTP 400-600 hPa

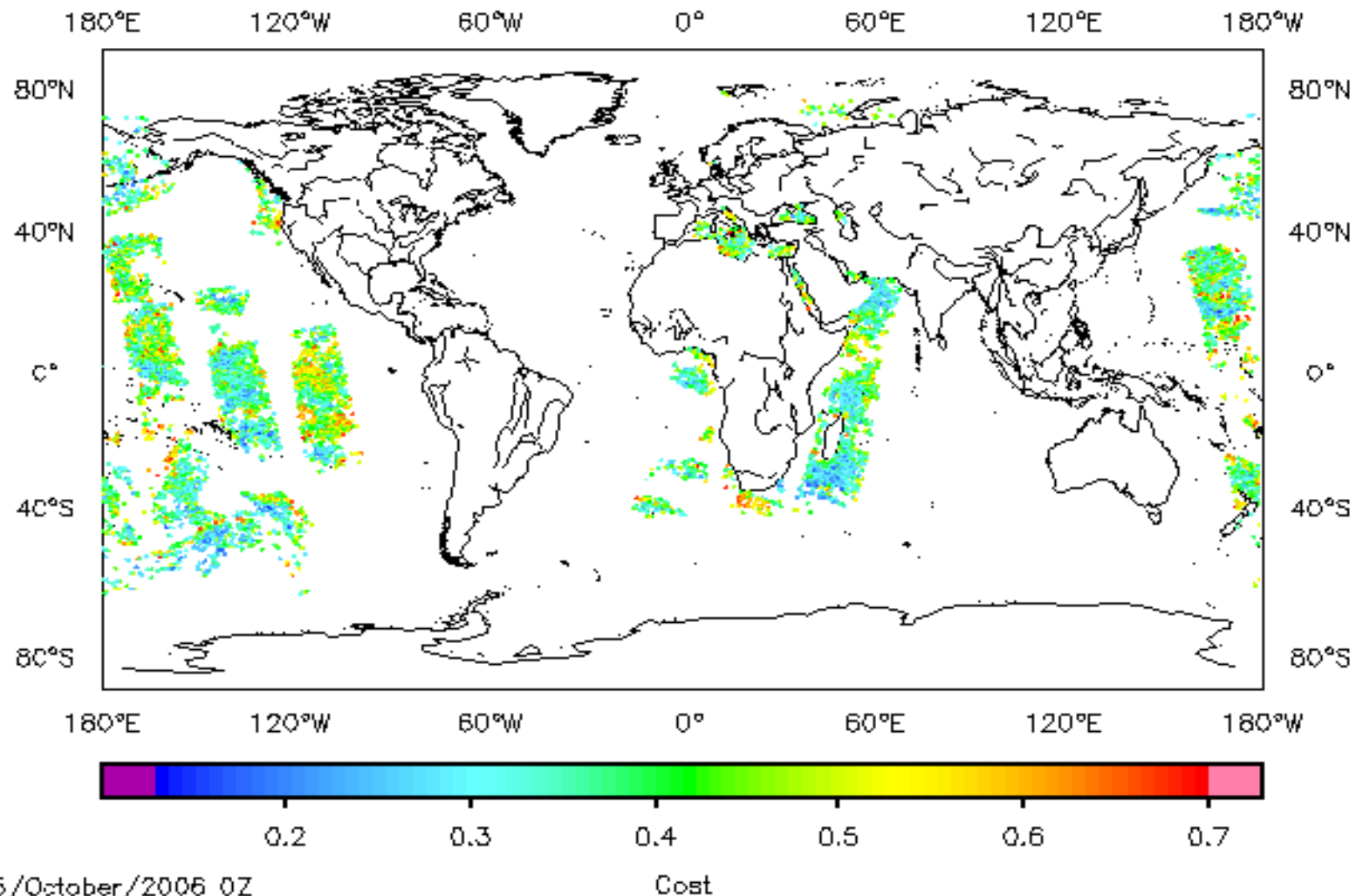
- 28% of 13495 cases
- Analysis improved above cloud
- Significant temperature information below cloud (from semi-transparent cloud + vertical correlations)
- Humidity analysis well-behaved below cloud (follows background)



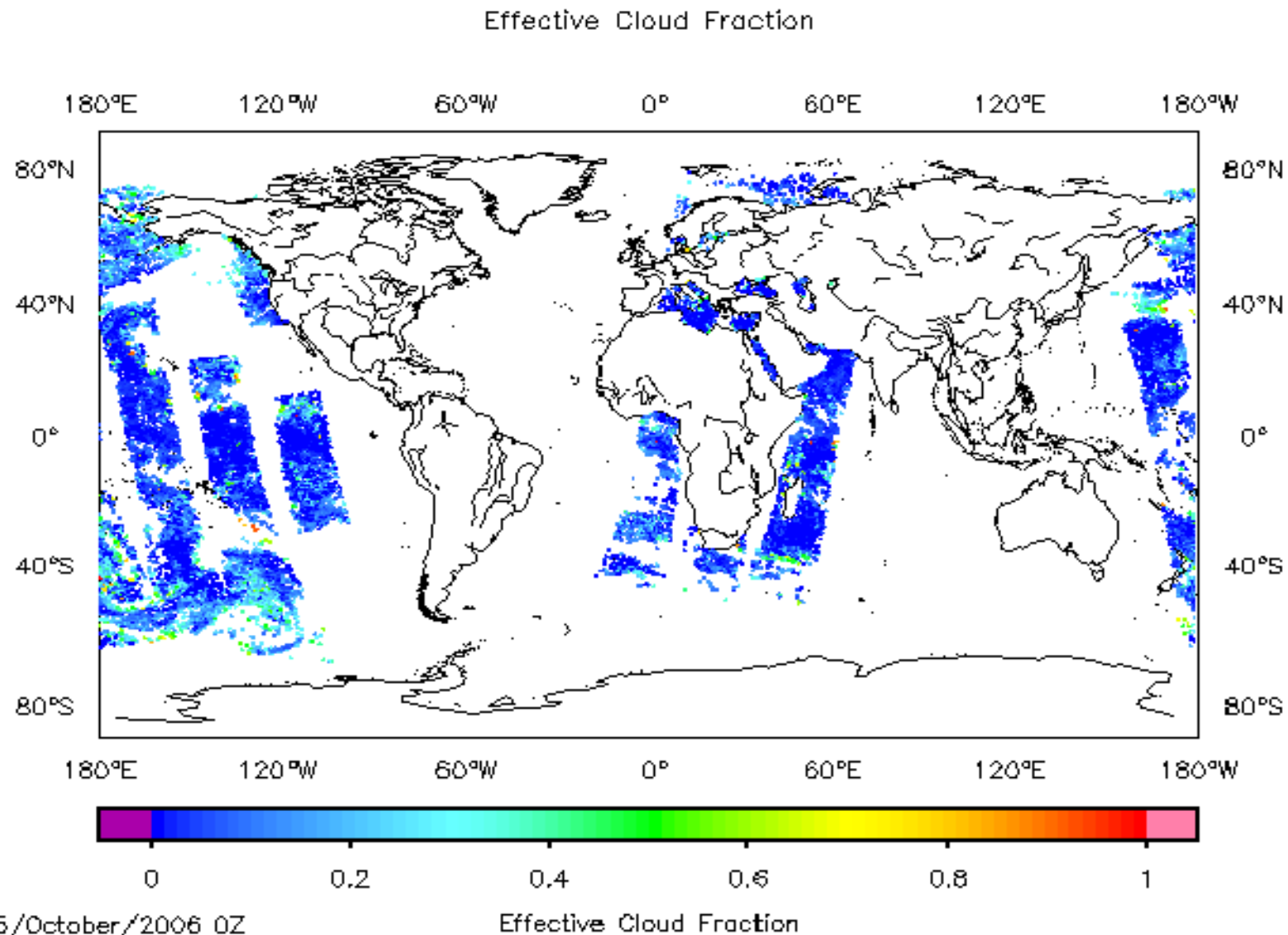
Coverage: Clear AIRS



1DVar Cost Function



Retrieved effective cloud fraction



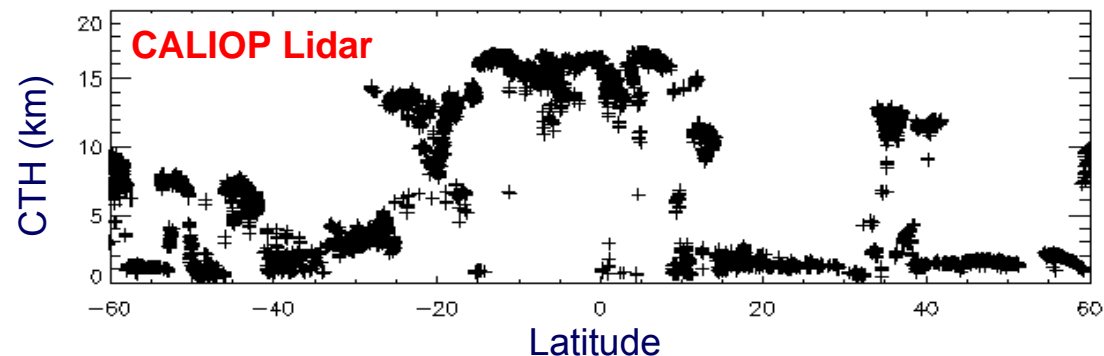
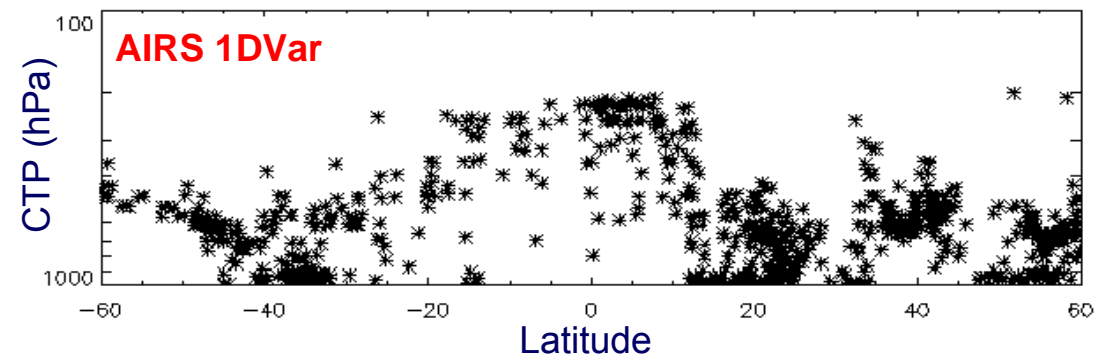
Aside: Validation of cloud retrievals



- **CALIPSO**: Spaceborne LIDAR (CALIOP)
 - Flies in A-Train close behind Aqua
 - Accurate cloud top height measurements

Qualitative comparison!

Section of one orbit



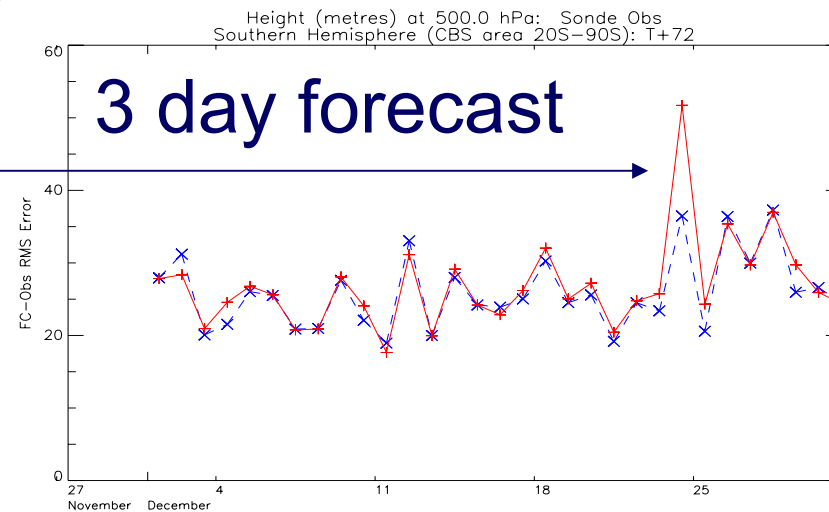
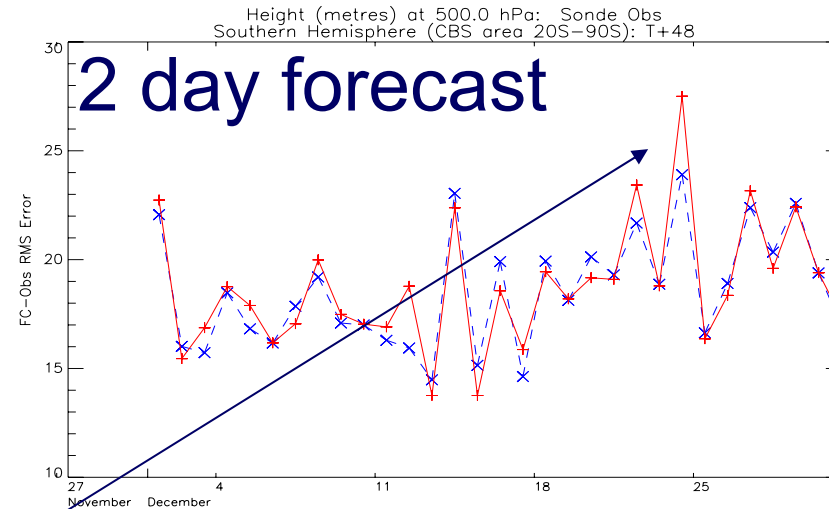
Cloudy AIRS radiances trial



Cases: + VAR-CNTRL-GM x VAR-TRIAL-GM

Average impact ~ 2
x cloud-free AIRS.

Some big impacts on
forecast “busts” (as
does clear AIRS)
e.g. here z500 SH
day 2 & 3





NOAA/NESDIS Microwave Integrated Retrieval System - MIRS

(Sid Boukabara, Fuzhong Weng, Limin Zhao, Ralph Ferraro)

Introduction to MIRS Concept



Variational Assimilation Retrieval (1DVAR)

CRTM as forward operator, validity-> clear, cloudy and precip conditions

Emissivity spectrum is part of the retrieved state vector

Algorithm valid in **all-weather conditions**, over **all-surface types**

Cloud & Precip profiles retrieval (no cloud top, thickness, etc)

EOF decomposition

Sensor-independent

Highly Modular Design

Flexibility and **Robustness**

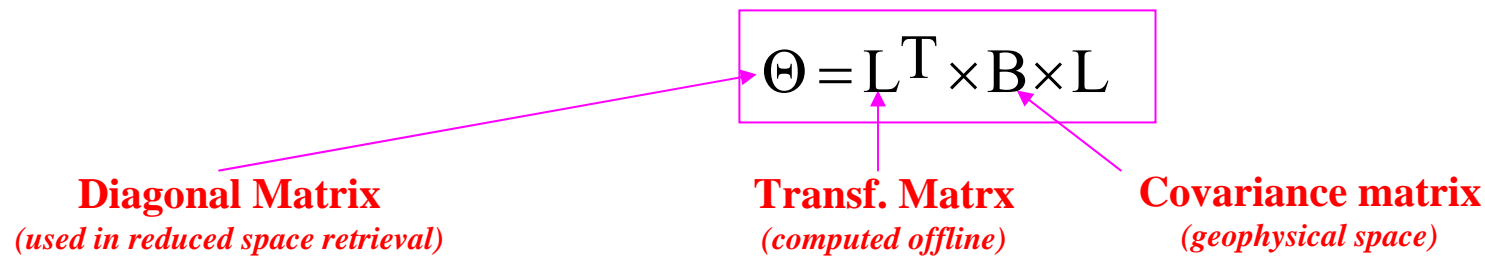
Modeling & Instrumental Errors are input to algorithm

Selection of Channels to use, parameters to retrieve

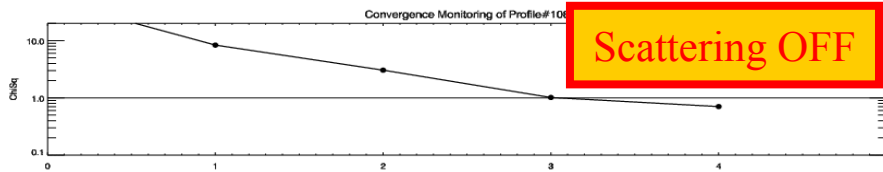
MIRS: Retrieval in Reduced Space (EOF Decomposition)



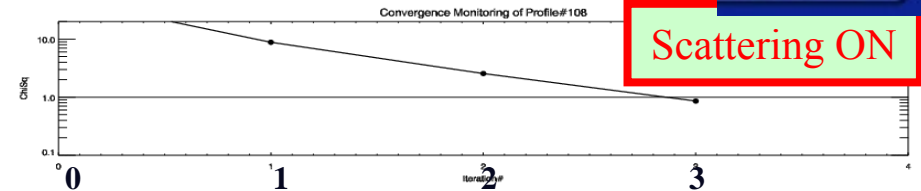
- All retrieval is done in EOF space, which allows:
 - Retrieval of profiles (T,Q, RR, etc): using a limited number of EOFs
 - More stable inversion: smaller matrix but also quasi-diagonal
 - Time saving: smaller matrix to invert
- Mathematical Basis:
 - EOF decomposition (*or Eigenvalue Decomposition*)
 - By projecting back and forth Cov Matrix, Jacobians and X



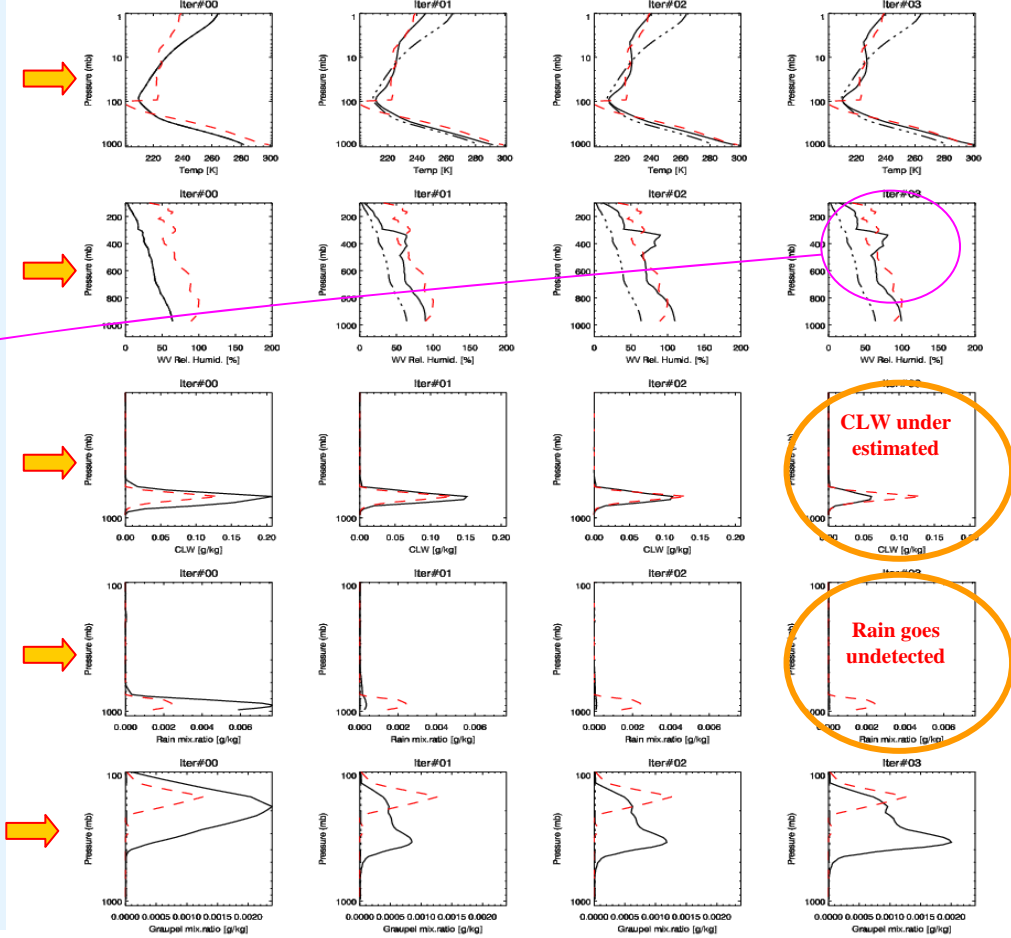
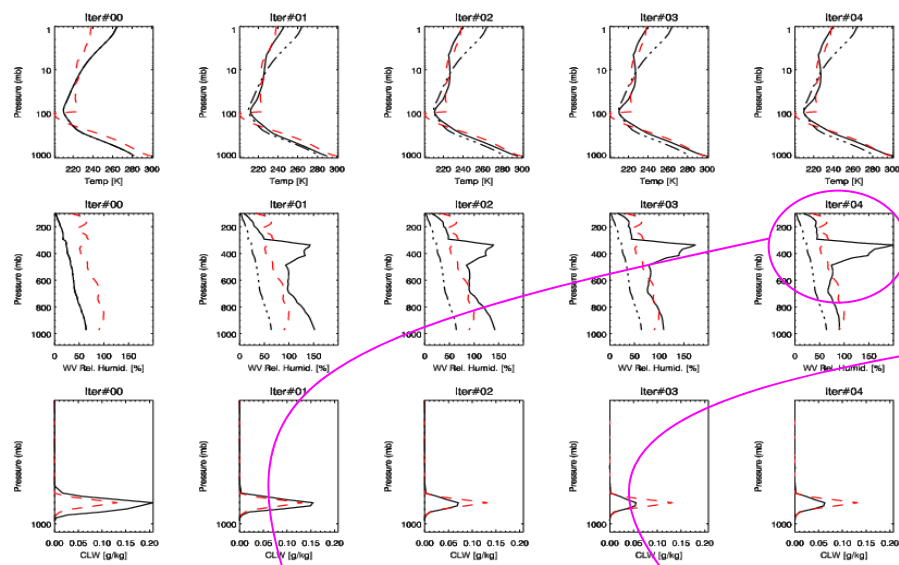
MIRS: Assessment in a Precipitating Case



Scattering OFF



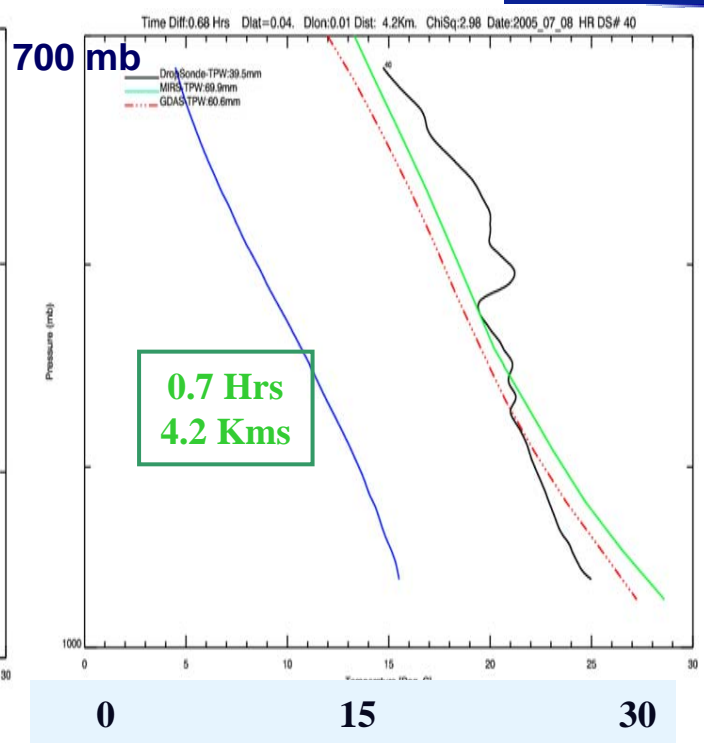
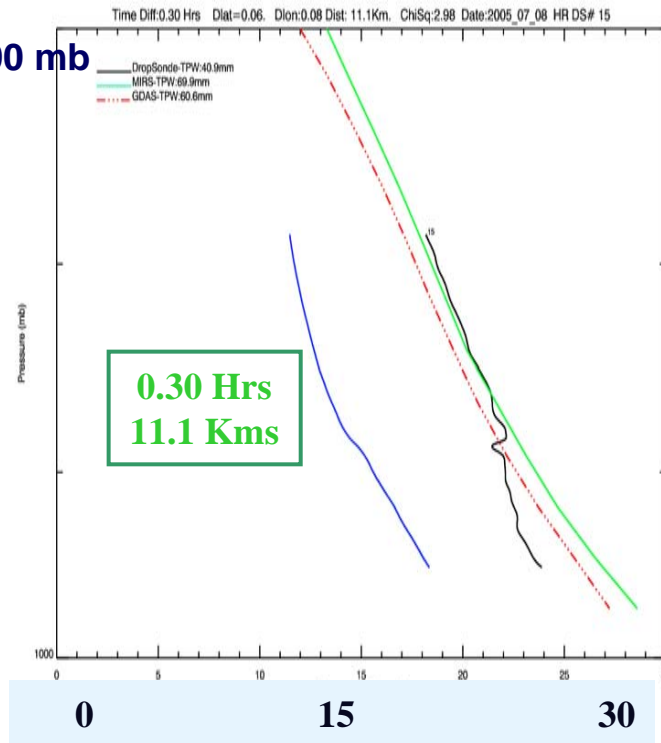
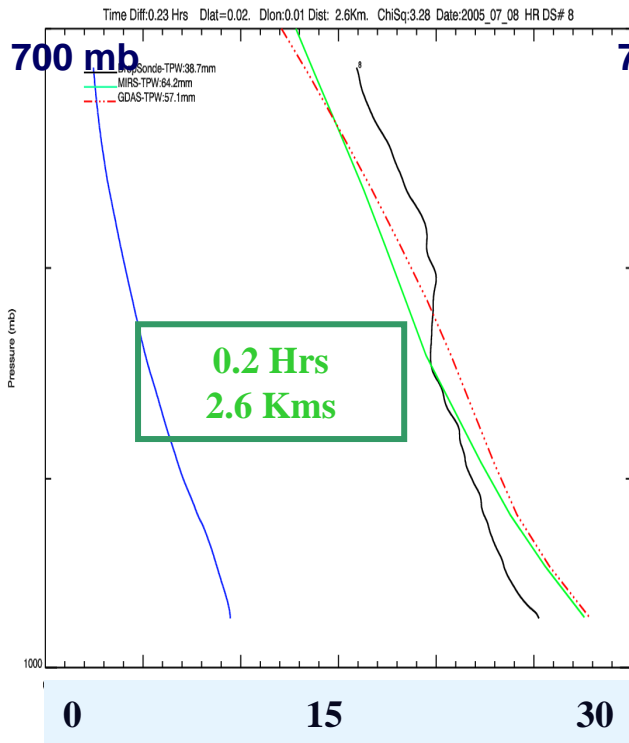
Scattering ON



When scattering is OFF, Water vapor performance is hit.
When ON, 'precip-clearing' takes place

In precipitation, cross-compensation is affecting retrieval
Radiometric solution reached but is not the geophysical one

MIRS: N-18 Profiling In Active Areas

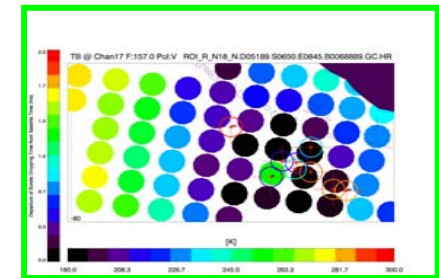


[Deg. C]
[Kms]

Retrieval
 GDAS
 DropSonde

Profile of DS Distance Departure

© Crown copyright 2007





Assimilation of cloudy radiances in 4D-var using a total water control variable and a cloud incrementing operator

(Una O’Keeffe, Dingmin Li and Martin Sharpe)

Assimilating cloudy microwave radiances in 4D-Var (Martin Sharpe/Una O'Keeffe)



Total moisture analysis variable used in 4D-Var

Need cloud incrementing operator that relates liquid water and specific humidity to the total water control variable

$$\mathbf{C}_x^+ = \mathbf{C}_x + \mathbf{K}\mathbf{C}_w'$$

\mathbf{C}_x = model state (T,p,q,q_{cl},q_{ci},c_f)

\mathbf{C}_w' = analysis increment (T',p',q_T)

\mathbf{K} = incremental transform variable between control variable space and model parameter space (uses linearised physics).

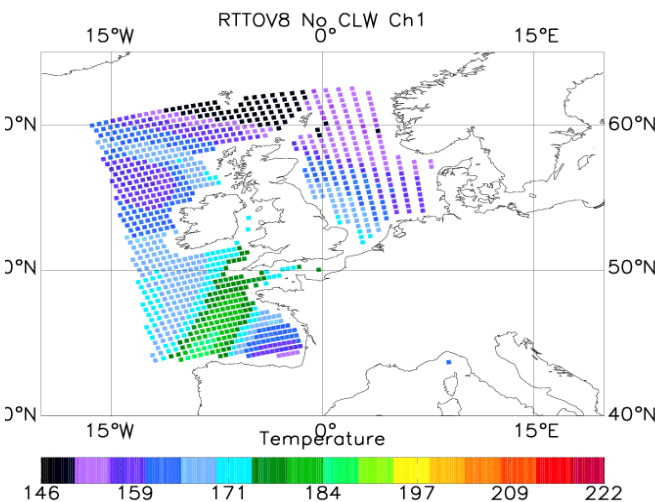
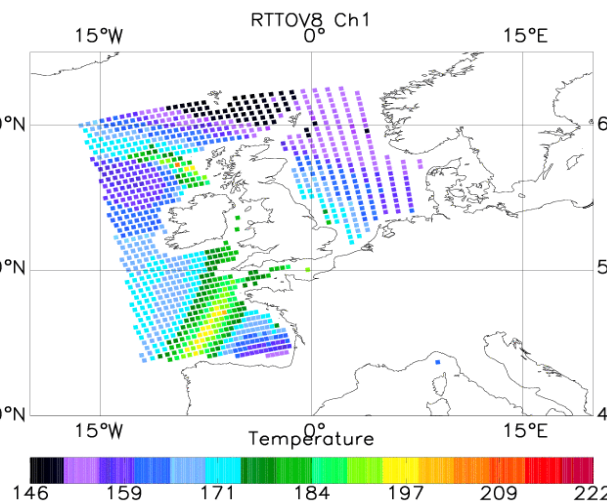
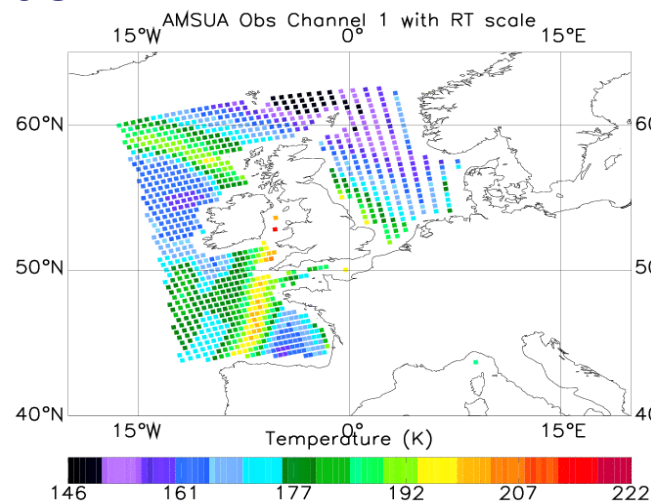
Information on cloud liquid water (Una O'Keeffe)



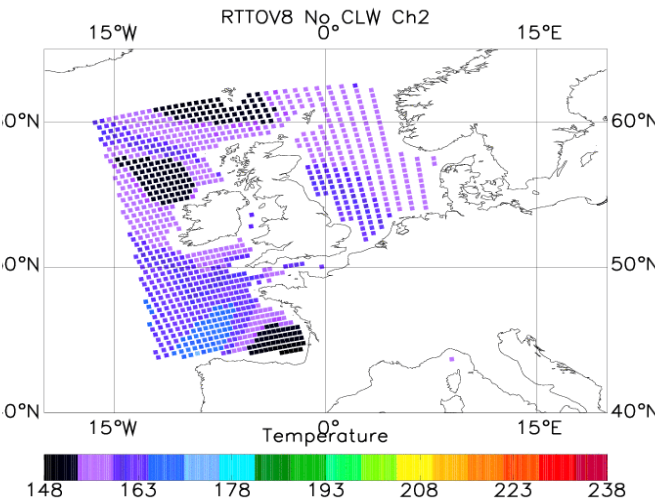
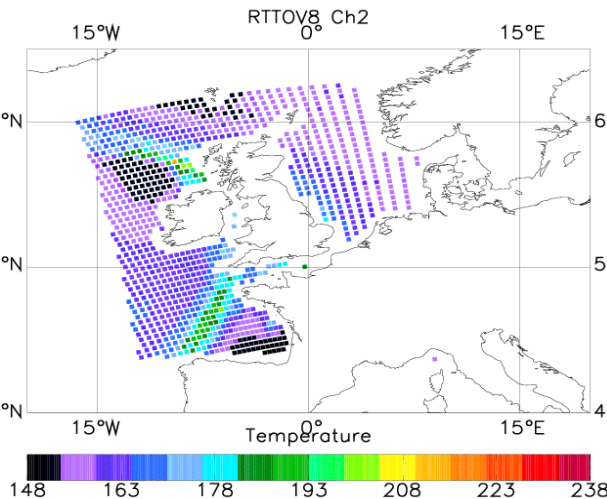
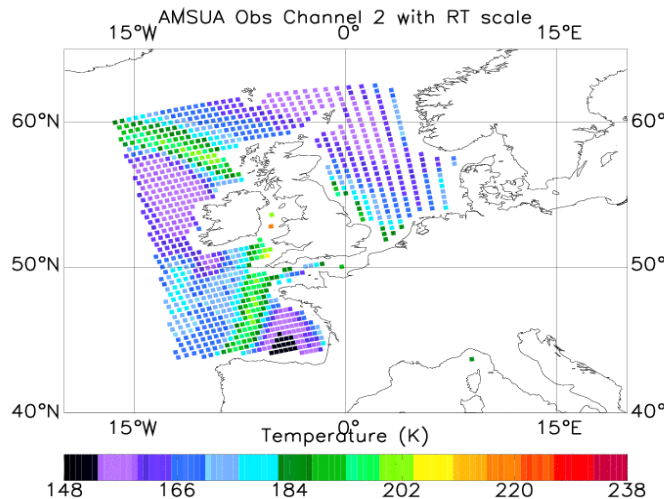
23GHz NOAA-16 Obs

RTTOV8 with clw emission

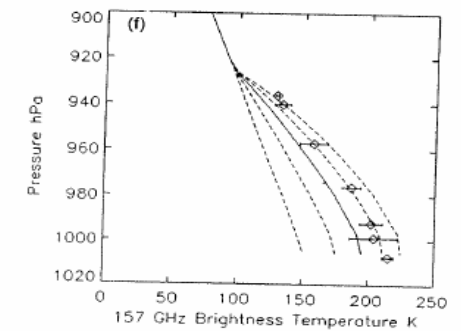
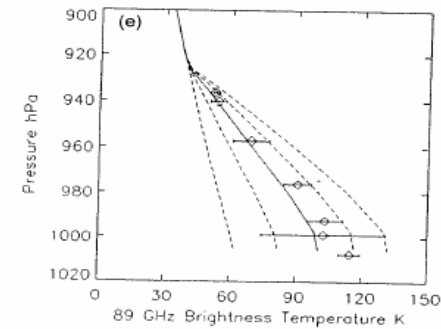
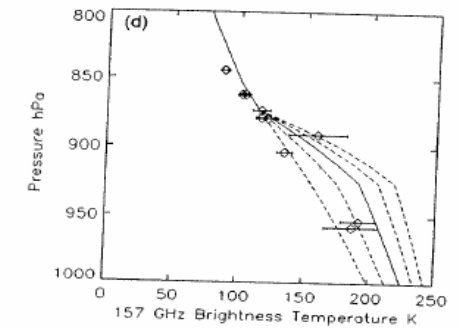
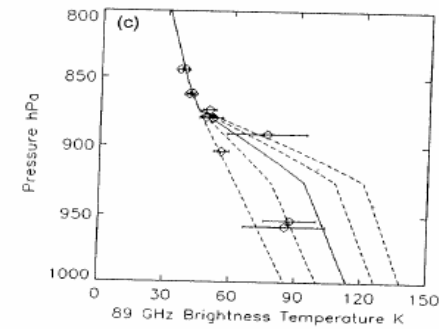
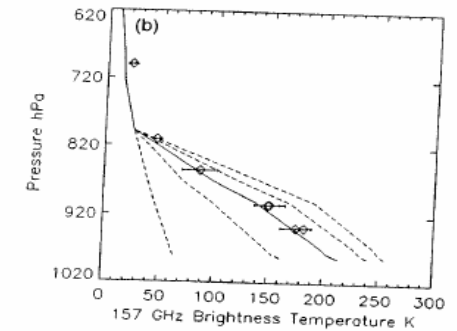
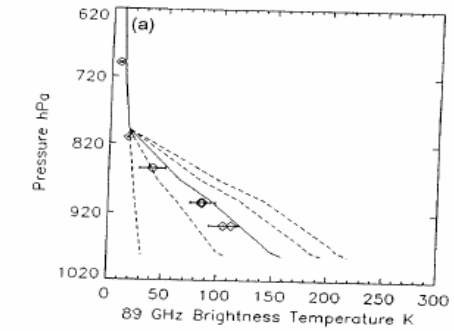
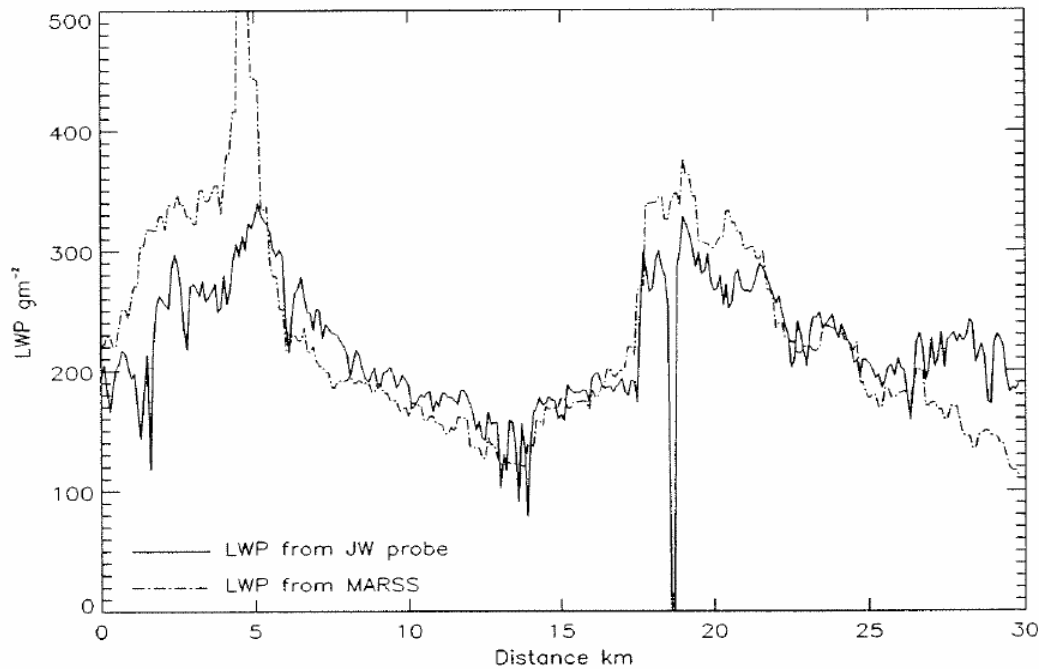
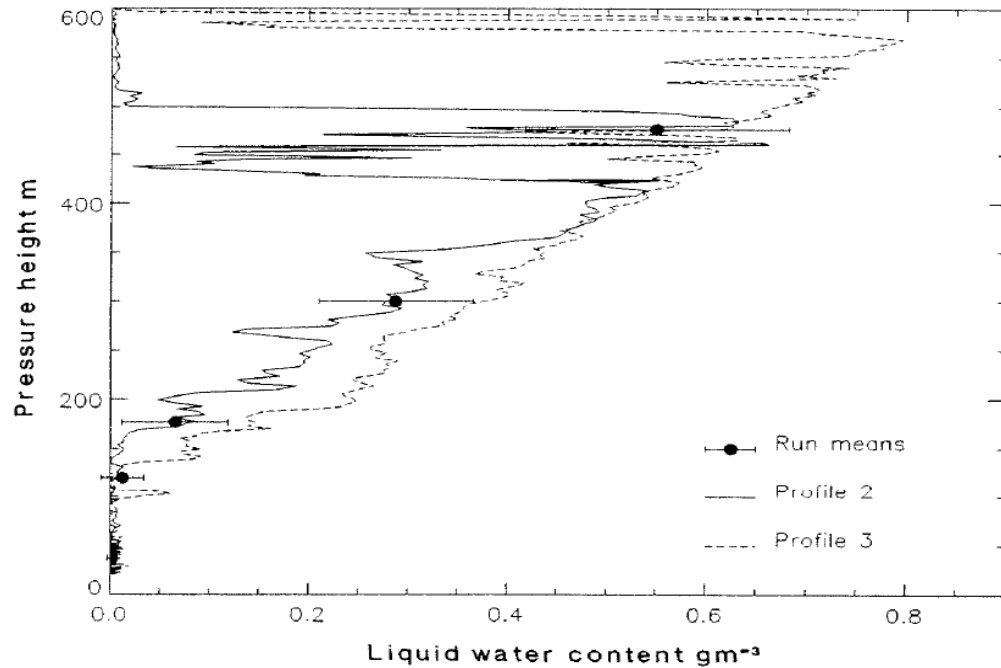
RTTOV8 without clw emission



31GHz



Aircraft validation



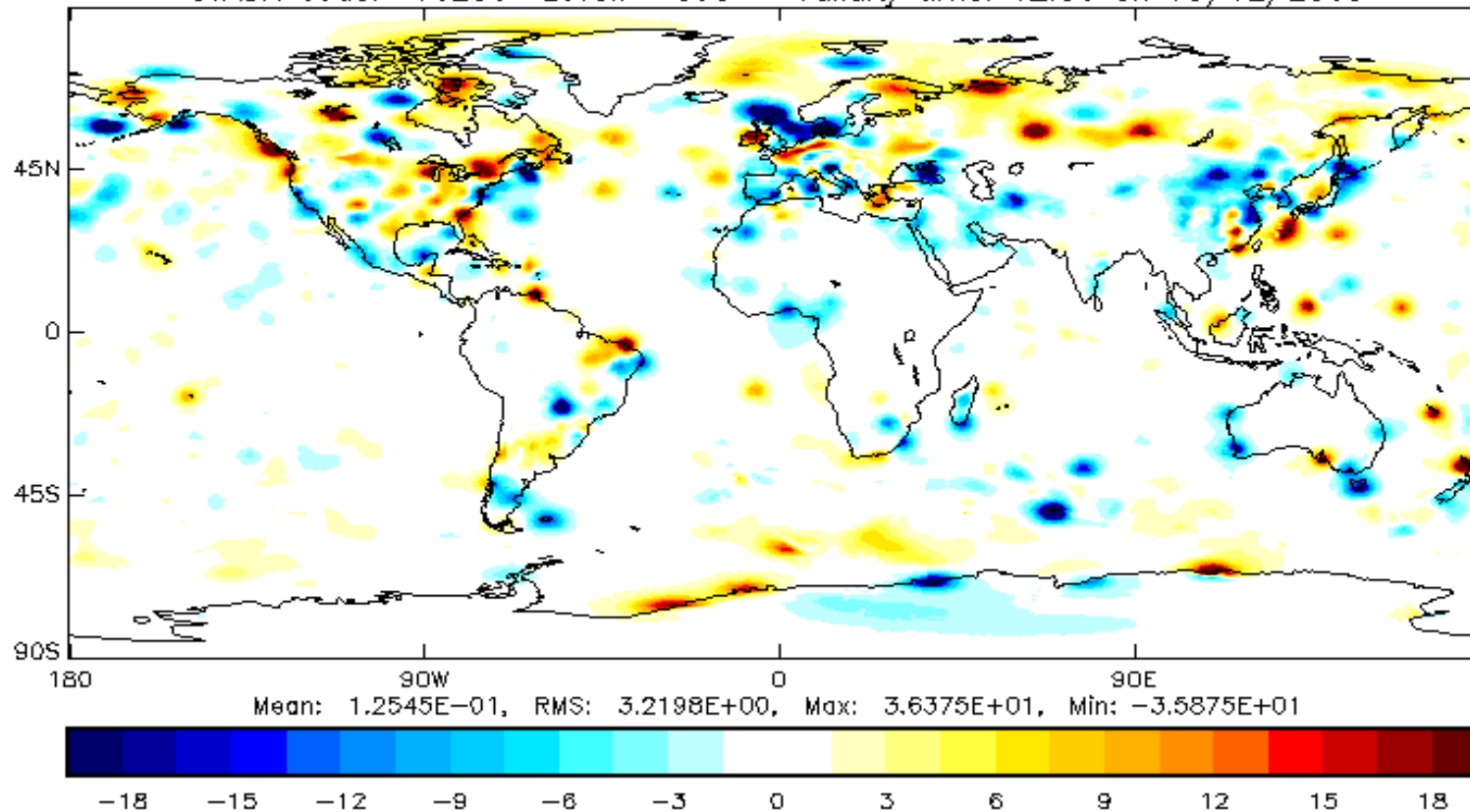
1. Test liquid cloud part only with microwave 23 and 31 GHz observations.
 - Validate radiative transfer.
 - Compare increments and check impact on fit to observations in next cycle.
 - Run simplified assimilation experiment (NOAA-16 only).

2. Extend to GeoIR cloudy radiances using ice cloud and cloud fraction in incrementing operator.

Cloudy 23 & 31 GHz Analysis Increments



qwqu12ff.initanl.20051213120000_sddpz - qwqu06ff.T6.20051213060000_sddpz
STASH code: 16256 Level: 850 Validity time: 12:00 on 13/12/2005

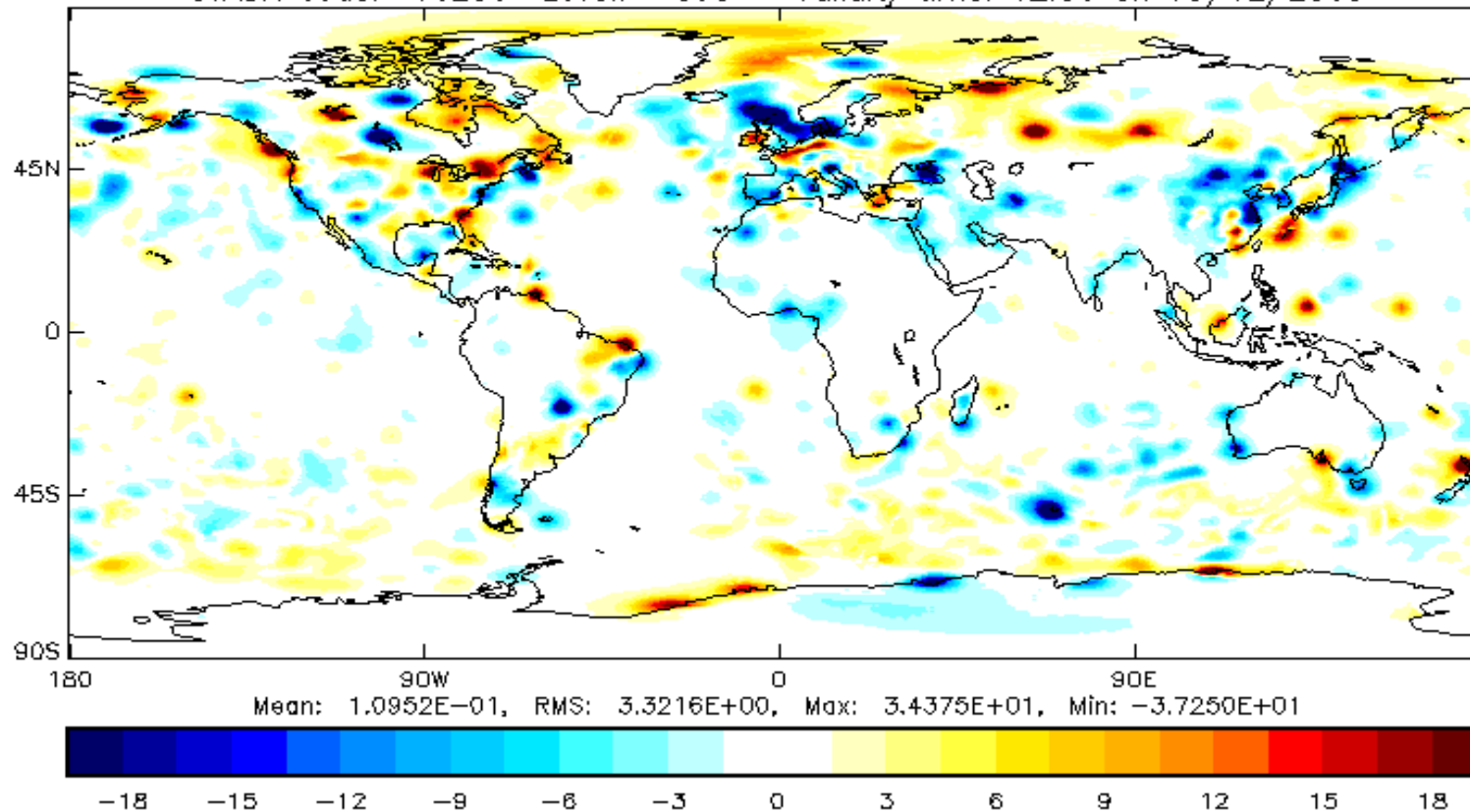


Specific humidity at 850 hPa.

Cloudy 23 & 31 GHz Analysis Increments

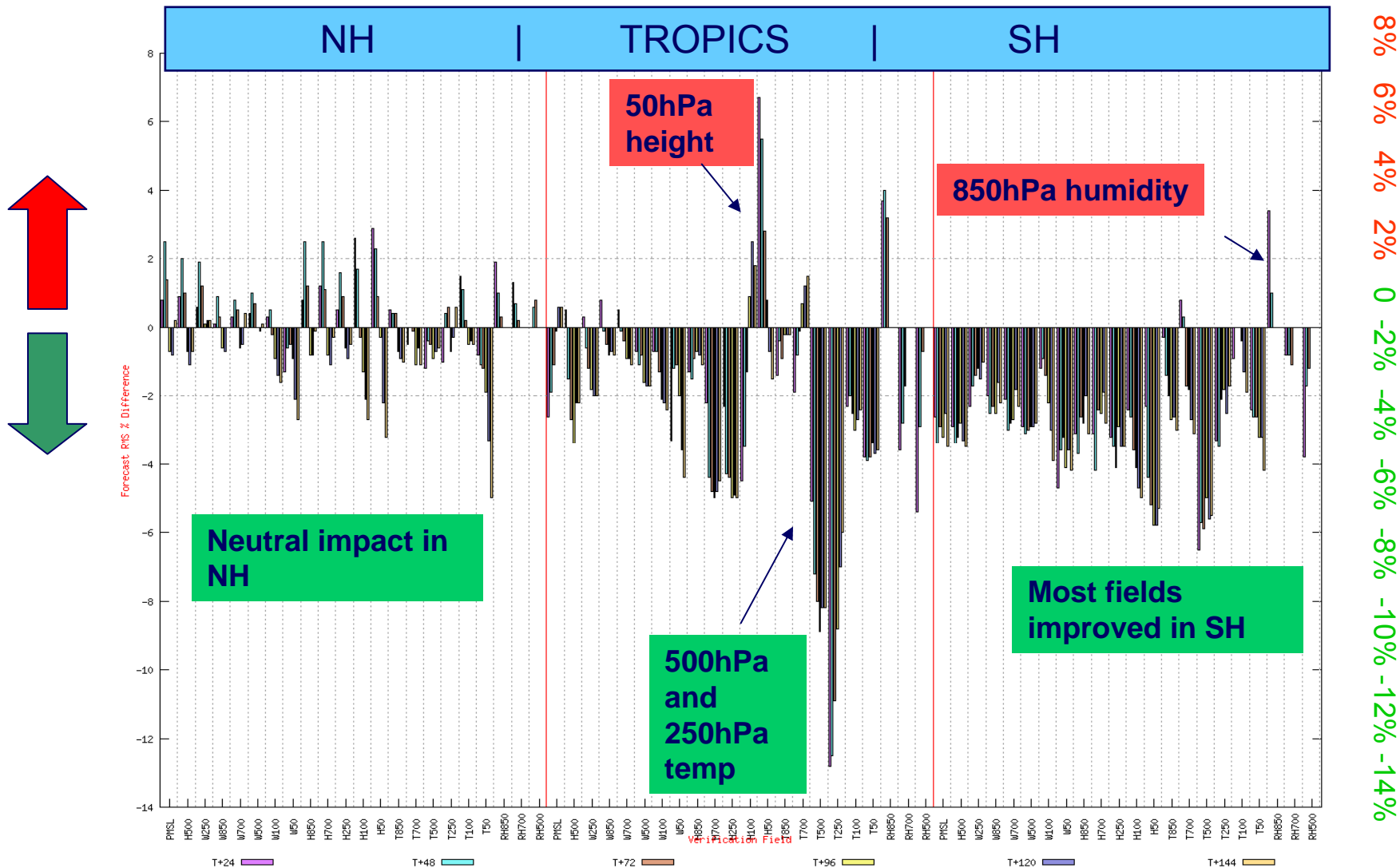


qwqu12ff.initanl.20051213120000_sddpi - qwqu06ff.T6.20051213060000_sddpi
STASH code: 16256 Level: 850 Validity time: 12:00 on 13/12/2005



Specific humidity at 850 hPa.

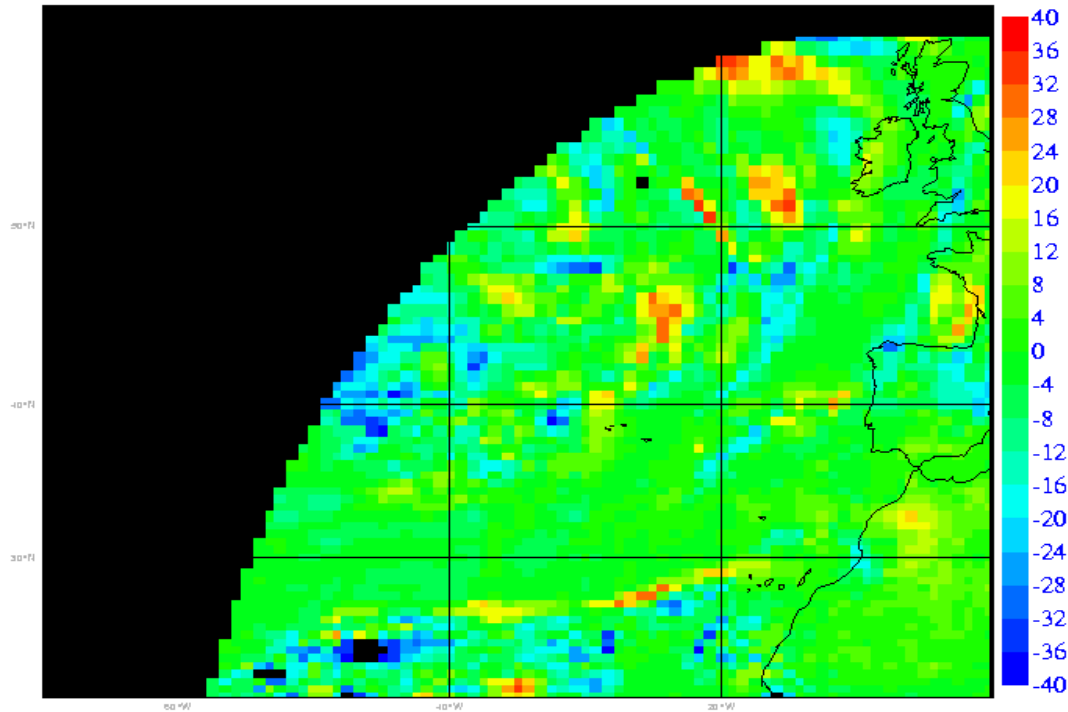
Impact on large scale fields fit to analysis



Ice incrementing operator: GeoIR assimilation

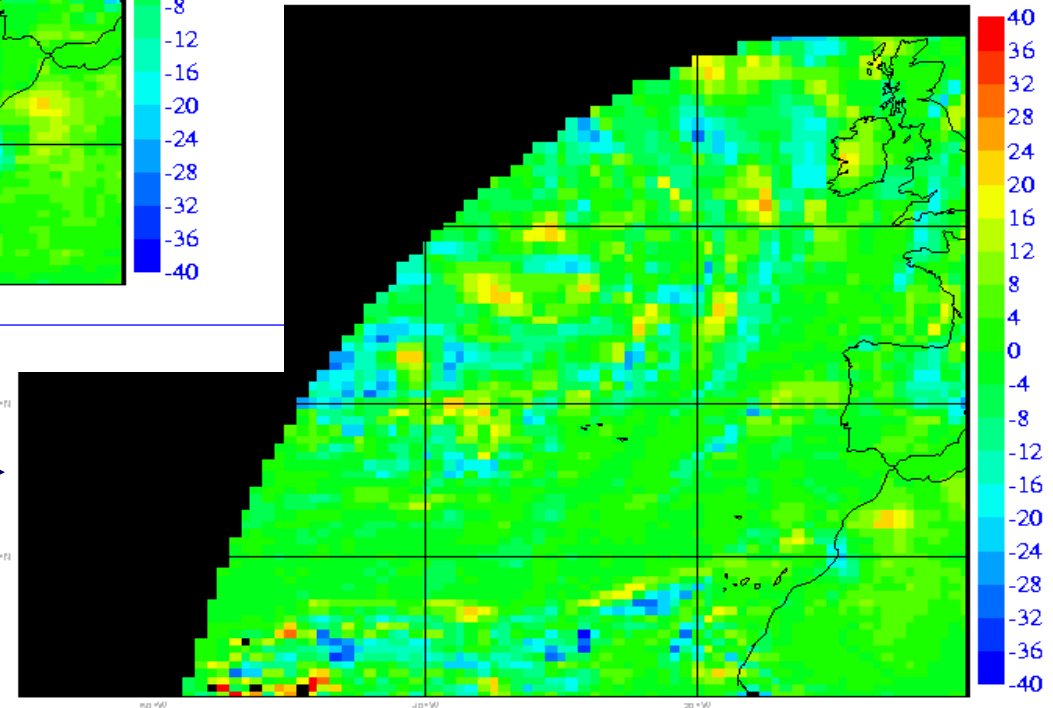


O-B Brightness Temperature VT 16 Jan. 2007 12UTC



Observation
minus
background

ure VT: 16 Jan. 2007 12UTC Analysis by 3D-Var 1-imagery data



Observation
minus
analysis



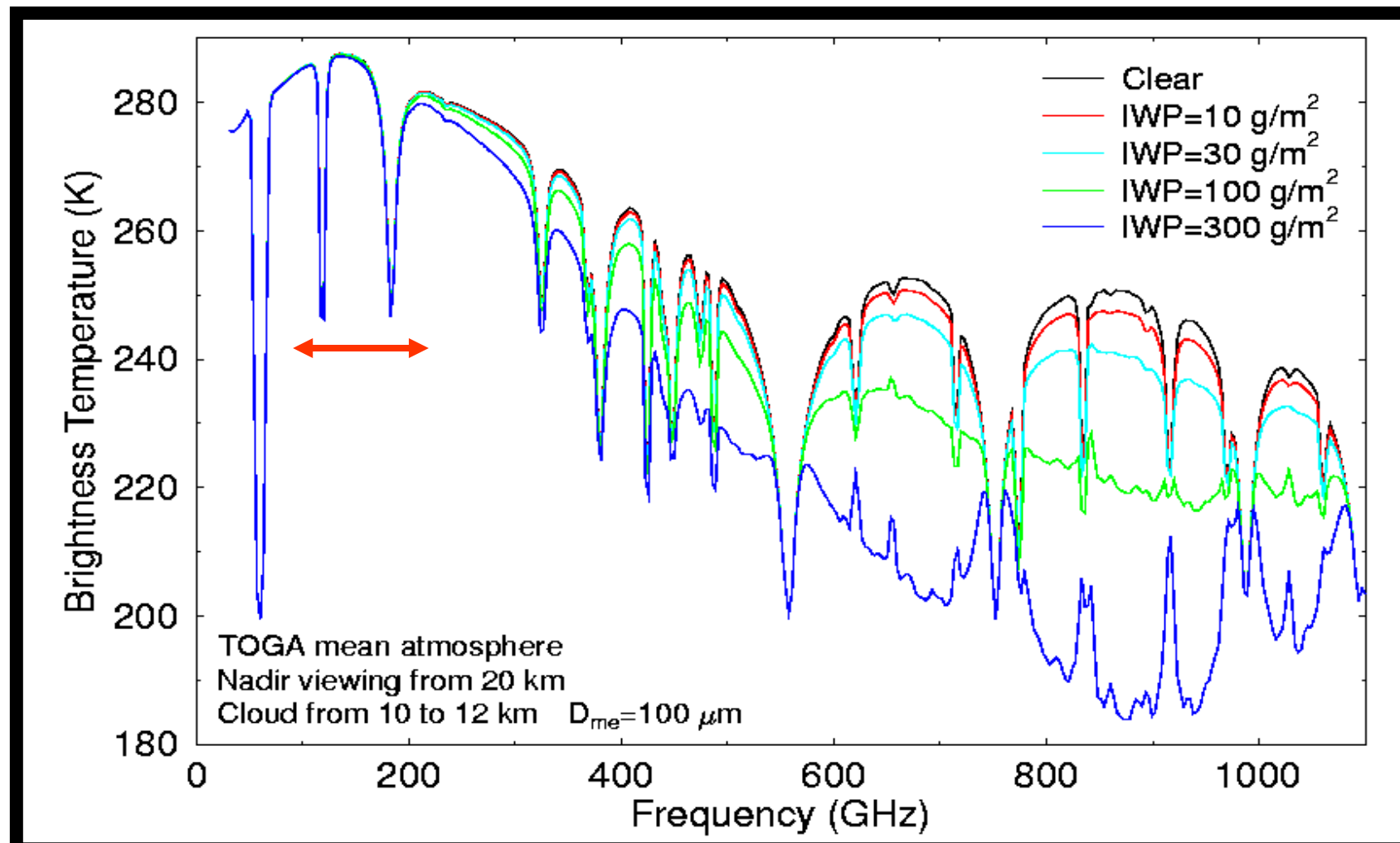
Use of AMSU-B and MHS data in the presence of ice cloud and precipitation

(Amy Doherty)

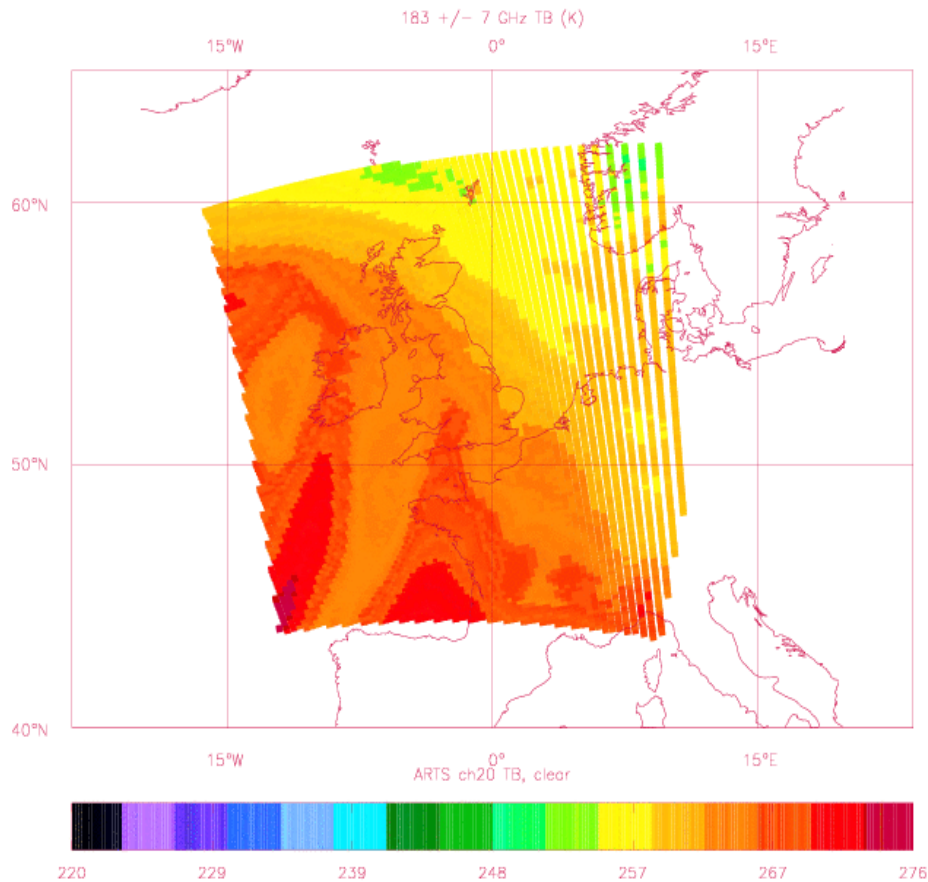
Effect of ice at microwave frequencies



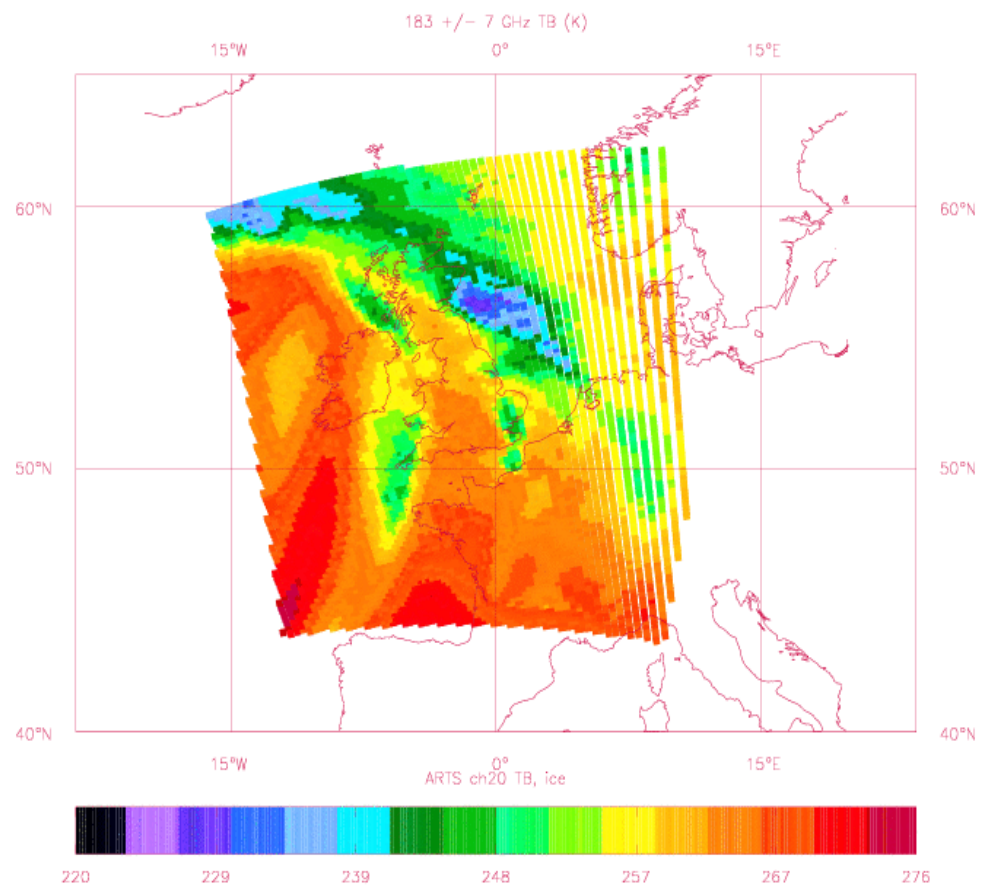
Courtesy of Frank Evans



Ice signal in AMSU-B channel 20 Brightness Temperatures: 10s of Kelvin.



Simulation without ice



Simulation with ice

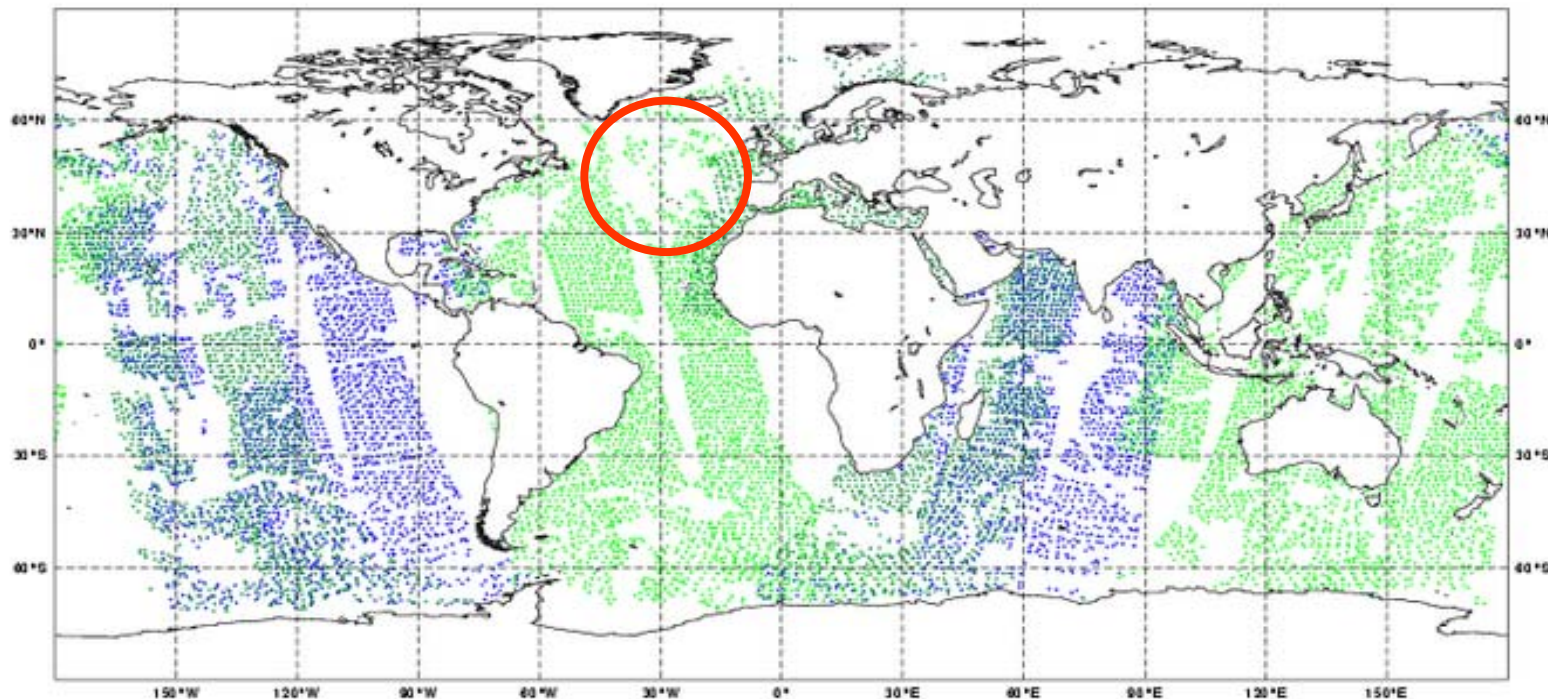
183 ± 7 GHz

Data actually assimilated from one AMSU-B channel



Data Coverage: SatRad ATOVS Brightness Temperature, ATOVS_AMSU_20 (26/1/2007, 0 UTC, qu00)
Total number of observations assimilated: 11537

4987 METOP-A, Min: 242.93, Max: 290.16, Mean: 271.460968518
3016 NOAA-18, Min: 236.92, Max: 289.7, Mean: 271.482798408
3534 NOAA-16, Min: 239.35, Max: 291.48, Mean: 273.306926995



Interface between forecast model and RTM

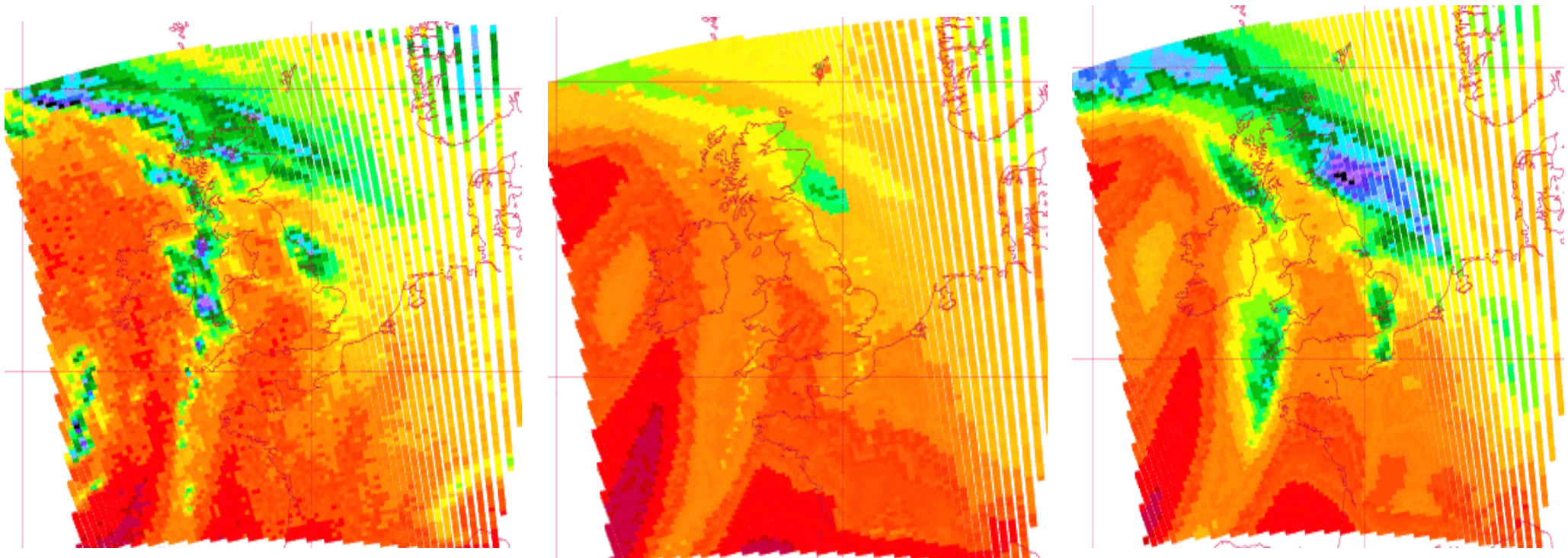


- Definition of snow and ice different in forecast model and RTTOV
- Ice hydrometeor density assumptions do not match
- Size distributions do not match
- Fall speed assumptions do not match
- Deblonde et al. (MWR 2007) noted that moist physics schemes are very different between NWP centres and this significantly affects results.
- Do we need to go back to more fundamental model quantities e.g. moisture fluxes and make RTM do more to ensure consistency?
 - Peter Clark said a recent intercomparison of NWP systems showed moisture fluxes are consistent but derived quantities e.g. ice water content are not.

Given IWC, RTM tuned DSD (ARTS) compared to fixed DSD (RTTOV)



AMSU Channel 20 (183 ± 7 GHz)



Observation

RTTOV-8 simulation

ARTS simulation



Brightness Temperature (K)

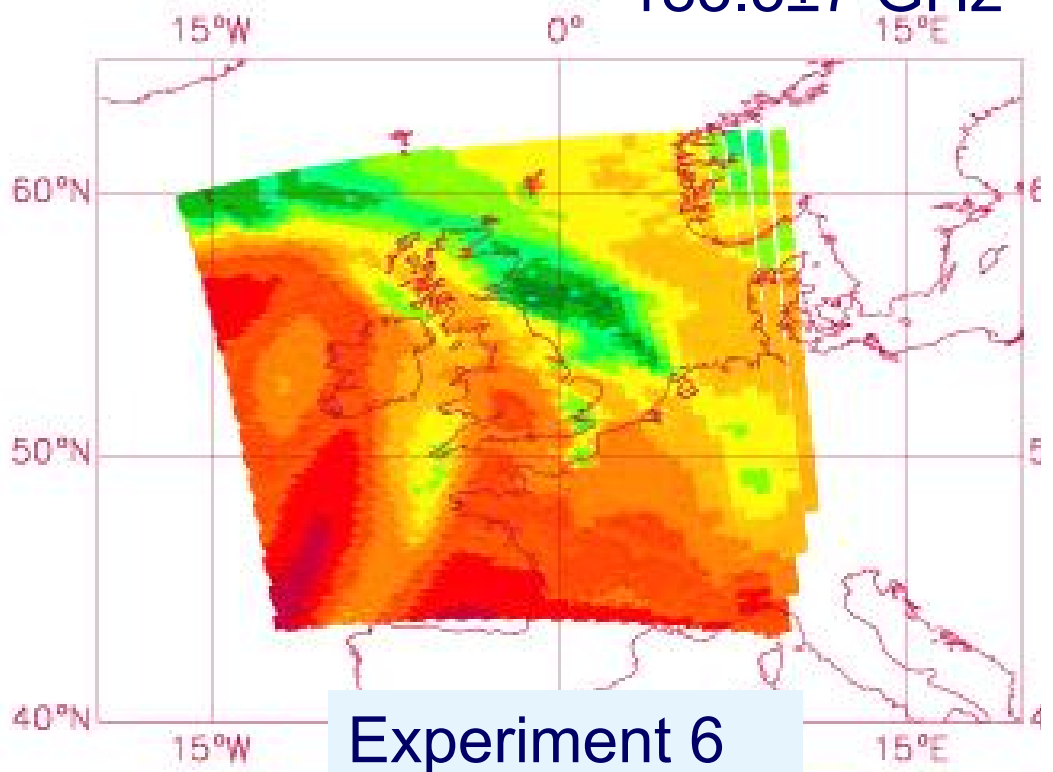
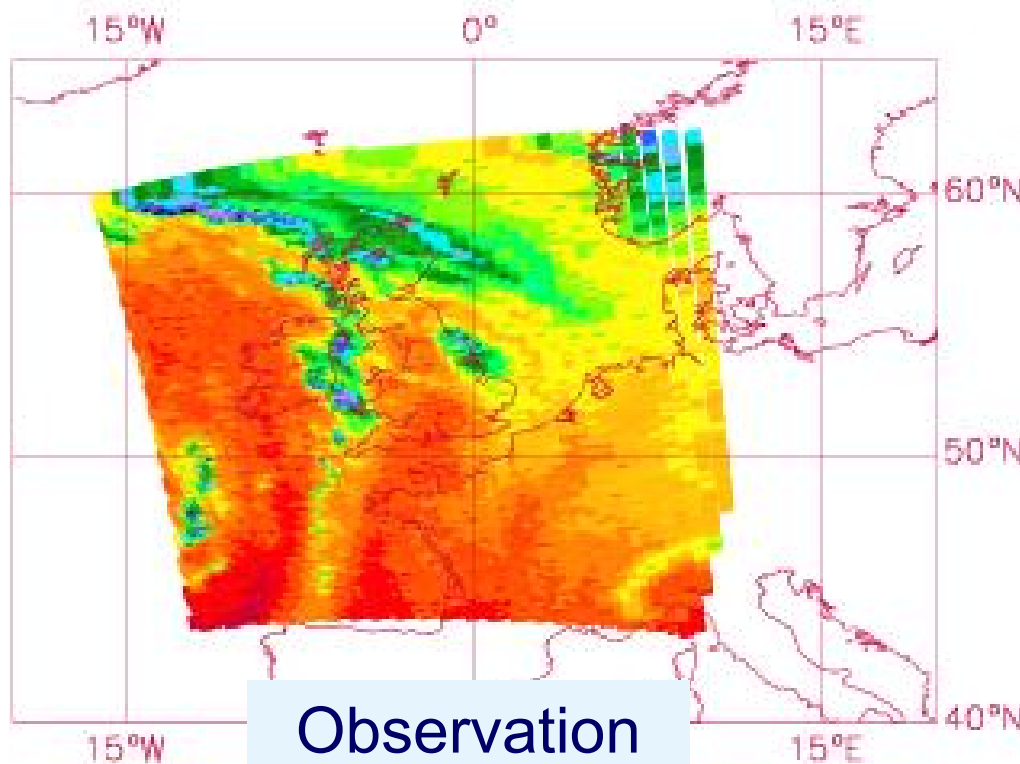
Results for Experiment 6



PSD = Function of T and IWC (Field *et al.*, 2005)

Density = 0.132 D^{-1} (Wilson and Ballard, 1999)

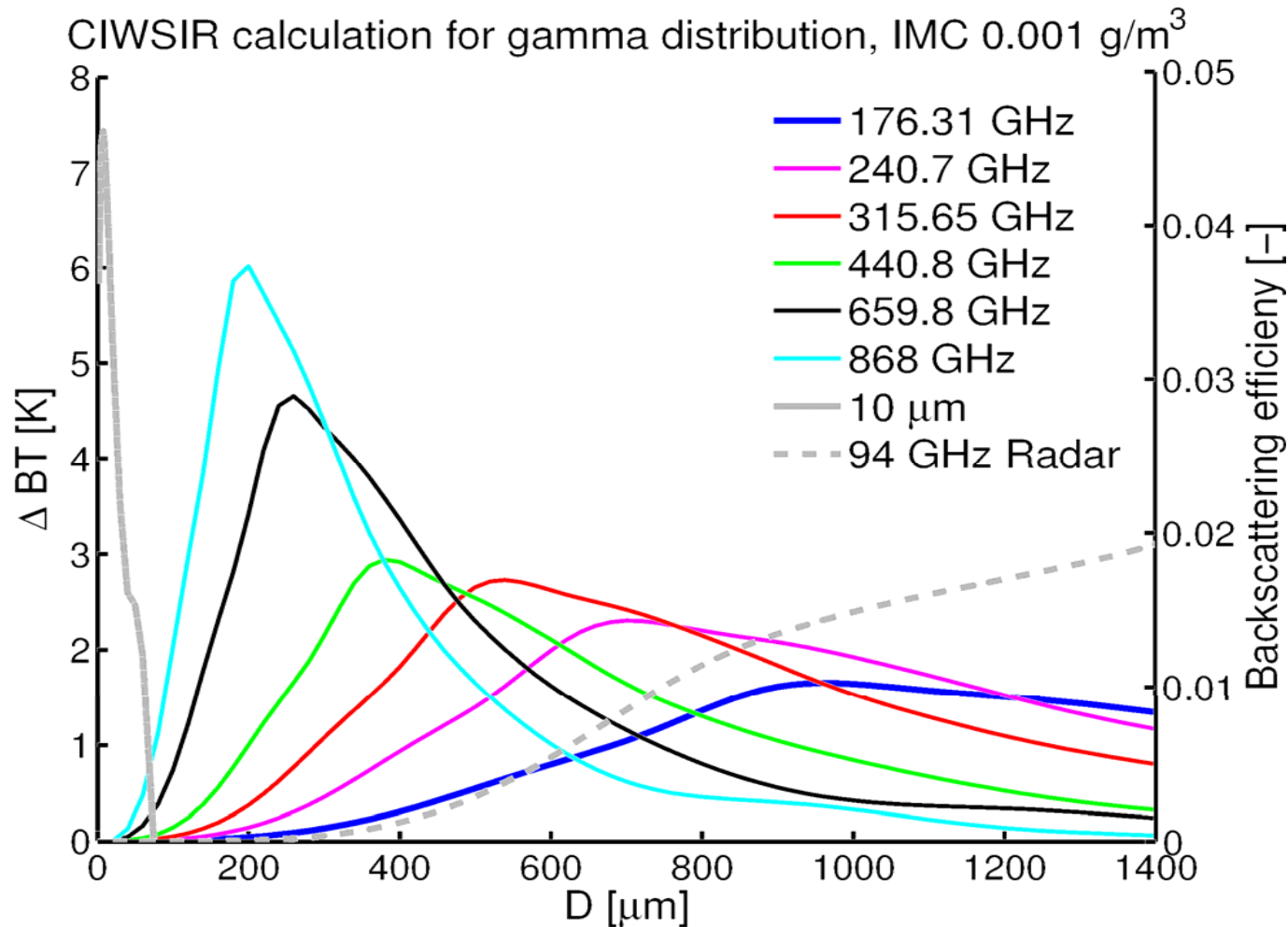
$183.3 \pm 7 \text{ GHz}$





Use of sub-mm for ice cloud
(Stefan Buehler, Clare Lee etc.)

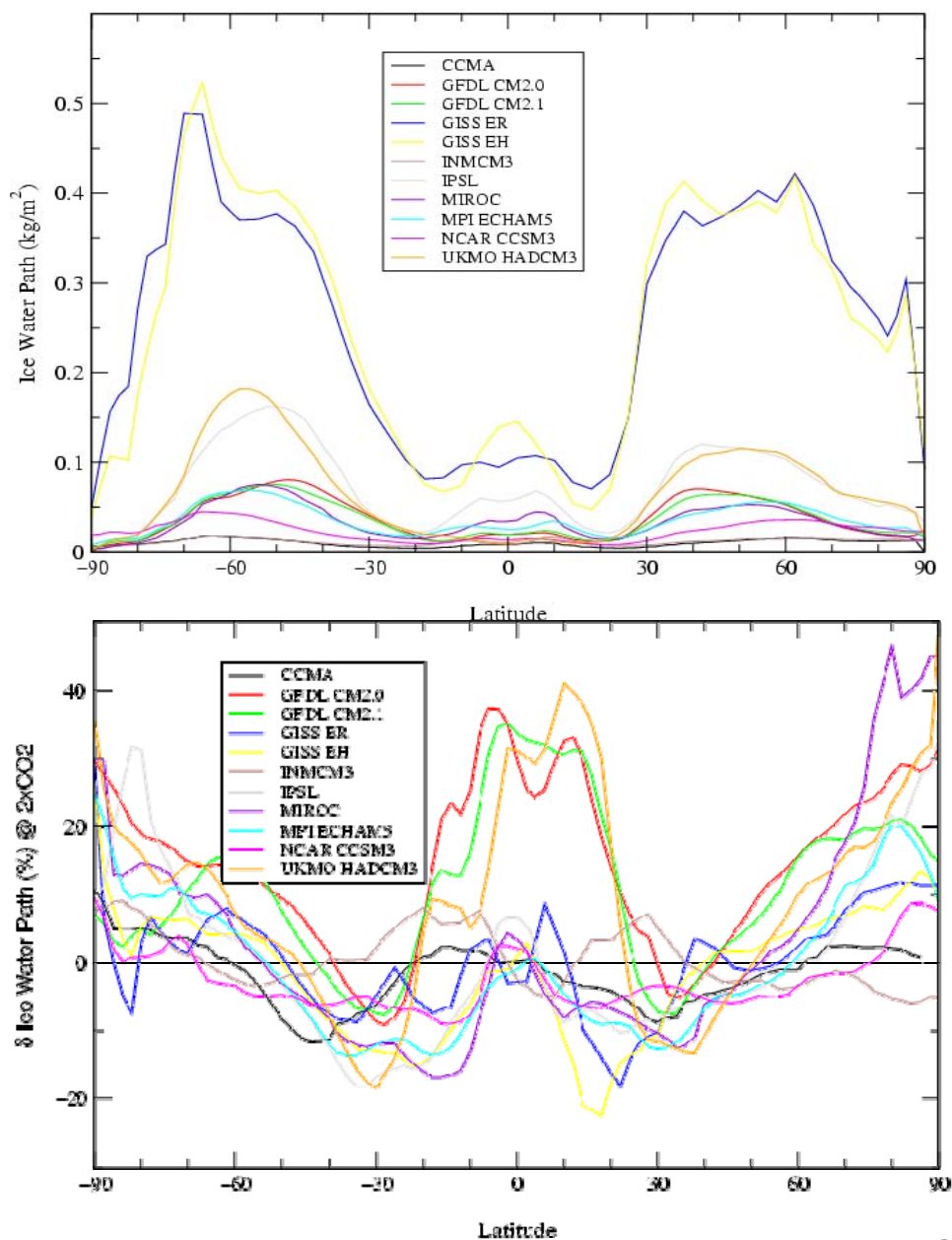
Sub-mm (from Stefan Buehler, Kiruna Univ.)



IR sees only smallest particles, radar only largest particles

Ice Clouds in Climate Models

- Climatology of zonal, annual mean IWP from various models in the IPCC AR4 data archive shows difference up to an order of magnitude.
- Delta-IWP after a CO₂ doubling shows also vast differences.
- IWP observations are needed to resolve model differences.

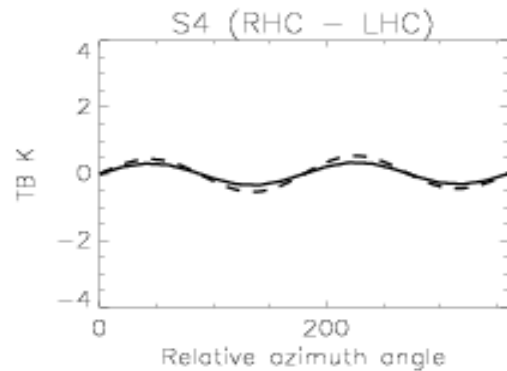
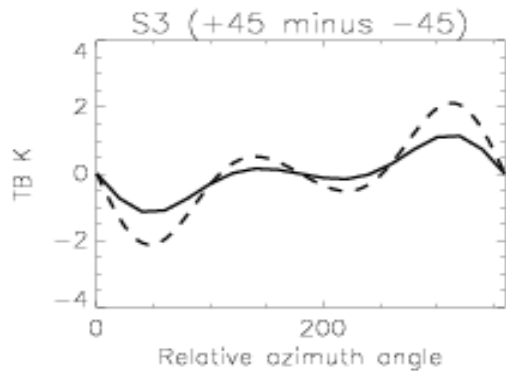
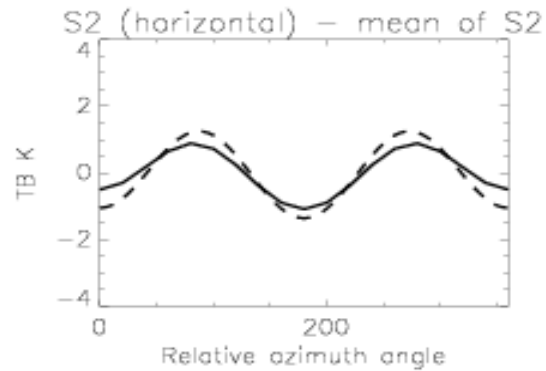
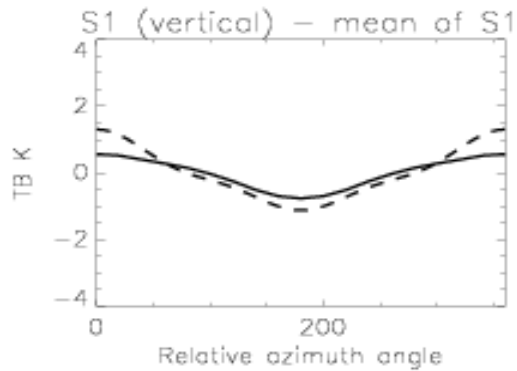


- CIWSIR: multi-channel sub-mm instrument matching WV sensitivity with difference ice cloud sensitivity. LEO, resolution ~ 15 kms.
- GOMAS: Geostationary MW and sub-mm imager/sounder. From 81 km spatial resolution at 54 GHz to 10 km at 425 GHz. An IGeoLab concept.
- Geostar: similar to GOMAS with synthetic aperture. JPL proposal.

(but GOMAS & Geostar really precipitation missions)



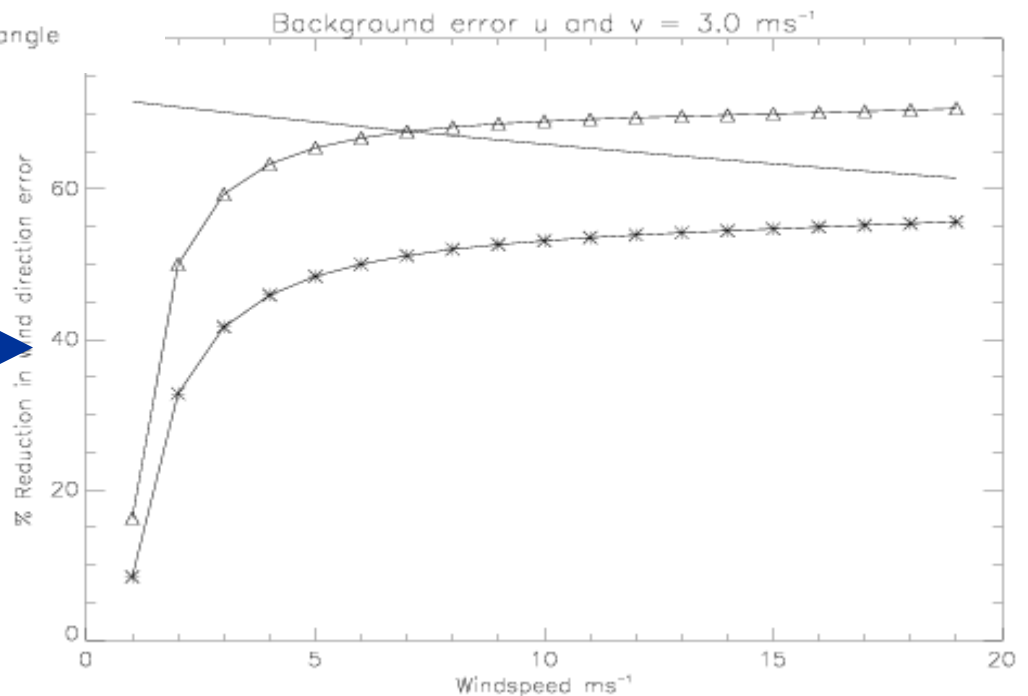
Polarimetric radiometry
(Brett Candy)



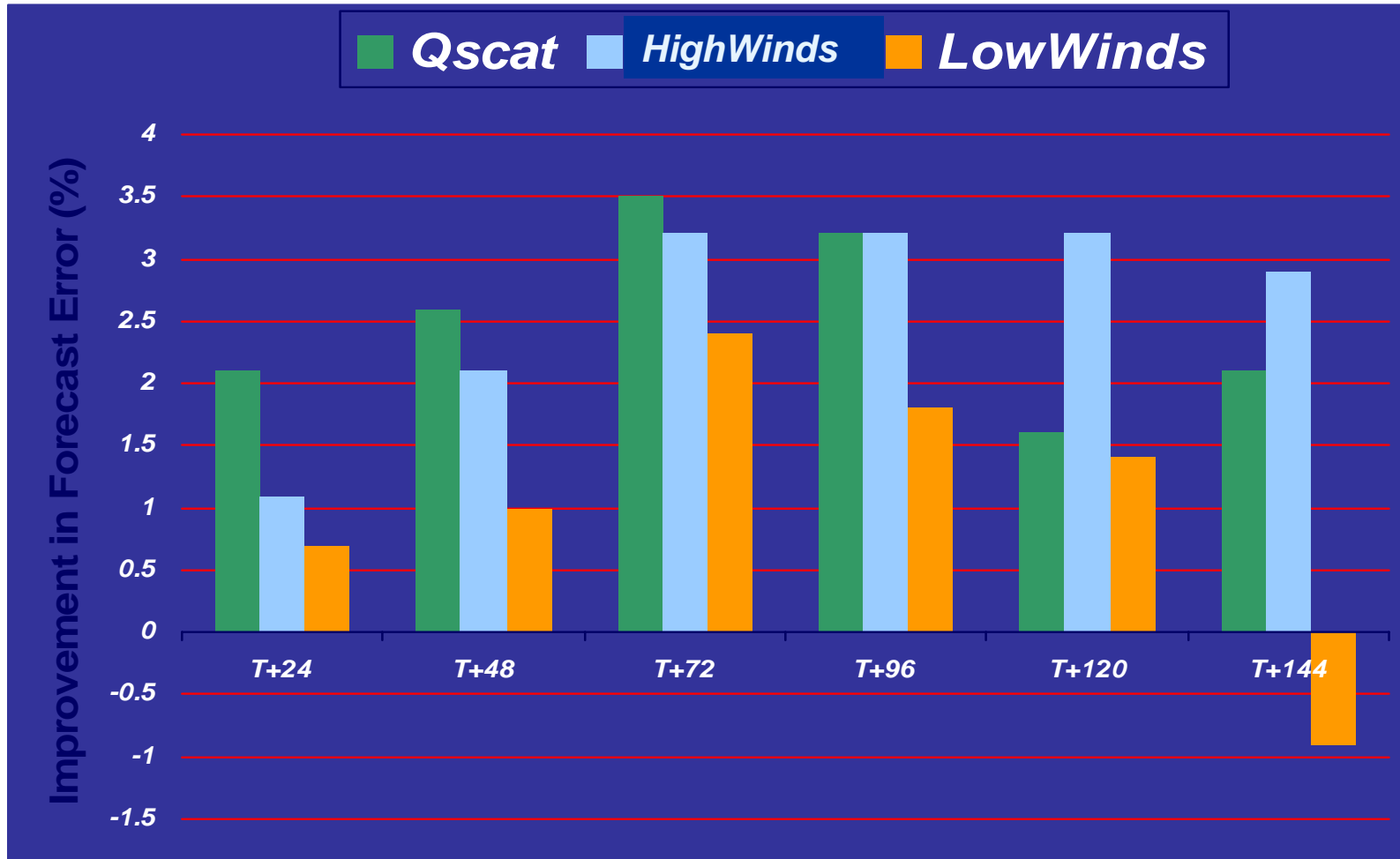
Amplitude and linear polarisation SSM/I etc.
=> wind speed

3rd/4th elements of Stokes vector WindSat
=> wind direction

Error analysis based on model fit to actual WindSat and QuikSCAT suggests WindSat comparable to QuikSCAT > 5 ms⁻¹



Extra-tropical pmsl impact of QuikSCAT high and low windspeed wind vectors



Ambiguities: QuikSCAT and WindSat

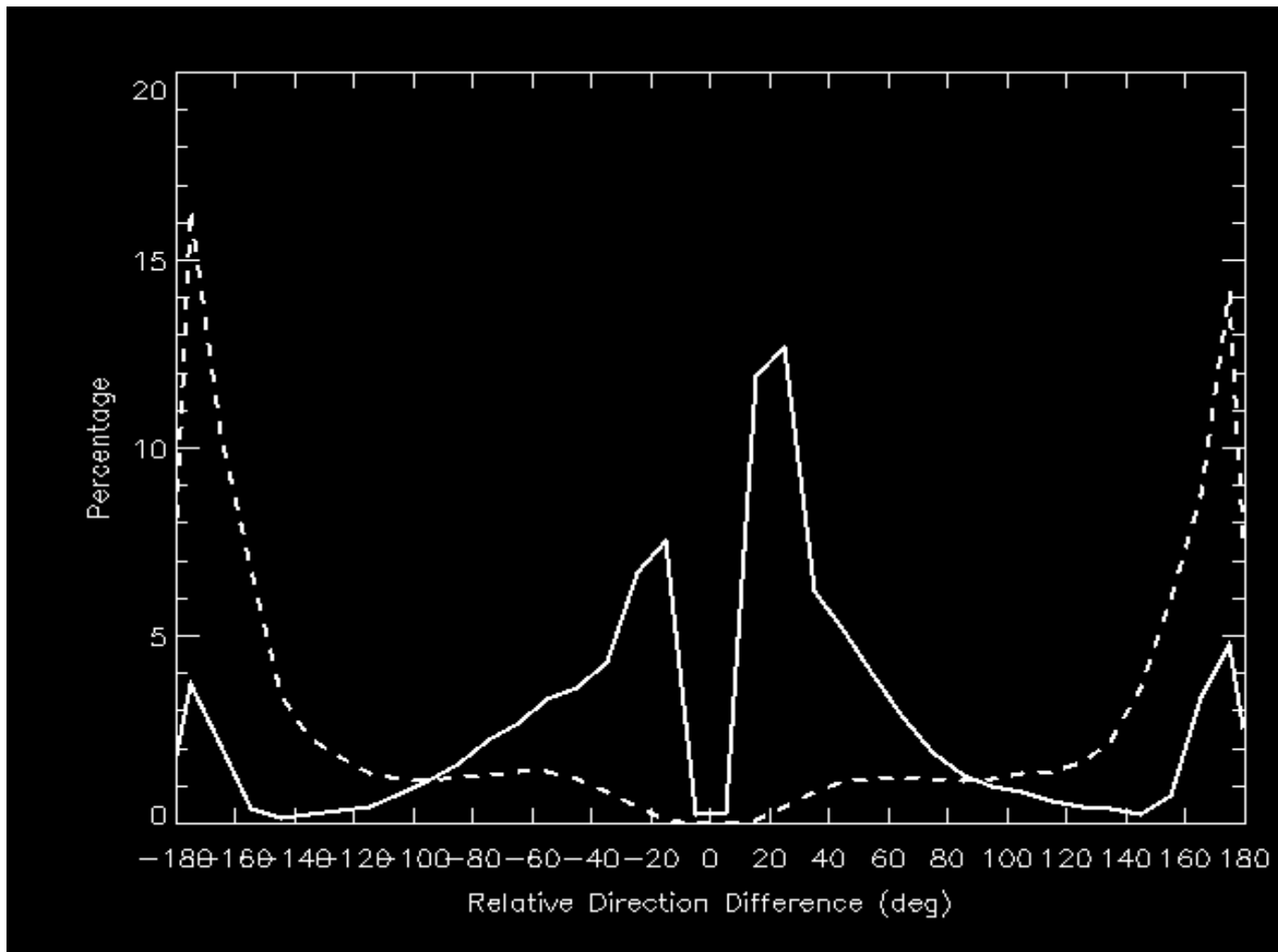


QuikScat

2% 1 wind
43% 2 winds
33% 3 winds
22% 4 winds

WindSat

<0.01% 1 wind
<0.01% 2 winds
28% 3 winds
72% 4 winds



Wind Speed and Direction

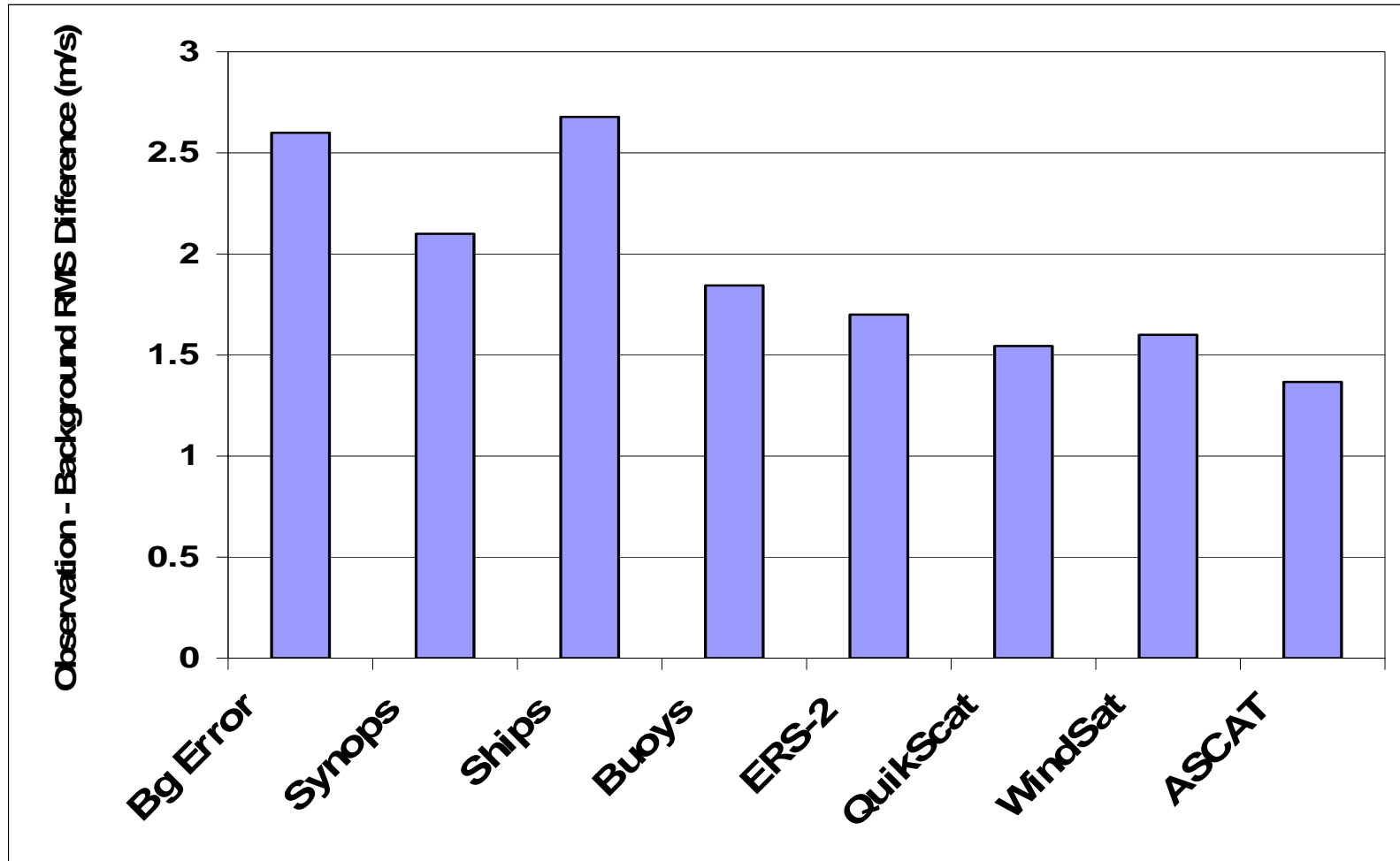


WindSat Mission Requirements: 2m/s 20deg

Phase 1 suggested useful retrievals down to around 8m/s

Wind Speed Range (m/s)	Standard Deviation of Observation – Background		
	Wind speed (m/s)		Wind Direction (°)
5-6	1.26	1.29 B	21.0 17.2
6-7	1.20	1.26 B	16.8 14.2
7-8	1.19	1.24 B	13.9 12.1
9-10	1.34	1.33	10.5 9.8
10+	1.42	1.49 B	8.6 9.0 B

How does this compare to other observing systems?



WindSat assimilation experiments

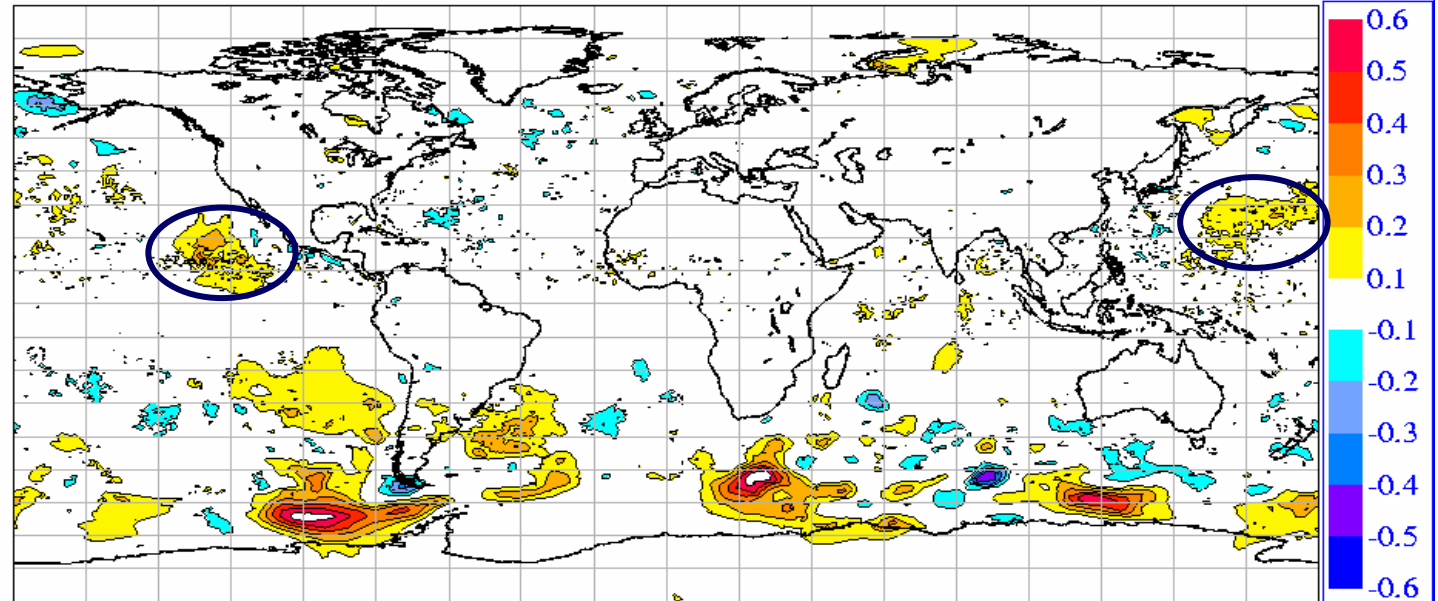


- As Met Office operations in mid-2005 except control had Scat, SSM/I, TC bogus withdrawn.
- Model Resolution N216 ~60km in mid-latitudes, model top at 40km.
- 4D-Var Analysis scheme, four analyses per day with data windows of 6 hours.
- Period August-September 2005 (active TS season – over 20 different storms in 34 days!)
- WindSat treated identically to QuikSCAT (e.g. same ambiguity removal, thinning etc.)

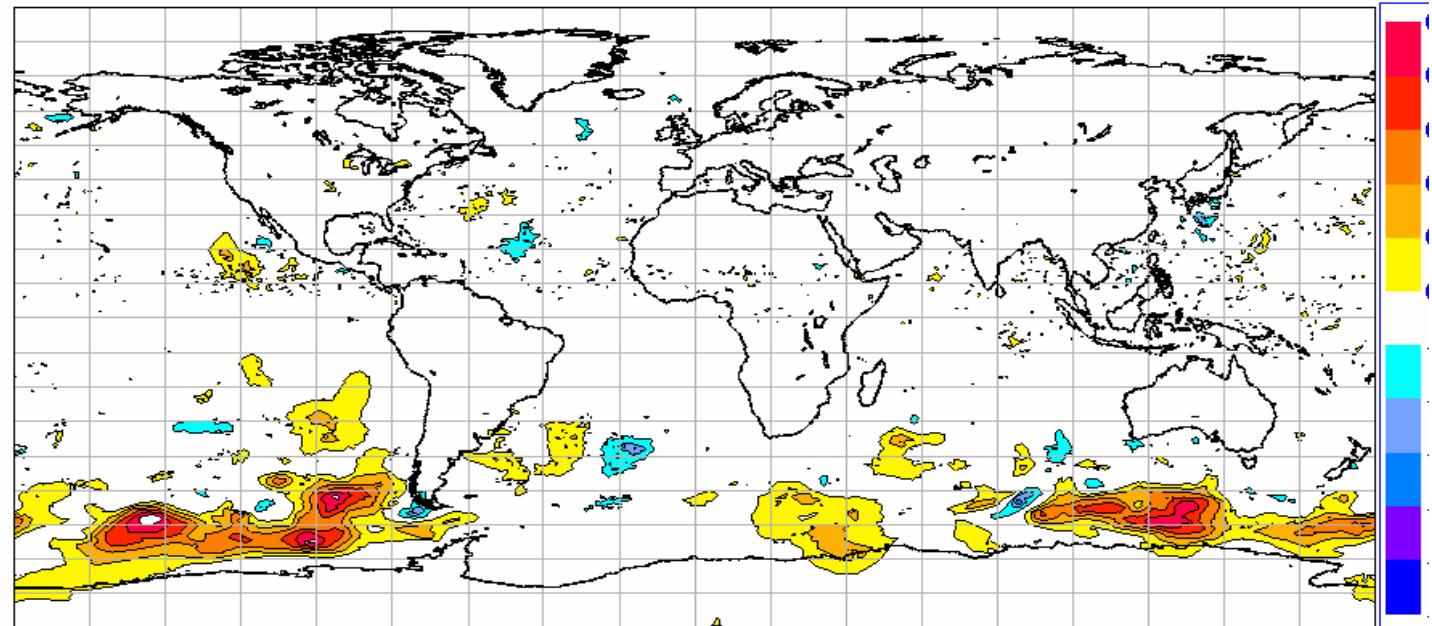
Analysis Increments



QuikScat



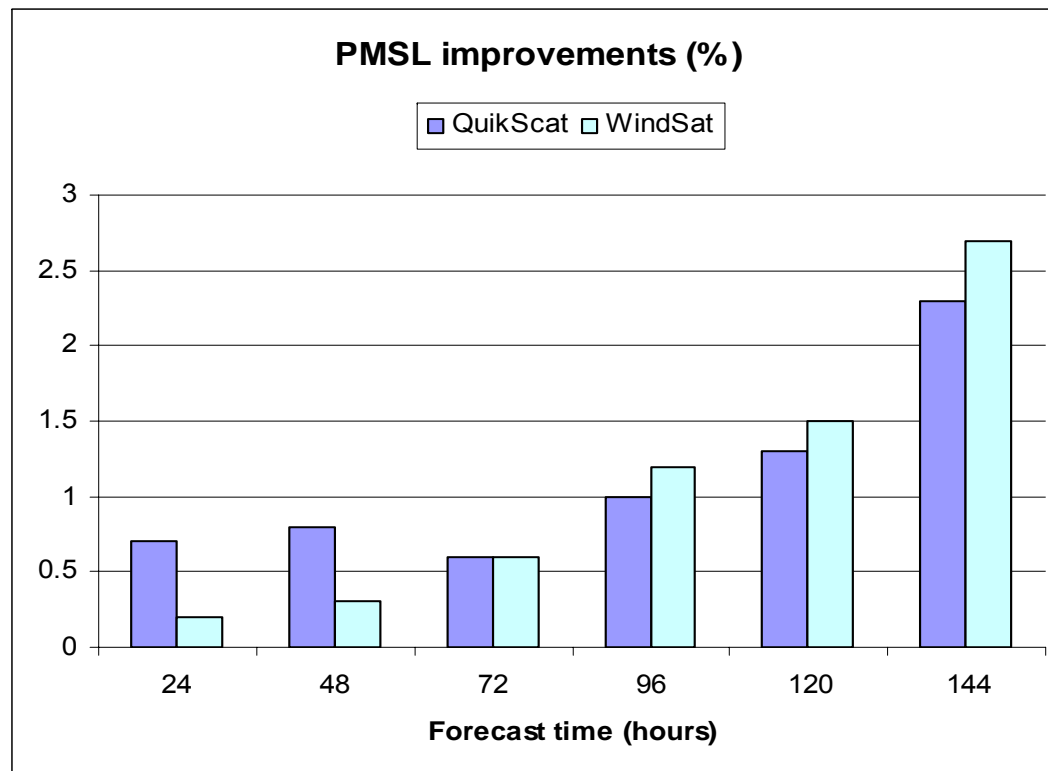
WindSat



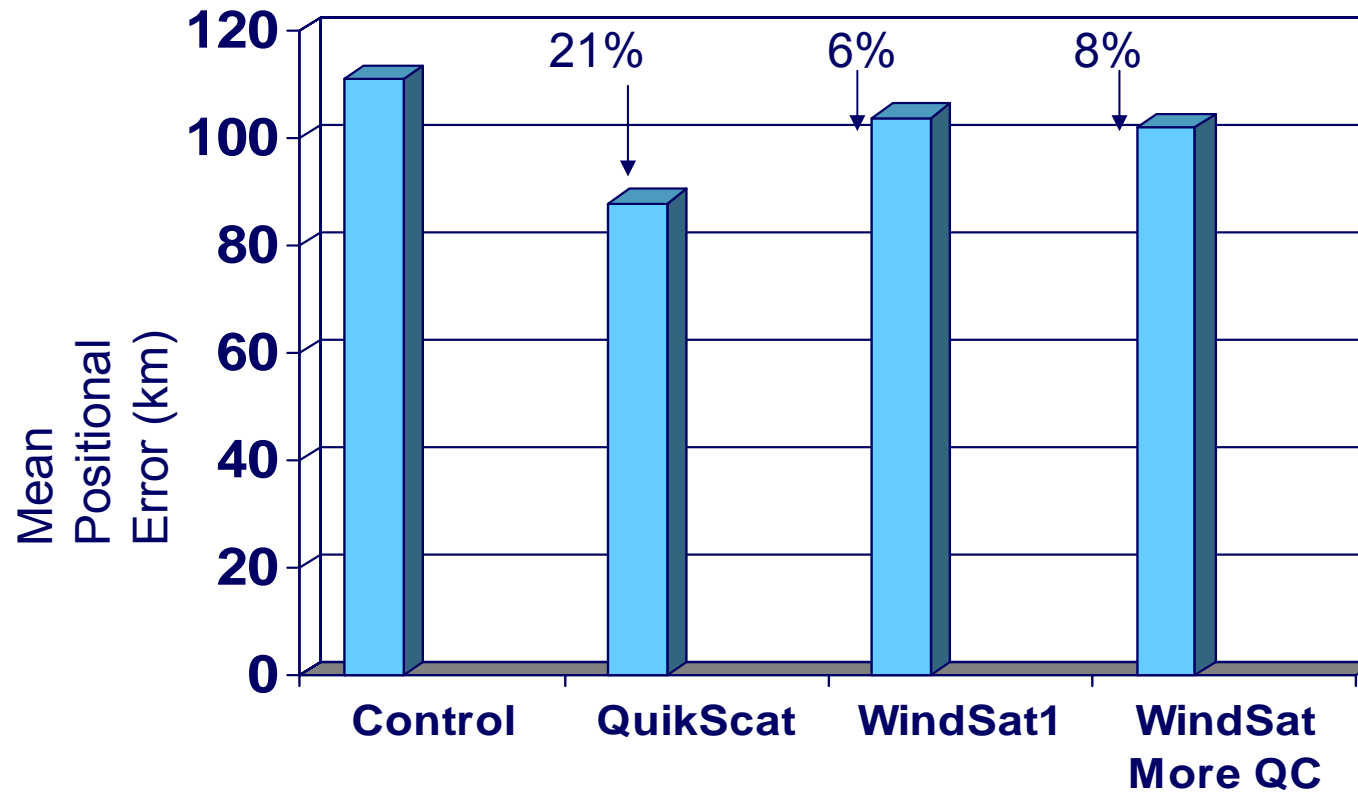
WindSat 1 Impact



5% of parameters improved, <0.5% degraded



Tropical Cyclone Errors in Analysis Results from 19 cyclones 206 “events”



Much smaller study for ERS-2 in 2001
suggested improvement ~10%

- Cloud and rain limit the use of sounding and surface observations.
- More sophisticated analysis can partly mitigate this loss.
- Analysing cloud prior to assimilation has worked with AIRS.
- Considerable progress has been achieved with direct assimilation of cloudy radiances: both MW and IR.
- Sub-mm sensors could provide new information on bulk ice cloud properties from polar or geo orbit.
- Polarimetric radiometry can replicate much of the information from scatterometers but scatterometers remain the best source of near surface wind vector information, especially for tropical storms.

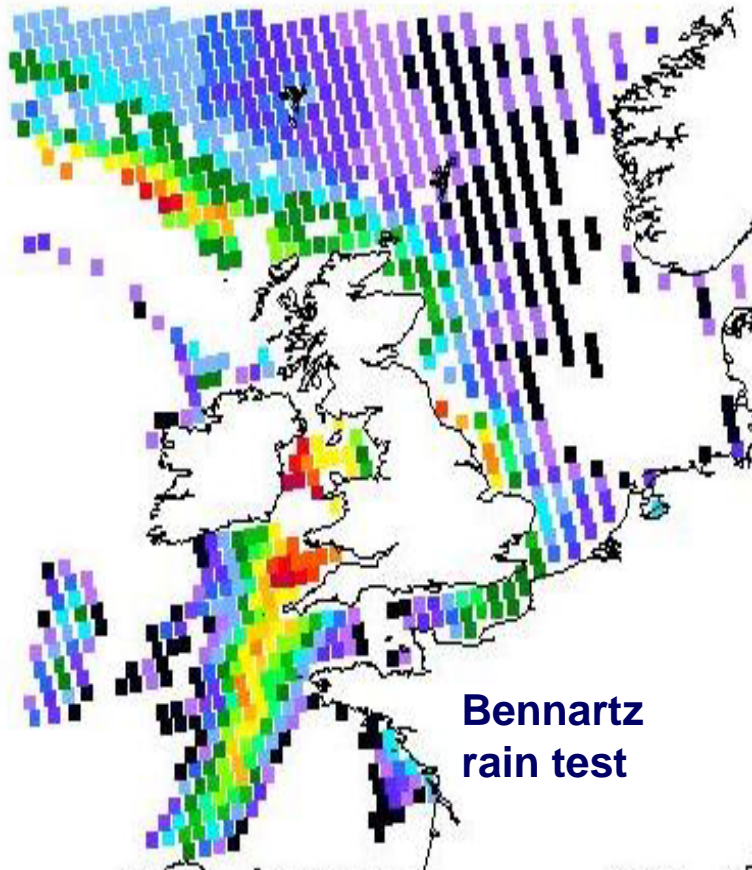


Questions?

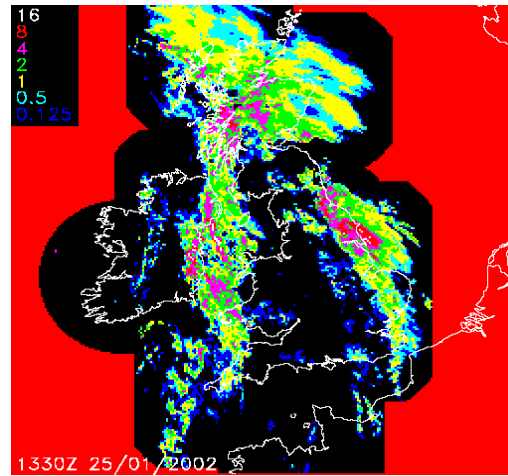
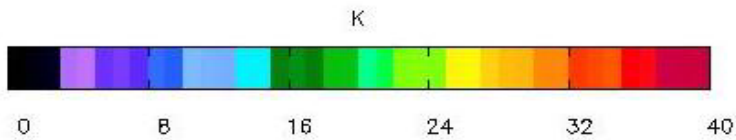
Cloud tests: rain and thick cirrus tests



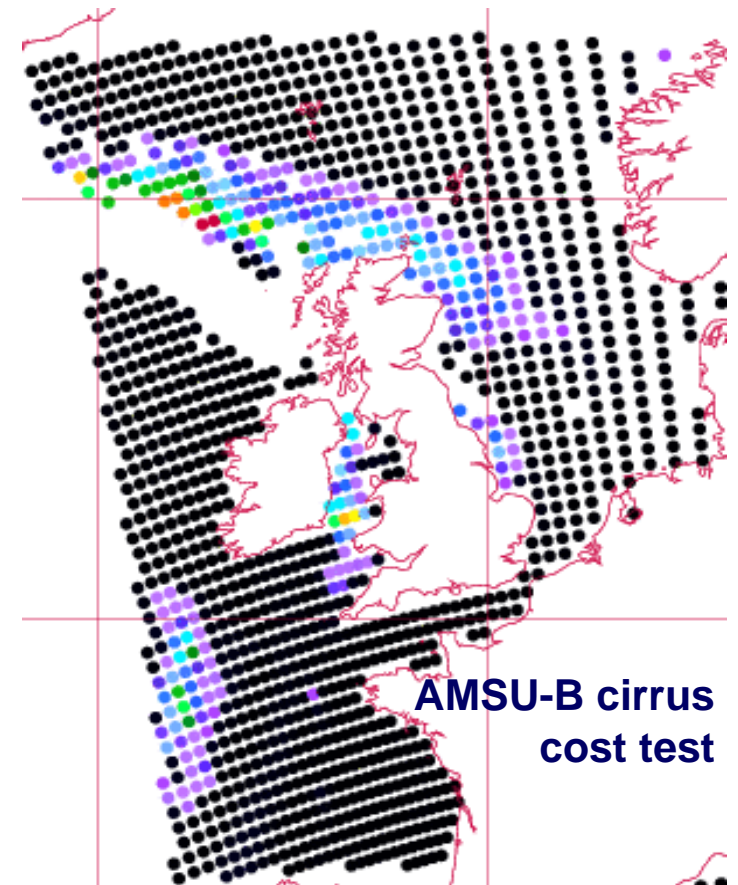
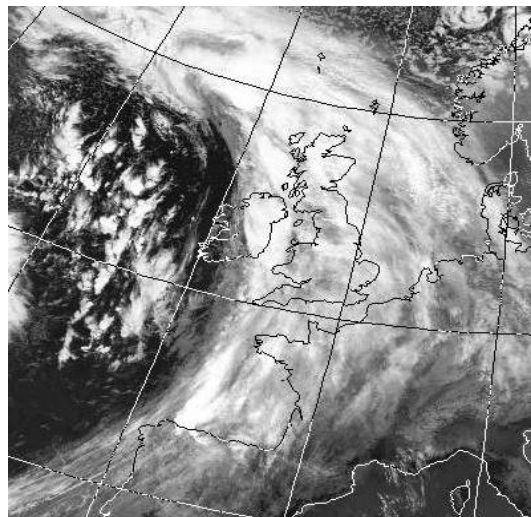
Radar



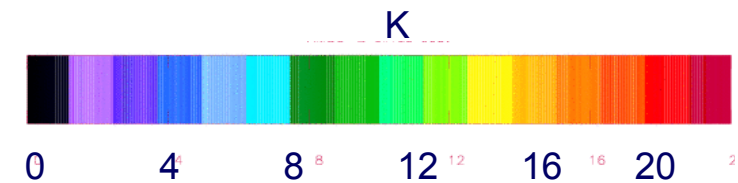
**Bennartz
rain test**



AVHRR IR image



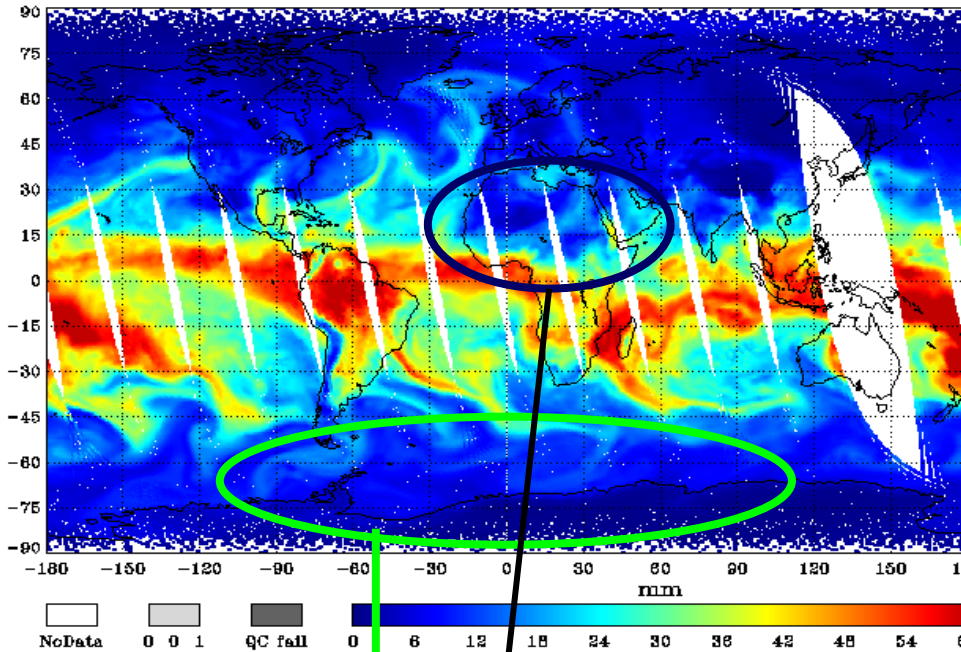
**AMSU-B cirrus
cost test**



MIRS: Microwave TPW Extended over Land



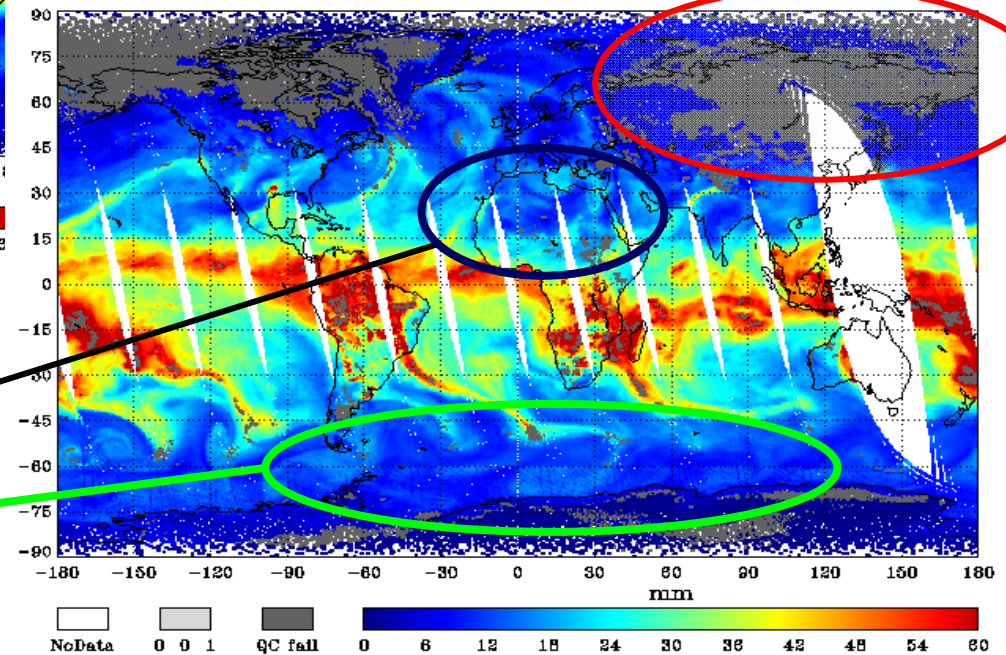
GDAS Total Precipitable Water
2006-02-01



GDAS Analysis

**snow-covered surfaces
need better handling**

MIRS NOAA-18 AMSU-A/MHS EDR Total Precipitable Water
2006-02-01



MIRS Retrieval

**Retrieval over sea-ice and
most land areas
capturing same features as GDAS**

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Assimilation of cloudy imagery products
(Ruth Taylor)