The Representation of **Cloud Microphysical Processes** in NWP Models

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Role of Clouds in NATURE

- radiative forcing
- thermodynamical feedback
- redistribution of atmospheric moisture
- precipitation
- etc.



Representation of Clouds in MODELS

Treated by a combination of different physical parameterizations:

1. Grid-scale condensation (microphysics) scheme

2. Subgrid-scale schemes

- cloud fraction
- deep convection
- shallow convection
- boundary layer

3. Radiative transfer scheme

• computes radiative fluxes SW/LW

Representation of Clouds in MODELS

Cloud Microphysics Scheme <u>Three main roles</u>:

- 1. optical properties (for radiation scheme)
- 2. thermodynamic feedbacks (latent heating/cooling; mass loading)
- 3. precipitation (rates and types at surface)



Column-Maximum Model Reflectivity



Cloud Microphysical Processes



BAMS, 1967

Microphysics Parameterization Schemes

Hydrometeors are traditionally partitioned into categories



BAMS, 1967

Microphysics Parameterization Schemes

The particle size distributions are modeled



For each category, microphysical processes are parameterized in order to predict the evolution of the *particle size distribution*, *N*(*D*)

TYPES of SCHEMES:



Approaches to parameterize cloud microphysics

ULTIMATE GOAL: Predict evolution of hydrometeor size distributions



BULK METHOD



BULK METHOD

Predict changes to specific moment(s) e.g. q_x , N_{Tx} , ... Implies changes to values of parameters

i.e. **N**_{0x}, λ_x, ...

Size Distribution Function: $N_x(D) = N_{0x}D^{\alpha_x}e^{-\lambda_x D}$ pth moment: $M_x(p) =$

Mass mixing ratio, q_x

$$q_x \equiv \frac{\pi \rho_x}{6\rho} \int_0^\infty D^3 N_x(D) dD = \frac{\pi \rho_x}{6\rho} M_x(3)$$

Total number concentration, N_{Tx}

$$N_{Tx} \equiv \int_{0}^{\infty} N_{x}(D) dD = M_{x}(0)$$

Radar reflectivity factor, Z_x

$$Z_x \equiv \int_0^\infty D^6 N_x(D) dD = M_x(6)$$

 $M_{x}(p) \equiv \int_{0}^{\infty} D^{p} N_{x}(D) dD = N_{0x} \frac{\Gamma(1 + \alpha_{x} + p)}{\lambda_{x}^{p+1+\alpha_{x}}}$



Traditional Approach: PARTITIONING HYDROMETEORS INTO CATEGORIES

CLOUD



 $N_c(D) = N_{0c} D^{\alpha_c} e^{-\lambda_c D}$





 $N_i(D) = N_{0i} D^{\alpha_i} e^{-\lambda_i D}$

SNOW



 $N_s(D) = N_{0s} D^{\alpha_s} e^{-\lambda_s D}$

RAIN



 $N_r(D) = N_{0r} D^{\alpha_r} e^{-\lambda_r D}$

GRAUPEL



 $N_g(D) = N_{0g} D^{\alpha_g} e^{-\lambda_g D}$

HAIL



 $N_h(D) = N_{0h} D^{\alpha_h} e^{-\lambda_h D}$

Advantages of 2-moment:

More flexible representation of size distributions → Better calculation of process rates → Better representation of sedimentation (can represent the effects of gravitational size sorting)

Advantages of 3-moment:

Independent representation of spectral dispersion – even better representation of size distributions

→Better process rates

 \rightarrow Controls excessive size sorting inherent in 2-moment schemes

The warm-rain coalescence process



Partitioning of Coalescence Processes:

- Autoconversion (*cloud* to *rain*)
- Accretion (*rain* collecting *cloud*)
- Self-collection (*rain* collecting *rain*) → *multi-moment* only

The warm-rain coalescence process

Stochastic collection equation:

$$QCL_{yx} = \frac{1}{\rho} \frac{\pi}{4} \int_{0}^{\infty} \int_{0}^{\infty} |V_x(D_x) - V_y(D_y)| (D_x + D_y)^2 m_y(D_y) E(x, y) N_y(D_y) N_x(D_x) dD_y dD_x$$
$$N_y CL_{yx} = -\frac{\pi}{4} \int_{0}^{\infty} \int_{0}^{\infty} |V_x(D_x) - V_y(D_y)| (D_x + D_y)^2 E(x, y) N_y(D_y) N_x(D_x) dD_y dD_x$$

Using the Long (1974) collection kernel and complete gamma functions, these can be solved analytically:

autoconversion self-collection



Figure 1. Representative distributions of (a) liquid-water mass and (b) number concentration, resulting from the discrete bin integration of Eq. (13) (solid lines) and resulting from the parametrization set out in section 3(c) with two generalized gamma functions (dashed lines). Initial experimental conditions: $r_c = 1.5 \times 10^{-3} \text{ kg kg}^{-1}$, $D_c = 24 \ \mu\text{m}$, $v_c = 1$ and $\alpha_c = 3$ (see appendix D). Plots are made after 1200 s of integration.

Source: Cohard and Pinty (2000a)

Initial input aerosol

- Combination of primary aerosol sources: Sulfates, organic carbon and sea salts.
- 3-D monthly climatology from GOCART* model with 0.5°(lon) x 1.25°(lat) grid spacing from 2001-2007.
- Mass converted to number concentration by assuming log-normal distributions.



Source: Thompson and Eidhammer, 2014

Aerosols monthly climatology at model level near the surface

Nucleation of Cloud Droplets (NU_{vc})

- Implementation of *Abdul-Razzak & Ghan (2002)* activation scheme.
- From the Köhler theory, the parameterization establishes a relationship between S_{max} reached in updraft and an critical supersaturation (S_m) for the mode radius of mode *m*:

$$S_{\max}^{2} = \frac{1}{2} \int \mathop{a}\limits_{m} \frac{1}{S_{m}^{2}} \mathop{e}\limits_{\theta}^{\theta} f_{m} \mathop{e}\limits_{\theta}^{\theta} \frac{Z}{h_{m}} \mathop{\bar{\sigma}}\limits^{0}^{3/2} + g_{m} \mathop{e}\limits_{\theta}^{\theta} \frac{S_{m}^{2}}{h_{m} + 3Z} \mathop{\bar{\sigma}}\limits^{0} \mathop{\overset{3}}_{u}^{\frac{3}{4}} \overset{0}{u}$$

 ζ and η are two-non dimensional parameters dependent on vertical velocity, growth coefficient (accounting for diffusion of heat and moisture to particles), surface tension, etc. S_m depends on size, hygroscopicity and surface tension characteristics of the particles. f_m and g_m depends on the geometric standard deviation of mode m.

• Activated aerosols concentration: $N_{act} = \frac{1}{2} \mathop{a}\limits_{m} N_{aero} \left[1 - erf(z_m) \right] \quad z_m \circ 2 \frac{ln(S_m/S_{max})}{3\sqrt{2} \ln S_m}$

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Activation depends on:	Implementation details:
aerosol concentration, N _{aero}	grid-scale vertical velocity
aerosols mean radius, r _{aero}	one aerosol mode/type
aerosol hygroscopicity, kappa	■ kappa = 0.4
• aerosol size distribution, σ	• <i>σ</i> = 1.8
updraft velocity, w	■ <i>r_{aero}</i> = 0.04 μm
temperature and pressure, T, p	<i>N_{aero}</i> : 3-D monthly climatology

Observed crystals:



- Complex shapes, densities, etc.
- growth/decay processes include: deposition/sublimation, riming (wet/dry growth), ice multiplication, aggregation, gradual melting, shedding, ...

\rightarrow Difficult to represent simply

Traditional bulk approach:

Partition into representative categories

with prescribed bulk physical properties

- bulk density
- mass-diameter (*m-D*) relations shape •
- fall speed-diameter (V-D) relations
- etc.

e.g.



CLOUD "ICE"

 $\rho_{\rm s}$ = 500 kg m⁻³ $m = (\pi/6 \rho_s) D^3$ $V = a_i D^{b_i}$







GRAUPEL ho_g = 400 kg m⁻³ $m = (\pi/6 \rho_q) D^3$ $V = a_a D^{bg}$



Traditional bulk approach:

Problems with pre-defined categories:

- **1. Real ice particles have complex shapes**
- 2. Conversion between categories is ad-hoc and leads to large, discrete changes in particle properties
- 3. Physics applied is often inconsistent



conversions

NOTE: Bin microphysics schemes have the identical problem



2014 OU CAPS Ensemble (4-km WRF)*



22-h FCST, 1-km Reflectivity, 22 UTC 8 May, 2014

* c/o Fanyou Kong





Simulated 10.7 MICRON Brightness Temperatures

* c/o Fanyou Kong

The simulation of ice-containing cloud systems is often <u>very sensitive</u> to how ice is partitioned among categories



CURRENT TREND:

There is a paradigm shift in the way ice-phase microphysics is represented

→ Moving away from increased number of pre-defined categories; towards emphasis on <u>physical properties</u> of ice

e.g.:

- 2-moment: more info on mean-particle size
- 3-moment: info on *spectral dispersion* of size distribution
- graupel *density*: better fall speeds, etc.
- axis ratio

TRADITIONAL:



Partial mitigation to the problems with pre-defined categories

* Milbrandt and Morrison (2013), JAS

Which of the following is more duck-like?



- has a label that says "DUCK"
- big, round eyes
- plastic exterior, hollow interior
- yellow, wing-like appendages
- no feet
- makes a "squeak" noise

- has no label
- small, round eyes
- feathery exterior, meaty interior

QUACK!

- white, wing-like appendages
- webbed feet
- makes a "quack" noise

IF IT QUACKS LIKE A DUCK ...

Which of the following is more duck-like?

New Bulk Microphysics Parameterization: Predicted Particle Properties (P3)*

Based on a conceptually different approach to parameterize ice-phase microphysics.

NEW CONCEPT

"free" category – predicted properties, thus freely evolving type "fixed" category – traditional; prescribed properties, predetermined type

Compared to traditional (ice-phase) schemes, P3:

- avoids some necessary evils (ad-hoc category conversion, fixed properties)
- has self-consistent physics
- is better linked to observations
- is more computationally efficient

* Morrison and Milbrandt (2015) [P3, part 1] *J. Atmos. Sci.*

Overview of P3 Scheme

Prognostic Variables: (advected)

LIQUID PHASE:	2 categories, 2-moment:		
	Q_c – cloud mass mixing ratio	[kg kg ⁻¹]	
	$oldsymbol{Q}_{oldsymbol{r}}$ – rain mass mixing ratio	[kg kg⁻¹]	
	N_c – cloud number mixing ratio	[#kg ⁻¹]	
	N_r – rain number mixing ratio	[#kg ⁻¹]	

ICE PHASE:	nCat categories, 4 prognostic variables each:		
	$Q_{dep}(n)$ – deposition ice mass mixing ratio	[kg kg⁻¹]	
	$\boldsymbol{Q}_{rim}(n)$ – rime ice mass mixing ratio	[kg kg⁻¹]	
	$N_{tot}(n)$ – total ice number mixing ratio	[# kg⁻¹]	
	$\boldsymbol{B}_{rim}(n)$ – rime ice volume mixing ratio	[m ³ kg ⁻¹]	

A given (free) category can represent any type of ice-phase hydrometeor

Prognostic Variables: Q_{dep} – deposition ice mass mixing ratio $[kg kg^{-1}]$ Q_{rim} – rime ice mass mixing ratio $[kg kg^{-1}]$ N_{tot} – total ice number mixing ratio [# kg⁻¹] **B**_{rim} – rime ice volume mixing ratio [m³ kg⁻¹] **Predicted Properties:** F_{rim} – rime mass fraction, $F_{rim} = Q_{rim} / (Q_{rim} + Q_{den})$ [--] ρ_{rim} – rime density, ρ_{rim} = Q_{rim} / B_{rim} [kg m⁻³] D_m – mean-mass diameter, $D_m \propto Q_{tot} / N_{tot}$ [m] V_m – mass-weighted fall speed, $V_m = f(D_m, \rho_{rim}, F_{rim})$ [m s⁻¹] etc.

Diagnostic Particle Types:

Based on the predicted properties (rather than pre-defined)

Predicting microphysical process rates ~ computing $M_x^{(p)}$

P3 SCHEME

$$M^{(p)} \equiv \int_0^\infty D^p N_x(D) dD = N_{0x} \frac{\Gamma(1+\mu_x+p)}{\lambda_x^{p+1+\mu_x}}$$

Fixed category \Rightarrow constant *m*-*D*, *A*-*D*, *V*-*D* parameters **Free category** \Rightarrow <u>variable</u> *m*-*D*, *A*-*D*, *V*-*D* parameters

$$Q = \frac{1}{\rho} \int_0^\infty m(D) N(D) dD = \frac{1}{\rho} \int_0^\infty \alpha D^\beta N_x(D) dD = \frac{\alpha}{\rho} M^{(\beta)} = \frac{\alpha}{\rho} N_{0x} \frac{\Gamma(1 + \mu_x + \beta)}{\lambda_x^{1 + \mu_x + \beta}}$$

 \rightarrow cannot compute moments analytically, lookup table approach is used in P3

Predicting process rates ~ computing $M_x^{(p)}$

P3 SCHEME – Determining $m(D) = \alpha D^{\beta}$ for regions of *D*:

Conceptual model of particle growth following Heymsfield (1982):



$$\alpha = f(F_{rim}, \rho_{rim})$$

$$\beta = 3$$

Predicting process rates ~ computing $M_x^{(p)}$

P3 SCHEME – Determining $m(D) = \alpha D^{\beta}$ for regions of *D*:

e.g. *F_{rim}* = 0



Predicting process rates ~ computing $M_x^{(p)}$

P3 SCHEME – Determining $m(D) = \alpha D^{\beta}$ for regions of *D*:



3D Squall Line case: (June 20, 2007 central Oklahoma)

- WRF_v3.4.1, $\Delta x = 1$ km, $\Delta z \sim 250-300$ m, 112 x 612 x 24 km domain
- initial sounding from observations
- convection initiated by *u*-convergence
- no radiation, surface fluxes



-5 0 5 10 15 20 25 30 35 40 45 50 55 60 65 70 Reflectivity (dB2)

1-km WRF Simulations with P3 microphysics (1 category):



Morrison et al. (2015) [P3, part 2]

WRF Results: Base Reflectivity (1 km AGL, t = 6 h)



Morrison et al. (2015) [P3, part 2]

WRF Results: Line-averaged Reflectivity (t = 6 h)



Vertical cross section of model fields (*t* = 6 h)

Ice Particle Properties:



Note – only <u>one</u> (free) category

Similar crystals

$$F_r \sim 0$$

$$\rho \sim 50 \text{ kg m-3}$$

$$V \sim 1 \text{ m s}^{-1}$$

$$D_m \sim 3 \text{ mm}$$
→ aggregates

$$F_r \sim 1$$

$$\rho \sim 900 \text{ kg m}^{-3}$$

$$V > 10 \text{ m s}^{-1}$$

$$D_m > 5 \text{ mm}$$
→ hail

 $F_r \sim 0.01$

 ρ ~ 900 kg m⁻³

V~0.3 m s⁻¹

D_m ~ 100 μm

etc.

F_r ~ 0-0.1 ρ ~ 900 kg m-3 V ~ 0.3 m s⁻¹ D_m ~ 100 µm → small crystals

$$F_r \sim 0$$

 $\rho \sim 50 \text{ kg m-3}$
 $V \sim 1 \text{ m s}^{-1}$
 $D_m \sim 3 \text{ mm}$
 \rightarrow aggregates

$$F_r \sim 1$$
 $\rho \sim 900 \text{ kg m}^{-3}$
 $\rho \sim 10 \text{ m s}^{-1}$
 $D_m > 5 \text{ mm}$
 \rightarrow hail



- small, round eyes
- white, wing-like appendages
- feathery exterior, meaty interior
- webbed feet
- makes a "quack" noise
- \rightarrow duck

Timing Tests for 3D WRF Simulations

Scheme	Squall line case (∆x = 1 km)	Orographic case (∆x = 3 km)	# prognostic variables
P3	0.436 (1.043)	0.686 (1.013)	7
MY2	0.621 (1.485)	1.012 (1.495)	12
MOR-H	0.503 (1.203)	0.813 (1.200)	9
ТНО	0.477 (1.141)	0.795 (1.174)	7
WSM6	0.418 (1.000)	0.677 (1.000)	5
WDM6	0.489 (1.170)	0.777 (1.148)	8

- Average wall clock time per model time step (units of seconds.)
- Times relative to those of WSM6 are indicated parenthetically.

\rightarrow P3 is one of the fastest schemes in WRF

So far – despite using only 1 ice-phase category, P3 performs well compared to detailed, established (well-tuned), traditional bulk schemes

However – with 1 category, P3 has some <u>intrinsic limitations</u>:

- it cannot represent more than one type of particle in the same point in time and space
- As a result, there is an inherent "*dilution problem*"; the properties of populations of particles of different origins get averaged upon mixing



Single-Category Version

All ice-phase hydrometeors represented by a single category, with Q_{dep} , Q_{rim} , N_{tot} , B_{rim}

Processes:

- 1. Initiation of new particles
- 2. Growth/decay processes
 - interactions with water vapor
 - interactions with liquid water
 - self-collection
- 3. Sedimentation

Multi-Category Version

Milbrandt and Morrison (2015) [P3, part 3] (under review)

All ice-phase hydrometeors represented by a *nCat* categories, with $Q_{dep}(n)$, $Q_{rim}(n)$, $N_{tot}(n)$, $B_{rim}(n)$ [n = 1..nCat]

Processes:

- 1. Initiation of new particles \rightarrow determine destination category
- 2. Growth/decay processes
 - interactions with water vapor
 - interactions with liquid water
 - self-collection
 - collection amongst other ice categories
- 3. Sedimentation

Inclusion of Hallet-Mossop (rime splintering) process

with nCat = 1



 \rightarrow With *nCat* = 1, the Hallet-Mossop process results in excessive dilution

GEM (2.5 km), P3

Reflectivity

nCat = 1





Further Development of P3

- 1. Rigorously test in operational NWP context
- 2. Additional predicted properties
 - spectral dispersion (triple-moment)
 - liquid fraction
 - others...
- 3. Subgrid-scale cloud fraction
- 4. Optimized advection

Morrison et al. (2015 – to be submitted)

e.g. P3, 3-moment, prognostic f_{liq} , nCat = 2:

- 14 prognostic variables,
- cost of advection $\underline{\sim 4}$ prognostic variables

Summary thoughts

- 1. Detailed BMSs are playing an increasingly important role in NWP
- 2. For continued advancement, developers should embrace the new paradigm of representing icephase hydrometeors: <u>abandon the use of pre-</u> <u>defined categories</u>
- 3. There remain mainly uncertainties in parameterizing microphysics (e.g. ice nucleation) ensemble systems will always play an important role (w.r.t. microphysics)

Comments to "young scientists"

- 1. Learn from and profit from stupid mistakes
- 2. Never take for granted the implicit wisdom in "because that's the way it has always been done"

THANKS!

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