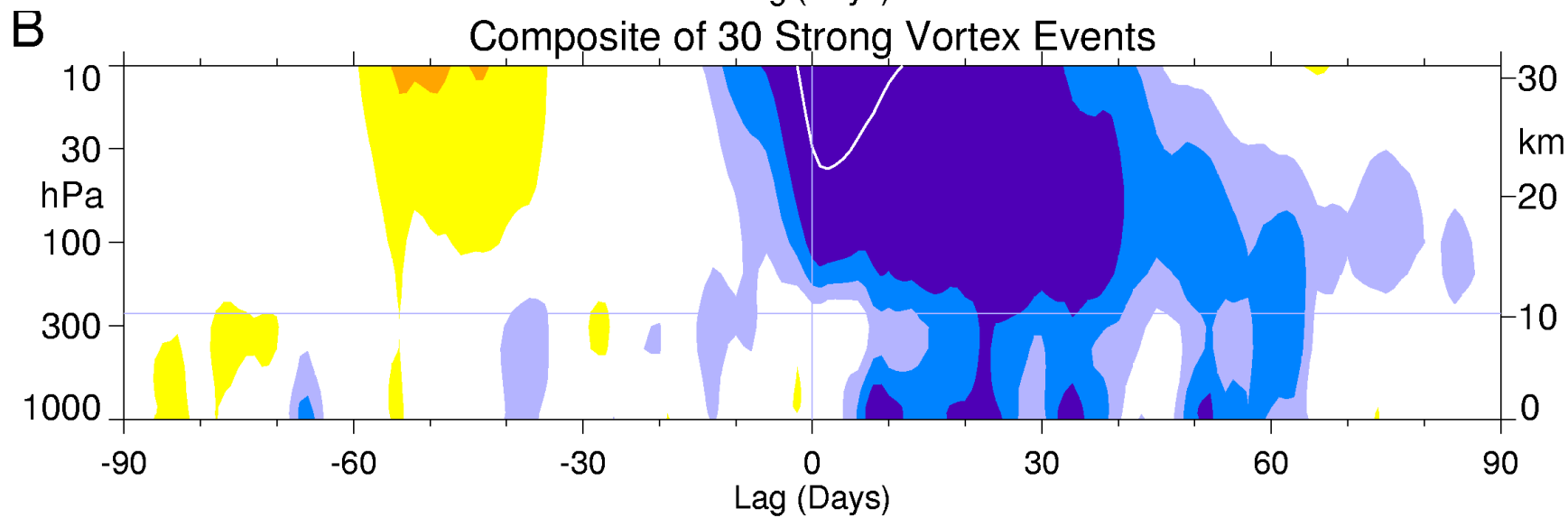
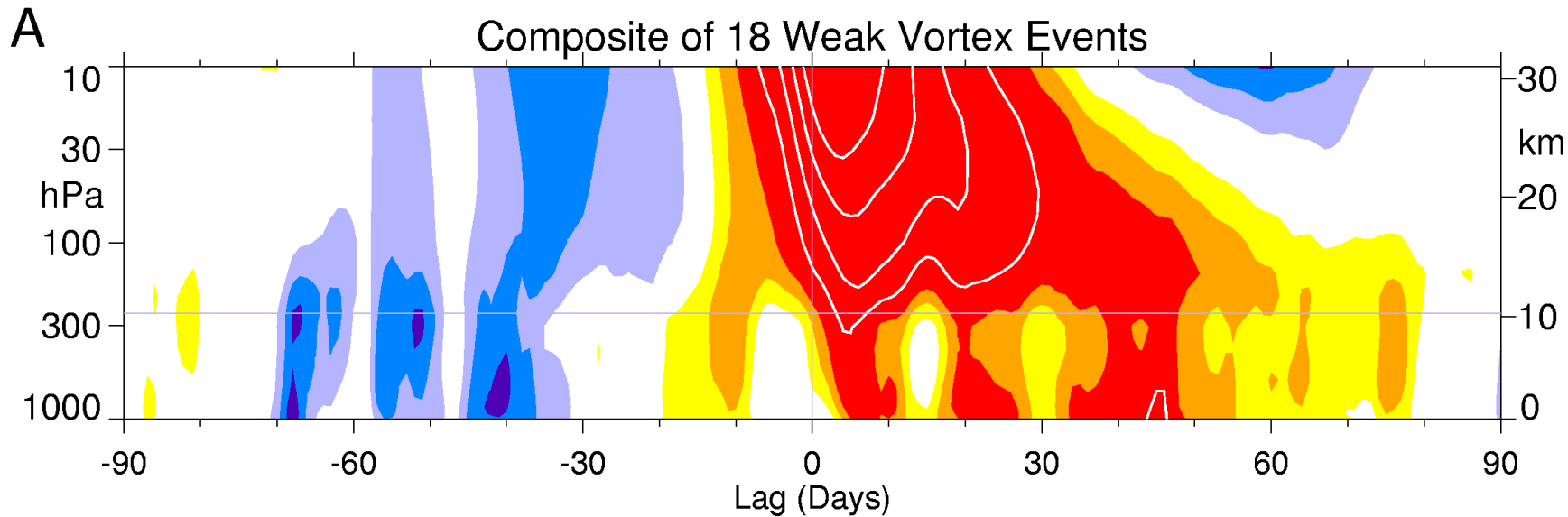


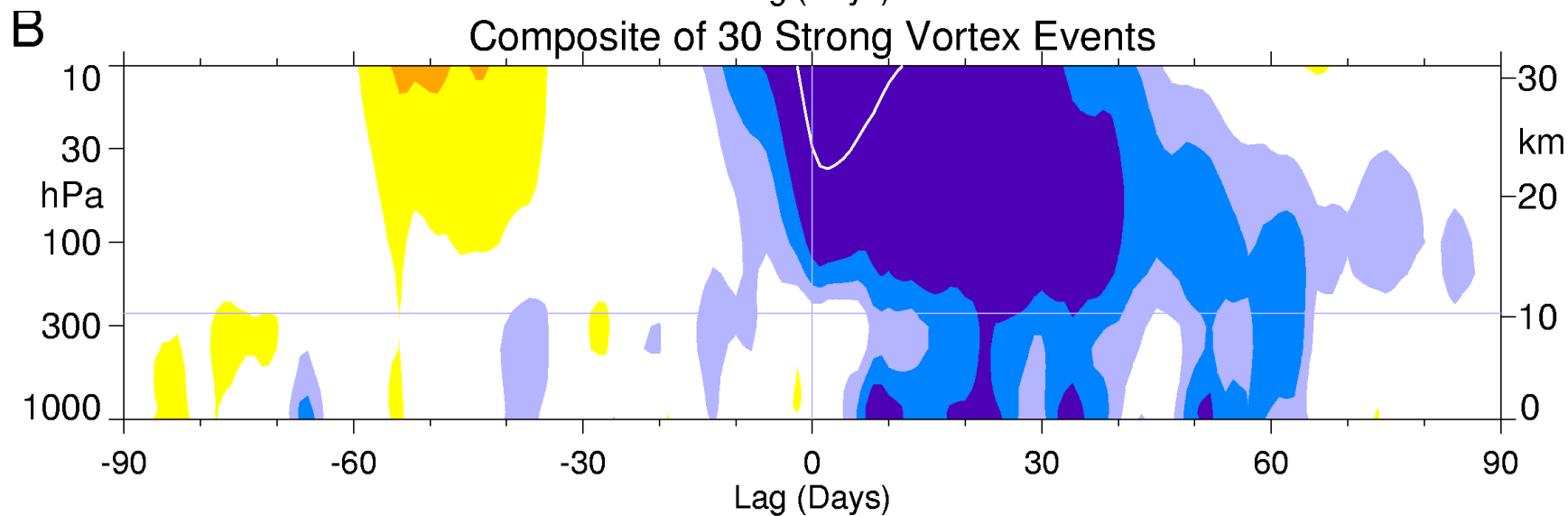
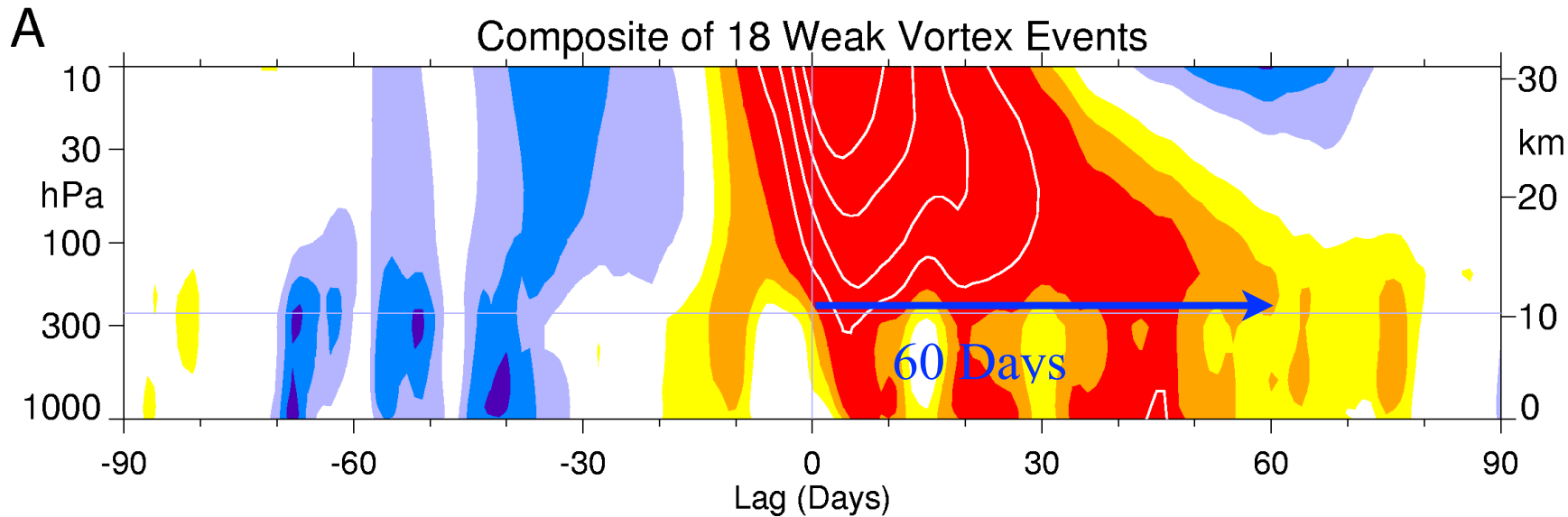
Stratospheric Processes: Influence on Storm Tracks and the NAO

Mark P. Baldwin



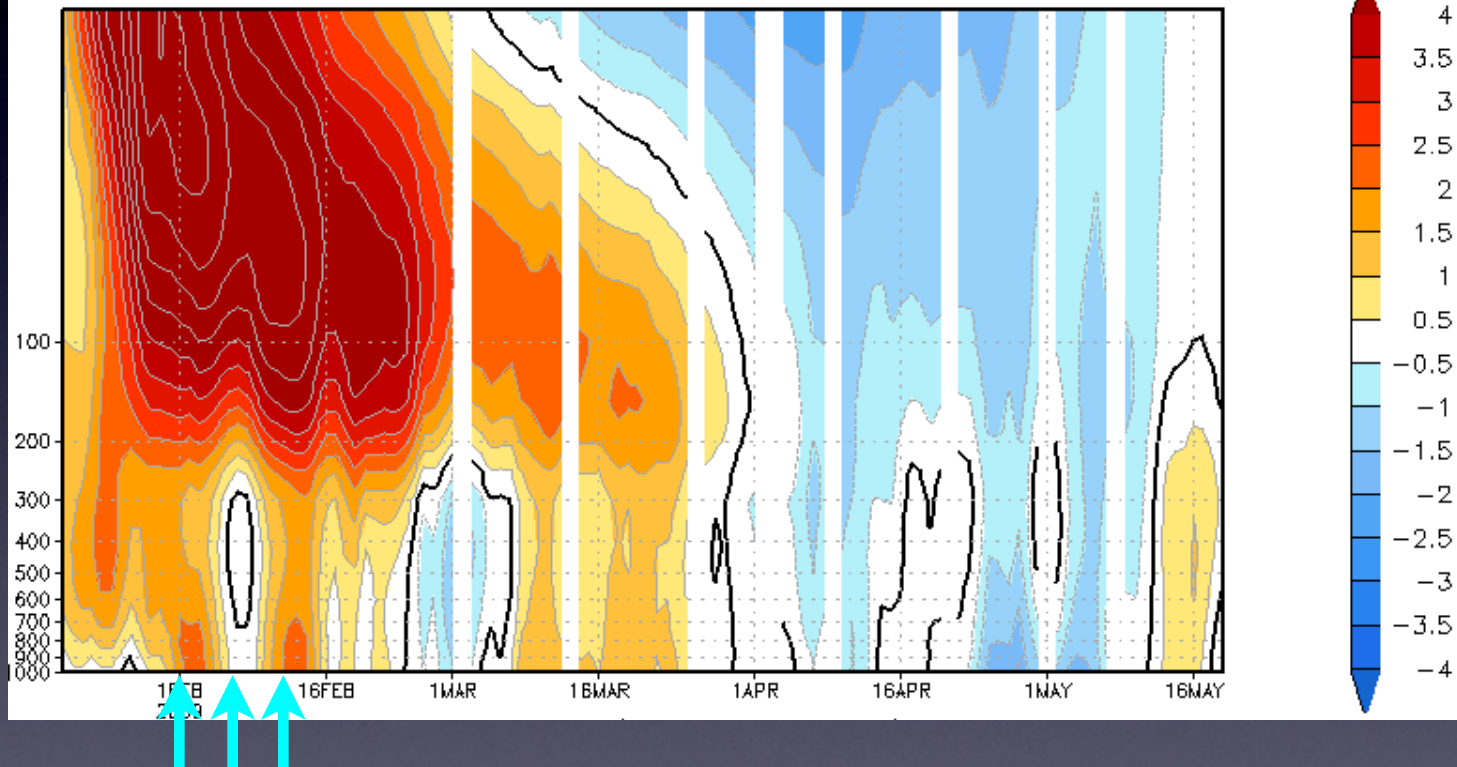


(From Baldwin and Dunkerton, *Science* 2001)



(From Baldwin and Dunkerton, *Science* 2001)

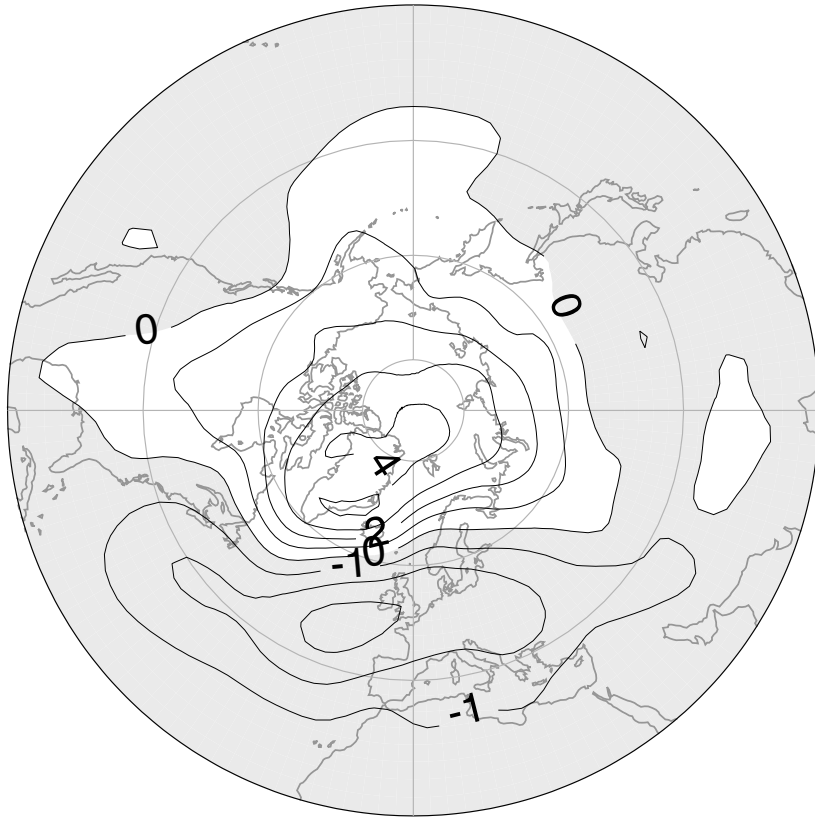
Normalized GPH anomaly (65°N–90°N)
(20Jan2009 – 19May2009)



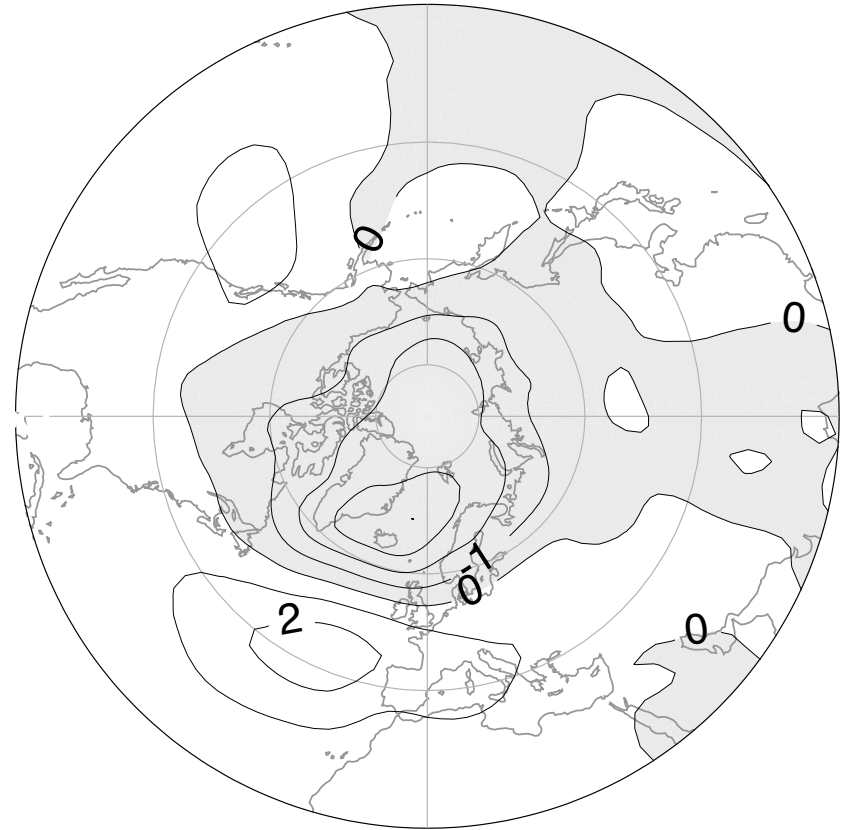
British Snow Storms

Observed Average Surface Pressure Anomalies (hPa)

60 days following weak stratospheric winds



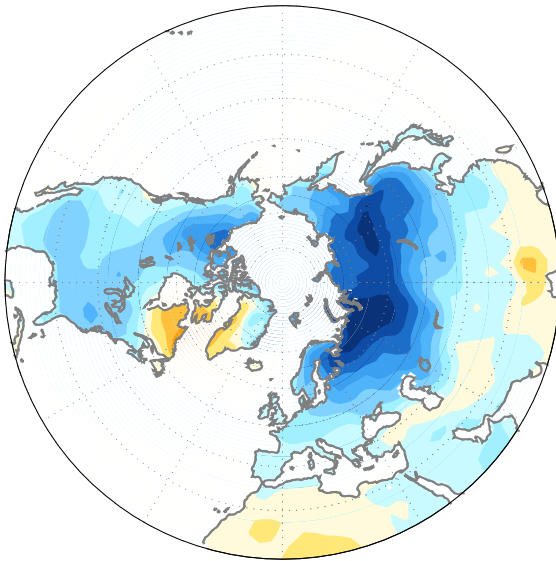
60 days following strong stratospheric winds



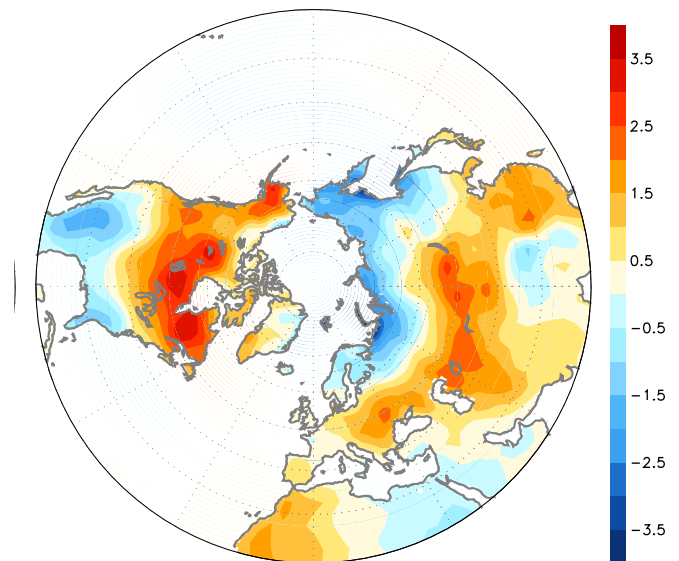
From Baldwin and Dunkerton., 2001

Surface temperature anomalies

Days 1-60 following
stratospheric anomalies



ENSO (warm-cold)



From Thompson et al., *J. Climate* 2002

Observed Average Surface Pressure Anomalies (hPa)

Storm Tracks

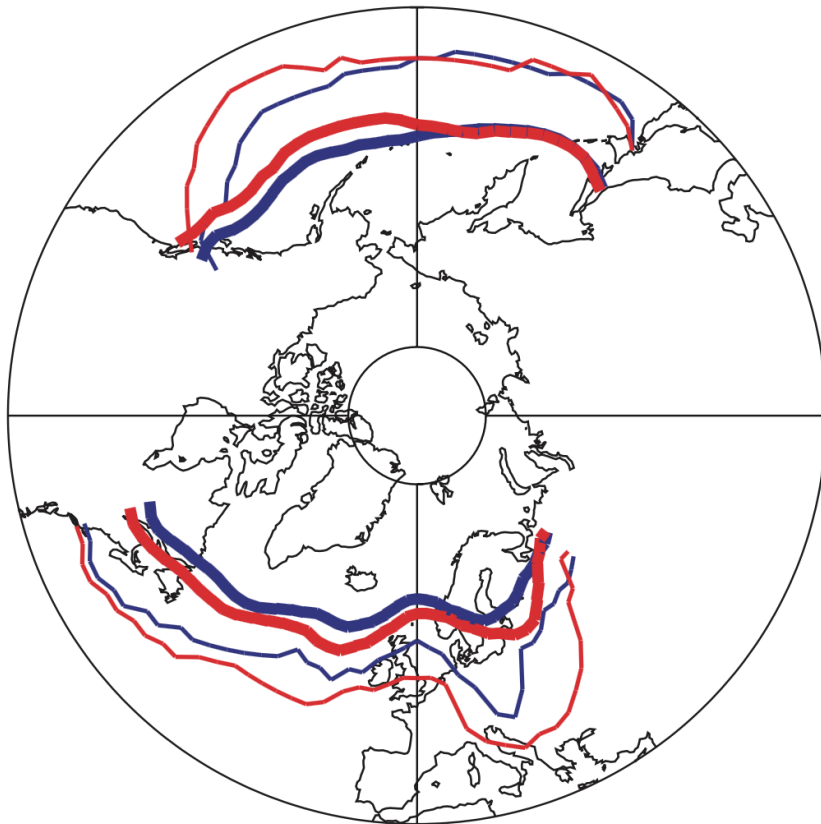
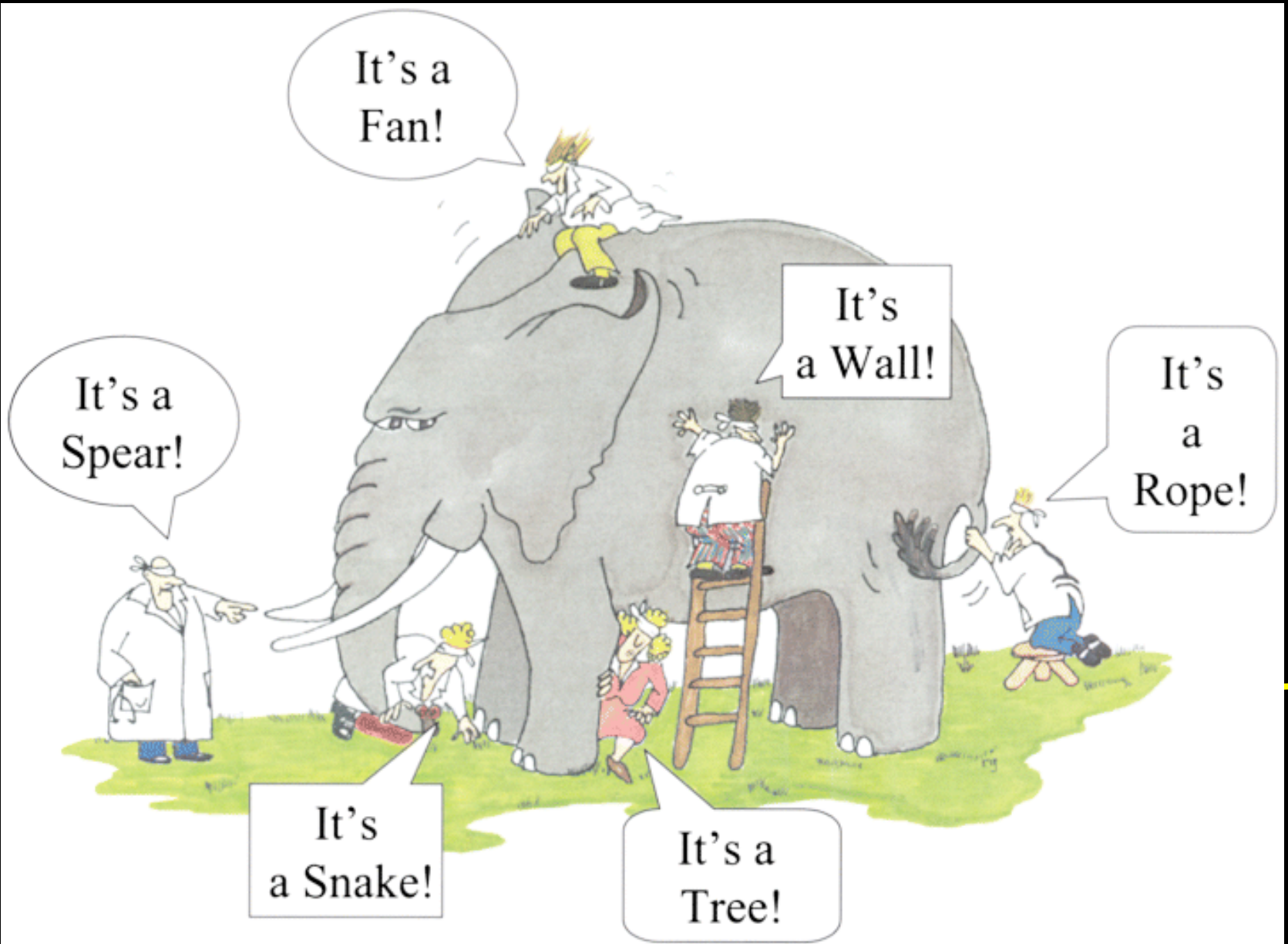


Fig. 5. Average latitudes of surface cyclones (defined as closed low-pressure centers less than 1000 hPa) in the Atlantic and Pacific sectors for the 1080 days during weak vortex regimes (thick red lines) and the 1800 days during strong vortex regimes (thick blue lines). The thin lines indicate the lowest latitude at which a cyclone frequency of one per two weeks is expected. The data span 1961–1998, and each data point represents the average of a 15° band in longitude.

From Baldwin and Dunkerton, 2001

Weather Extremes Related to Stratospheric Variability

- Severe cold weather at high latitudes is more common during weak vortex events.
- Winter weather extremes (low temperatures, snow, etc.) are much more common during weak vortex events.
- Atlantic blocking occurs almost exclusively during weak vortex events.
- Strong winds and ocean wave events are much more common during strong vortex events.



It's a Fan!

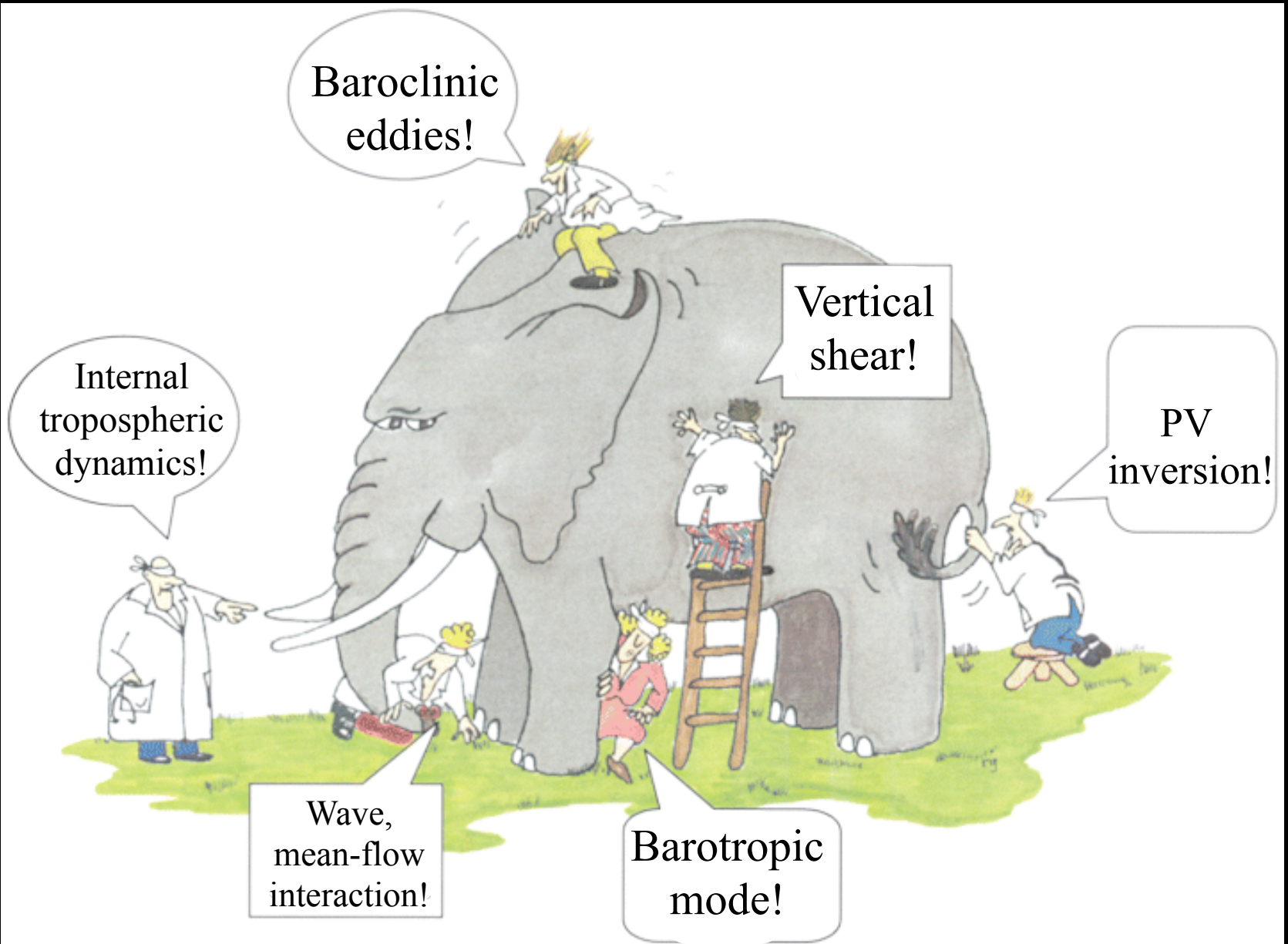
It's a Spear!

It's a Wall!

It's a Rope!

It's a Snake!

It's a Tree!



Baroclinic eddies!

Vertical shear!

PV inversion!

Internal tropospheric dynamics!

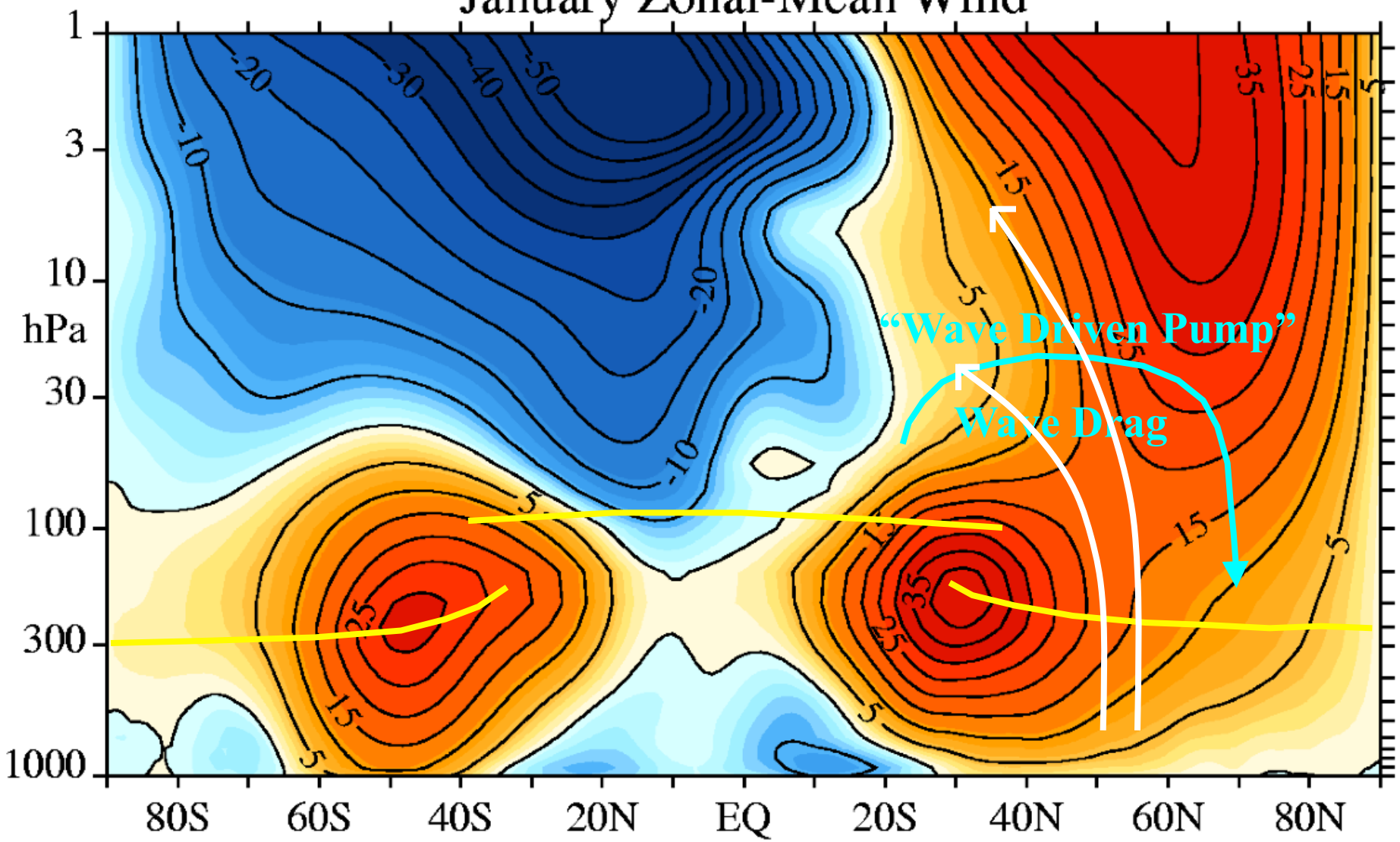
Wave, mean-flow interaction!

Barotropic mode!

- Baldwin and Dunkerton (*JGR* 1999) suggested that the **redistribution of mass in the stratosphere**, in response to changes in wave driving, may be sufficient to influence the surface pressure significantly, consistent with the theoretical results of Haynes and Shepherd (1989).

- Baldwin and Dunkerton (*JGR* 1999) suggested that the **redistribution of mass in the stratosphere**, in response to changes in wave driving, may be sufficient to influence the surface pressure significantly, consistent with the theoretical results of Haynes and Shepherd (1989).
- Ambaum and Hoskins (*JClim* 2002) used “PV thinking” to explain how stratospheric PV anomalies affect surface pressure.

January Zonal-Mean Wind



Anomalous wave drag leads to variations in vortex strength

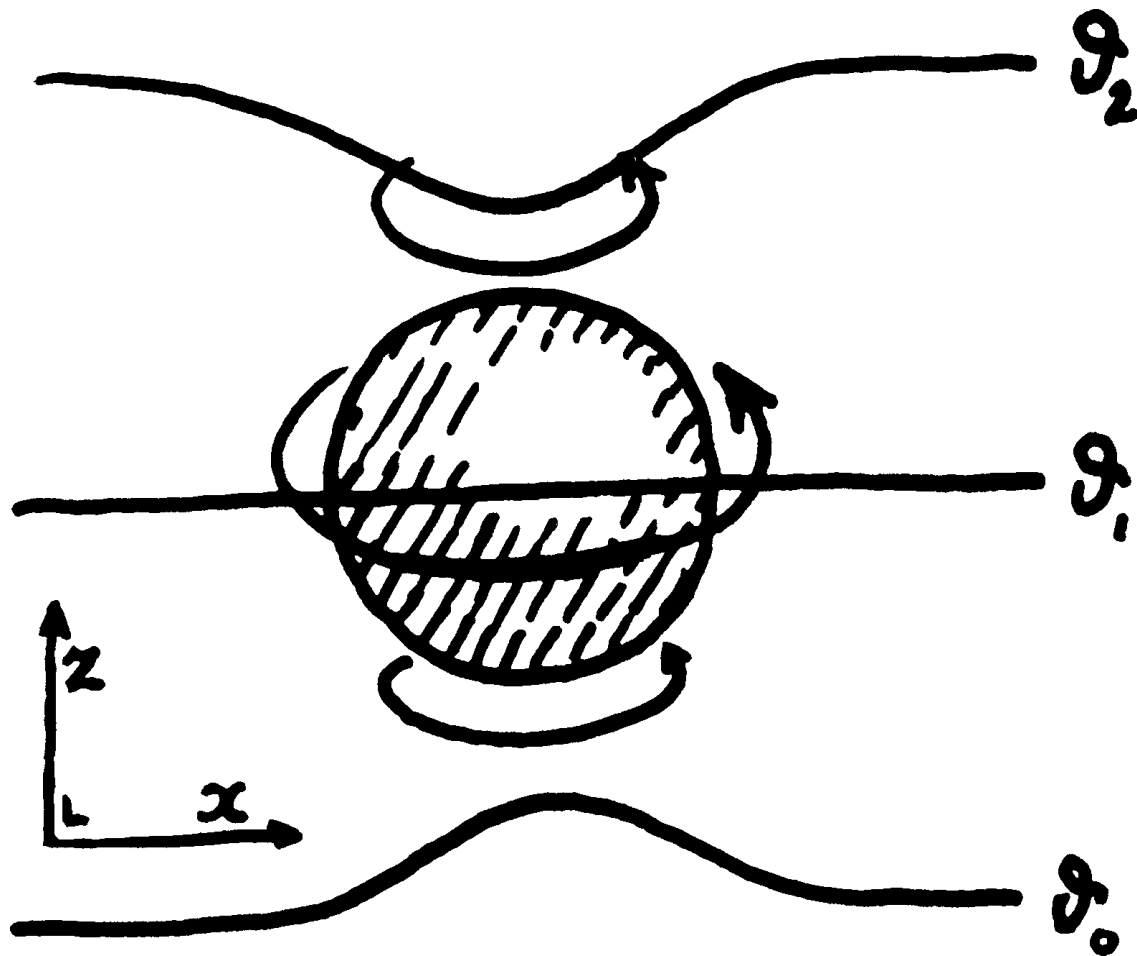
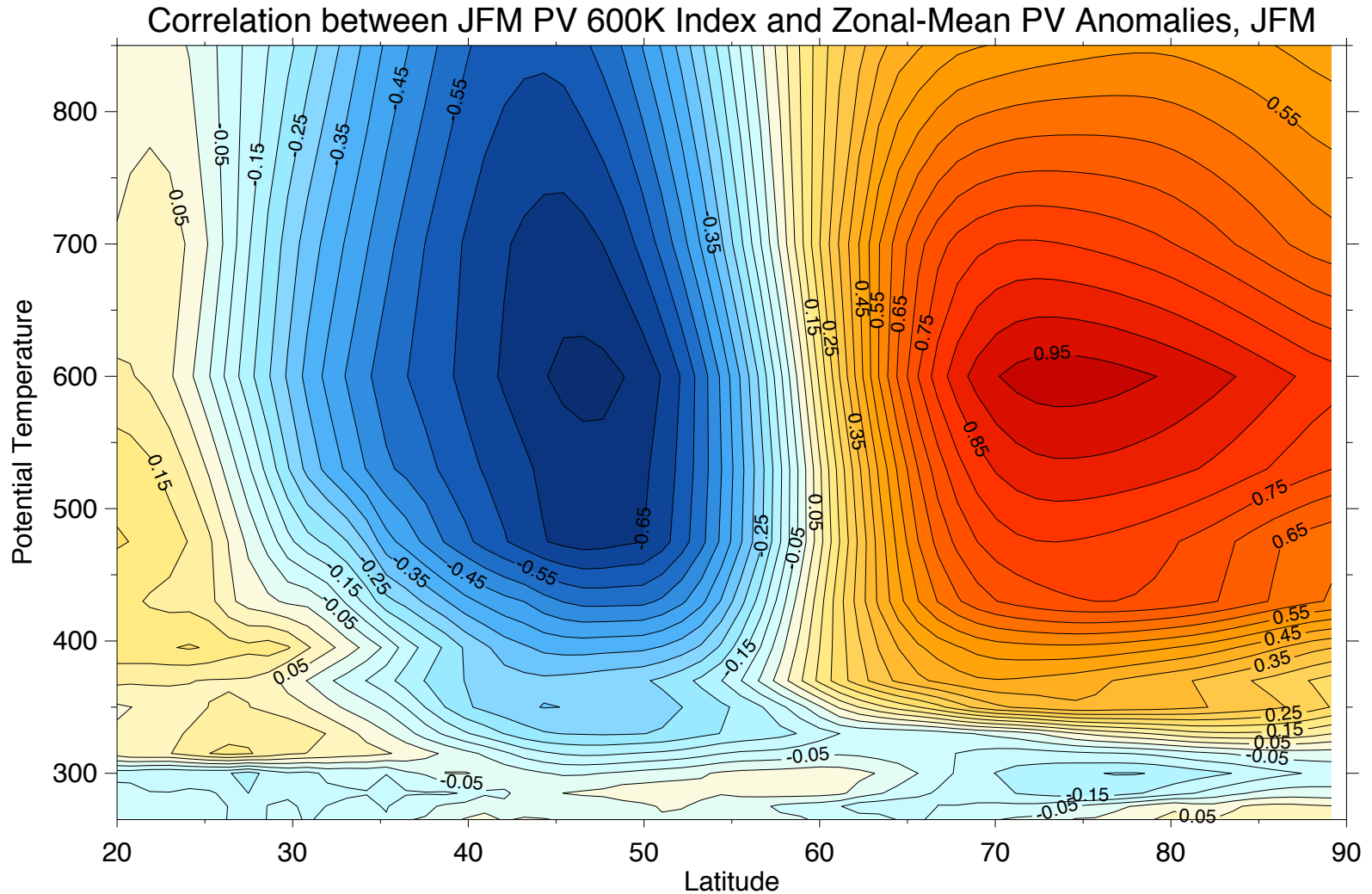


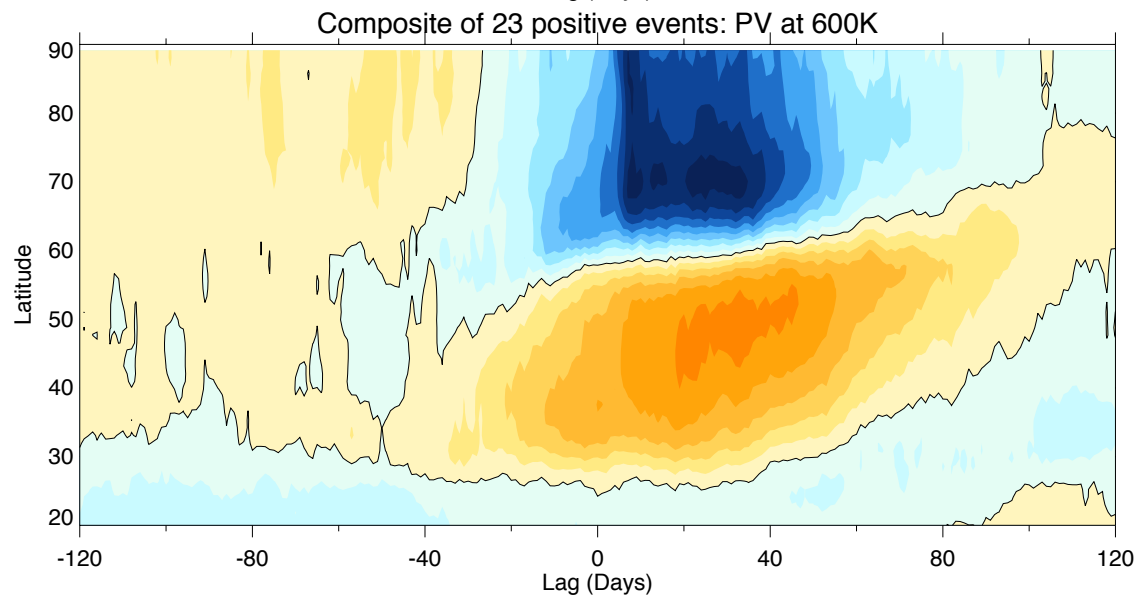
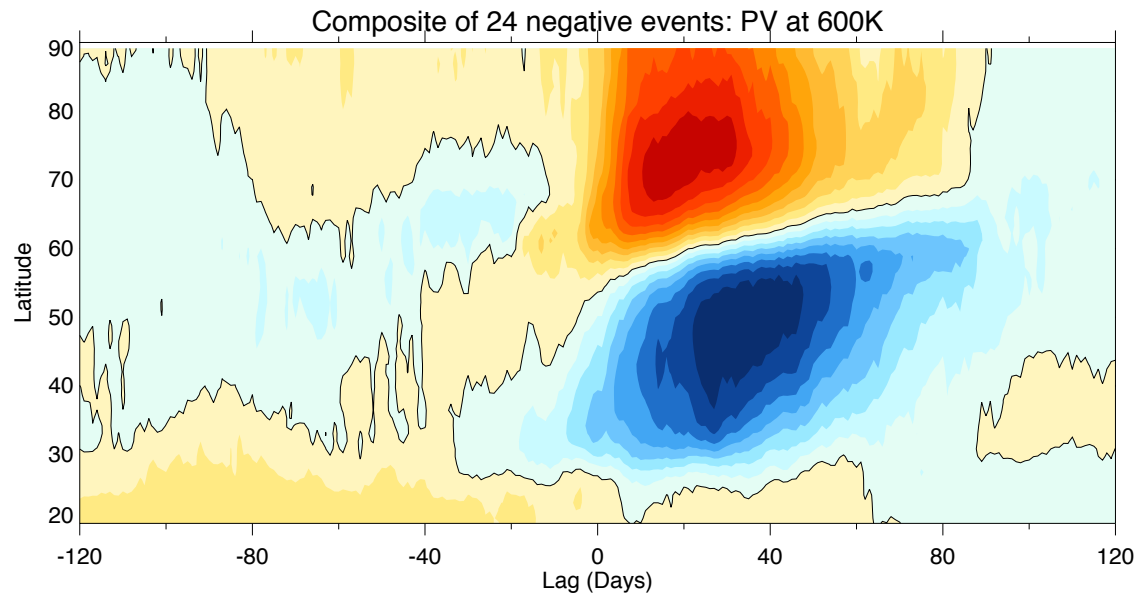
FIG. 4. Schematic of the bending of isentropic surfaces (labeled θ_0 , θ_1 , and θ_2) toward a positive potential vorticity anomaly. The arrows represent winds associated with the potential vorticity anomaly, becoming weaker away from the anomaly.

Diagram from Ambaum and Hoskins *J Climate* (2002).

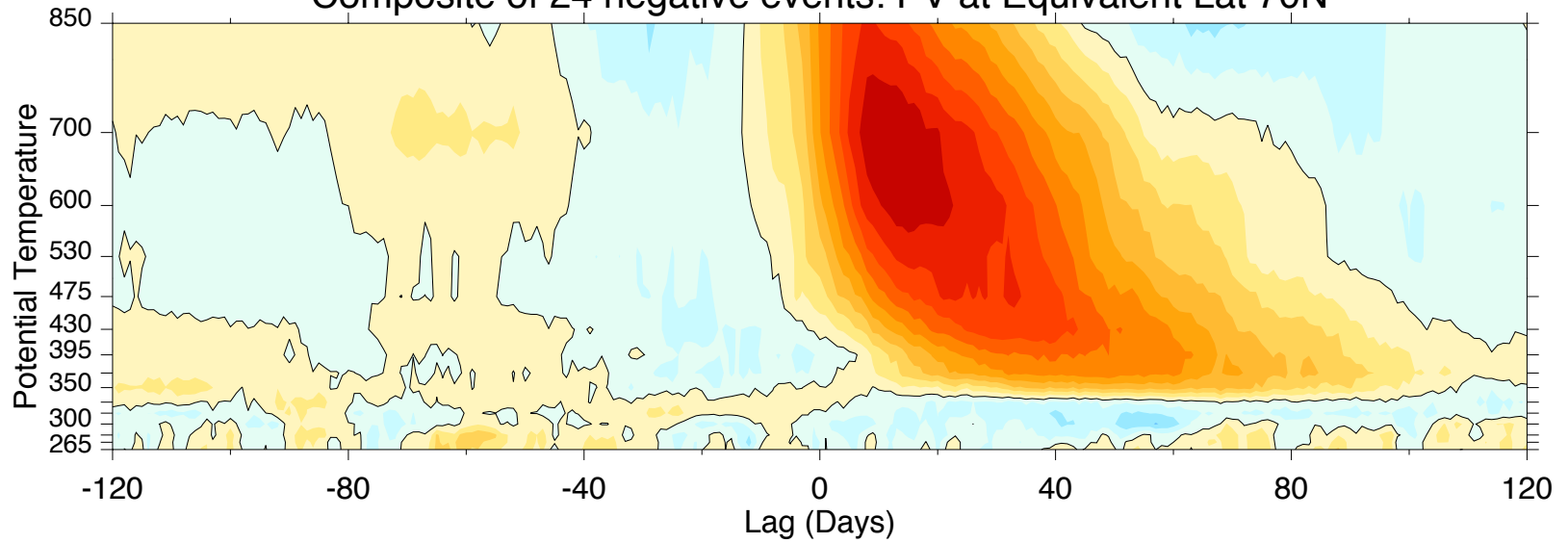
Polar cap “PV600K Index” ~20-25 hPa



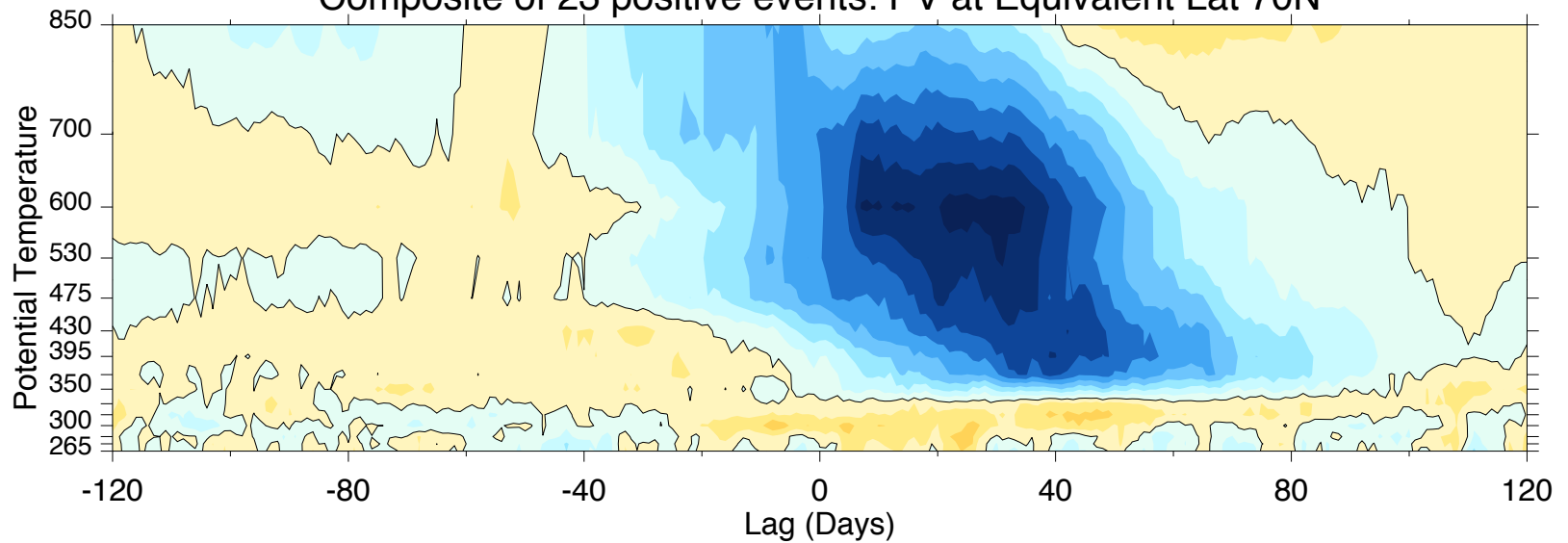
Create an index of vortex strength as defined by PV at 600K (20-25 hPa).



Composite of 24 negative events: PV at Equivalent Lat 70N

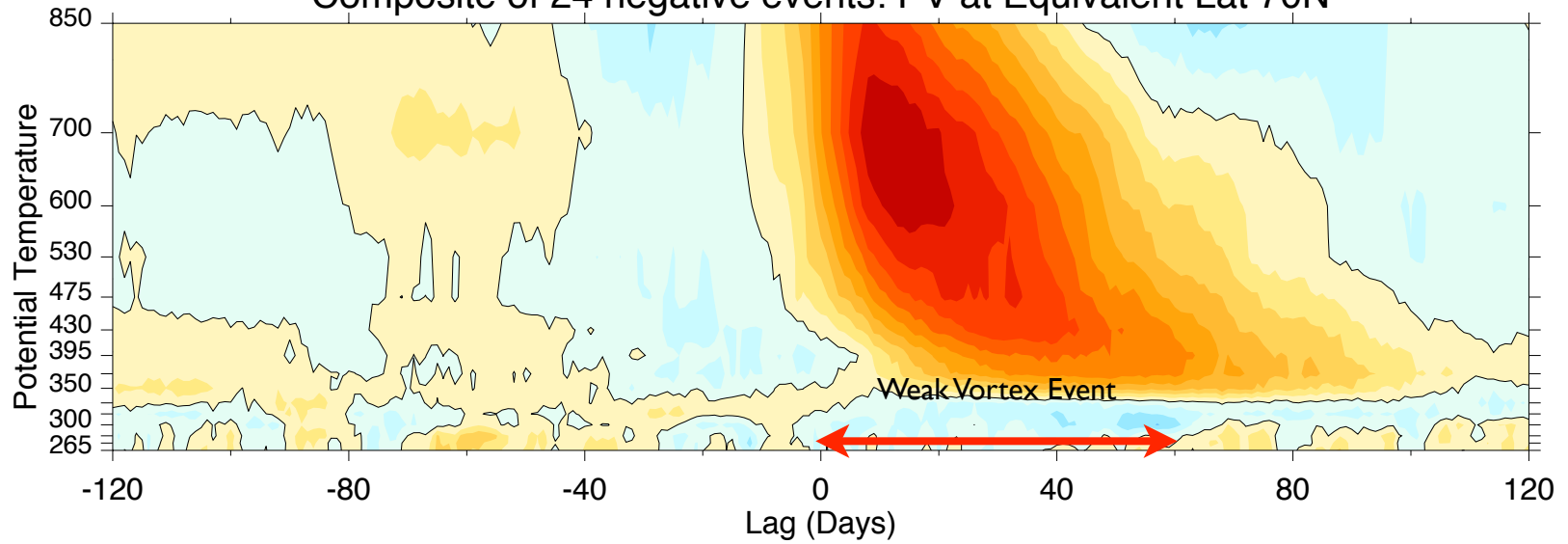


Composite of 23 positive events: PV at Equivalent Lat 70N

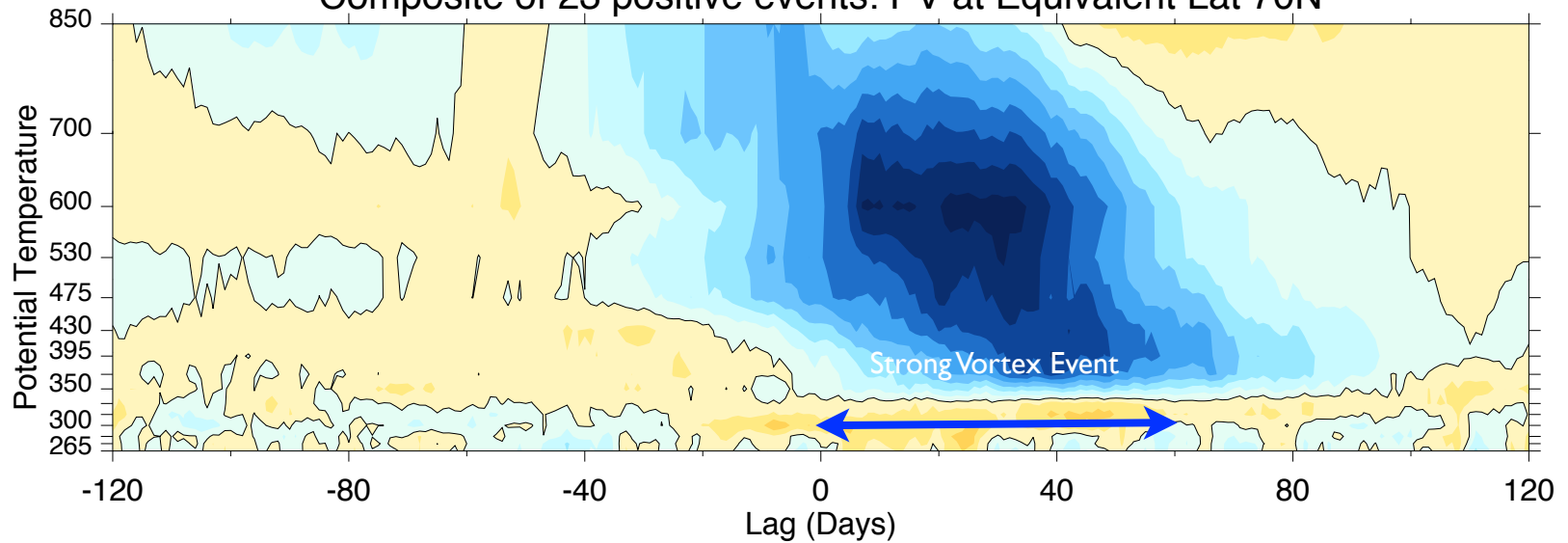


From Baldwin and Birner, in prep.

Composite of 24 negative events: PV at Equivalent Lat 70N



Composite of 23 positive events: PV at Equivalent Lat 70N



From Baldwin and Birner, in prep.

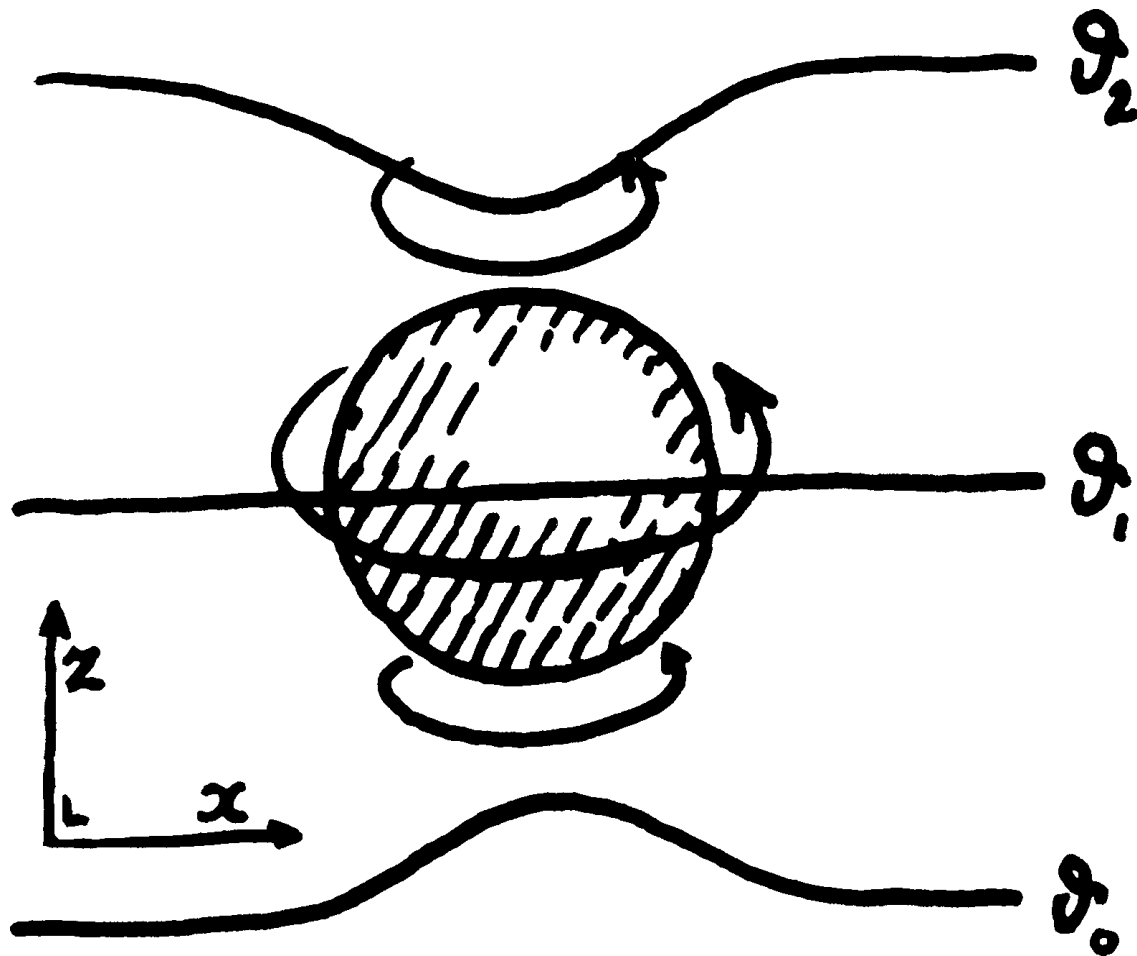
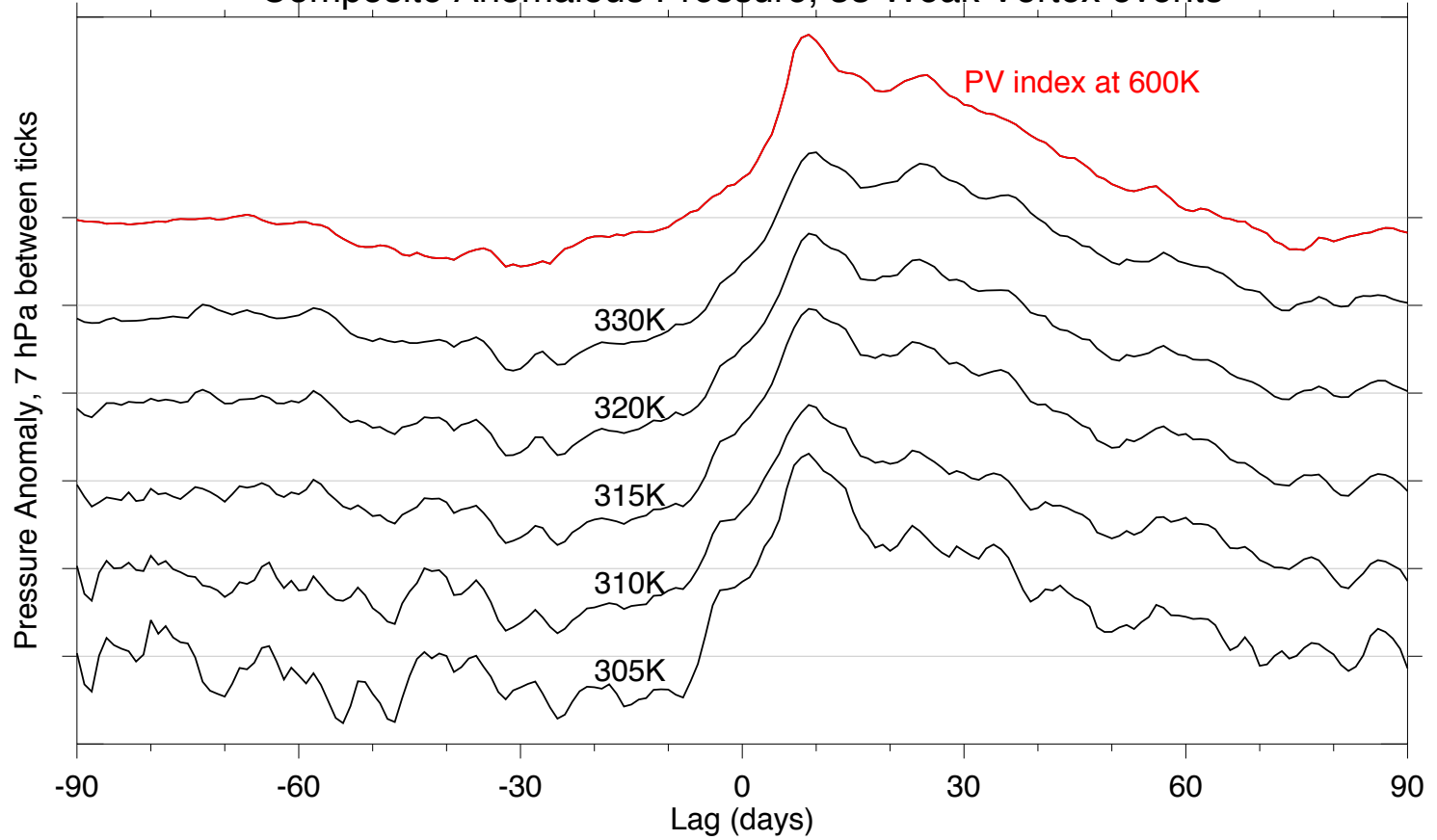
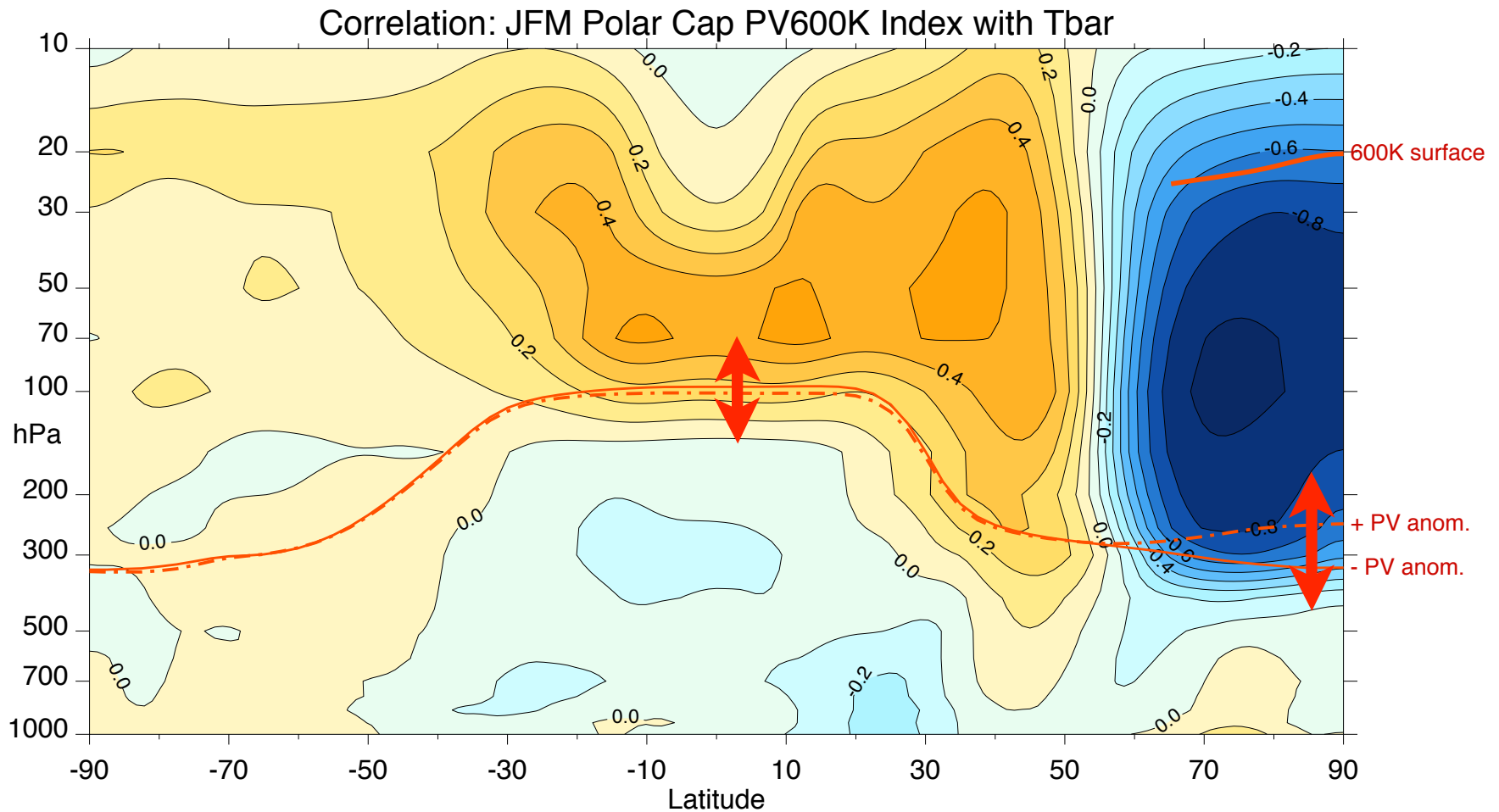


FIG. 4. Schematic of the bending of isentropic surfaces (labeled θ_0 , θ_1 , and θ_2) toward a positive potential vorticity anomaly. The arrows represent winds associated with the potential vorticity anomaly, becoming weaker away from the anomaly.

Diagram from Ambaum and Hoskins *J Climate* (2002).

Composite Anomalous Pressure, 33 Weak Vortex events





Correlation during winter (JFM) between the 600K PV index and zonal-mean temperature. The JFM daily correlation between PV530 and polar cap tropopause T anomalies is 0.90.

From Baldwin and Birner, *in prep.*

Effects on baroclinic eddies

Effects on baroclinic eddies

- Papritz and Spengler (2015, QJ) proposed using the **slope of isentropic surfaces** as a measure for baroclinicity.

Effects on baroclinic eddies

- Papritz and Spengler (2015, QJ) proposed using the **slope of isentropic surfaces** as a measure for baroclinicity.

Effects on baroclinic eddies

- Papritz and Spengler (2015, QJ) proposed using the **slope of isentropic surfaces** as a measure for baroclinicity.
- The larger the slope, the larger the potential for baroclinic disturbances to gain kinetic energy by baroclinic conversion.

Effects on baroclinic eddies

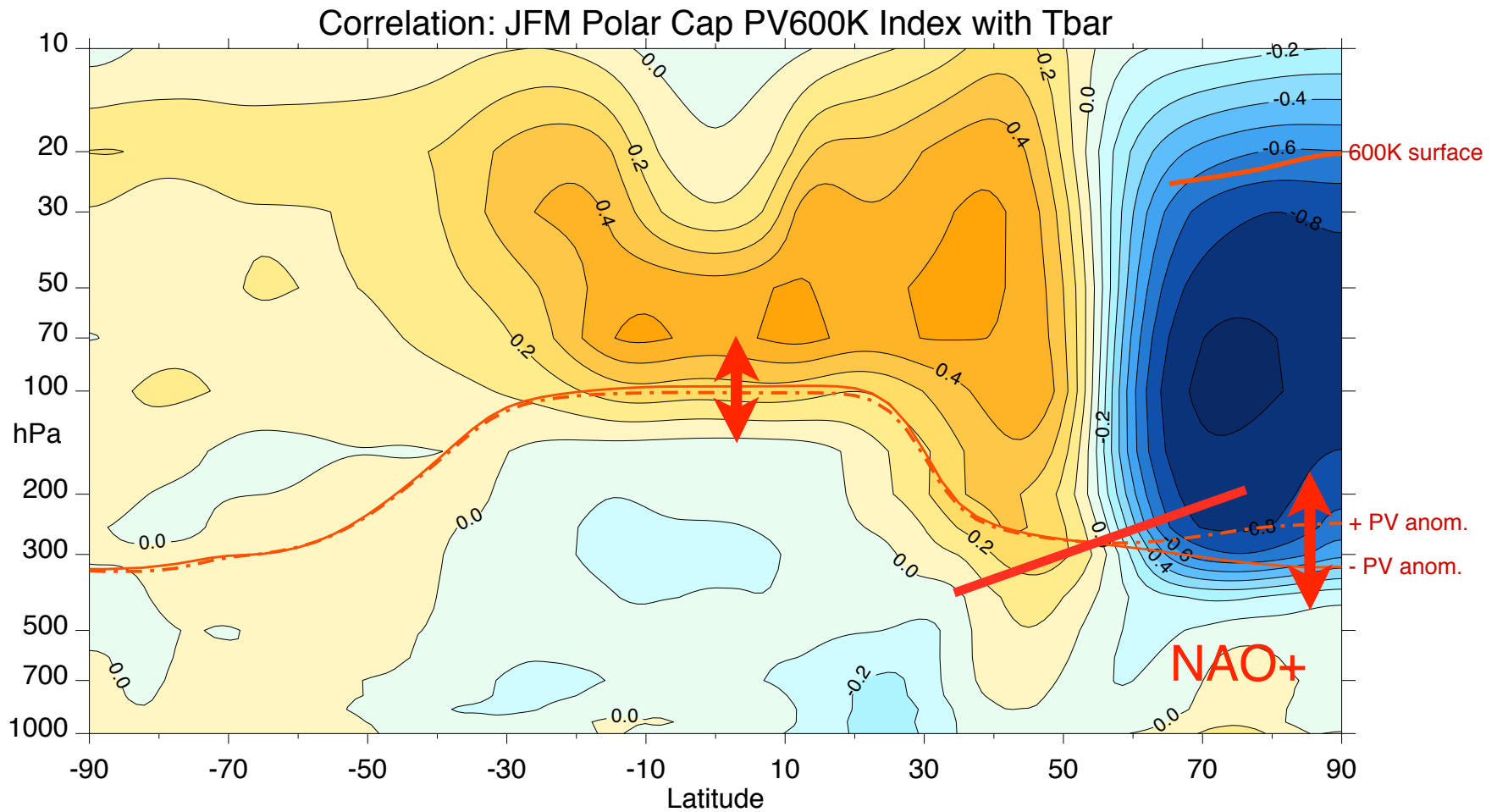
- Papritz and Spengler (2015, QJ) proposed using the **slope of isentropic surfaces** as a measure for baroclinicity.
- The larger the slope, the larger the potential for baroclinic disturbances to gain kinetic energy by baroclinic conversion.

Effects on baroclinic eddies

- Papritz and Spengler (2015, QJ) proposed using the **slope of isentropic surfaces** as a measure for baroclinicity.
- The larger the slope, the larger the potential for baroclinic disturbances to gain kinetic energy by baroclinic conversion.
- Stratospheric PV variations affect directly the slope of isentropic surfaces near and below the tropopause.

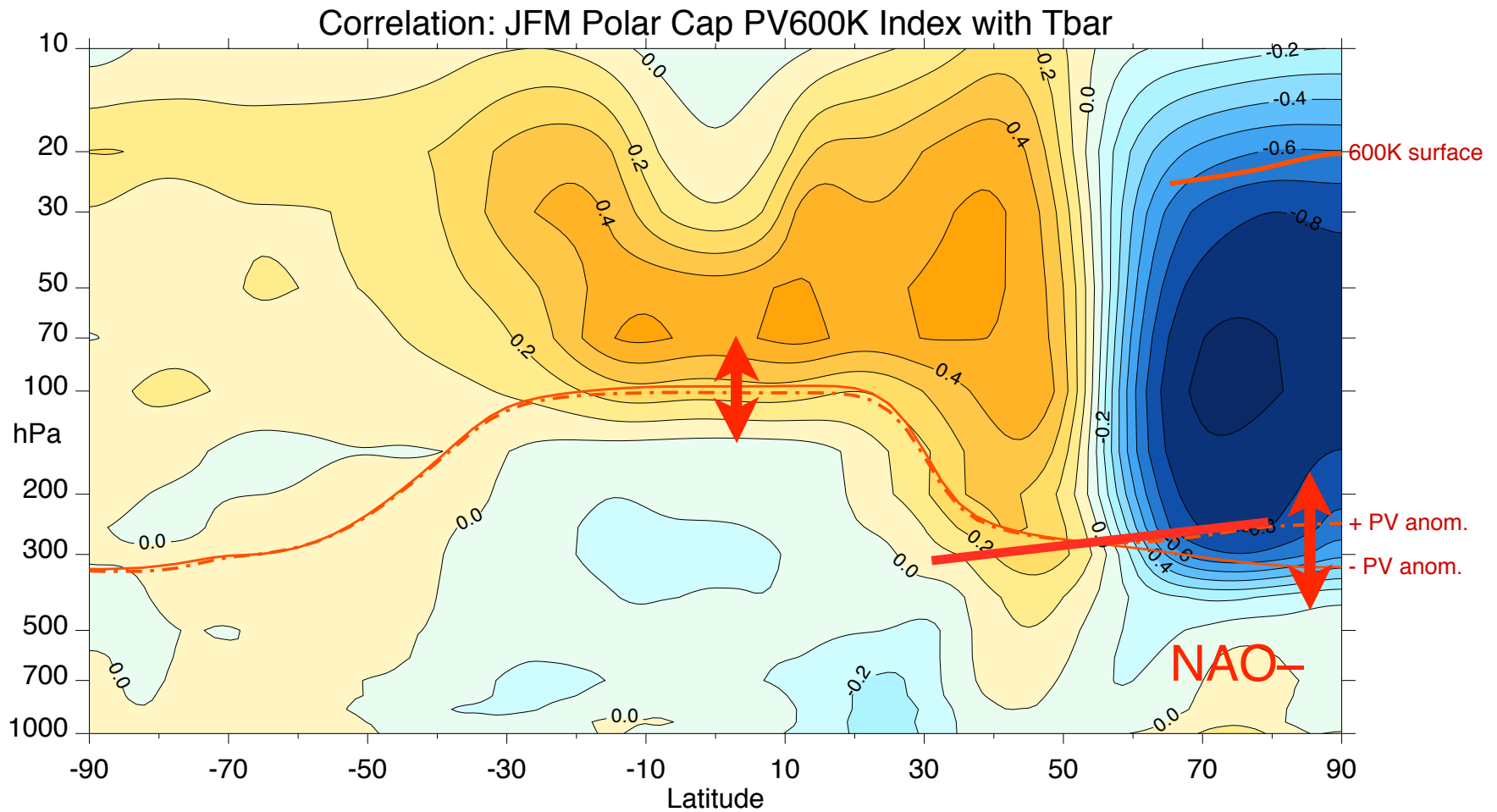
Effects on baroclinic eddies

- Papritz and Spengler (2015, QJ) proposed using the **slope of isentropic surfaces** as a measure for baroclinicity.
- The larger the slope, the larger the potential for baroclinic disturbances to gain kinetic energy by baroclinic conversion.
- Stratospheric PV variations affect directly the slope of isentropic surfaces near and below the tropopause.



Correlation during winter (JFM) between the 600K PV index and zonal-mean temperature. The JFM daily correlation between PV530 and polar cap tropopause T anomalies is 0.90.

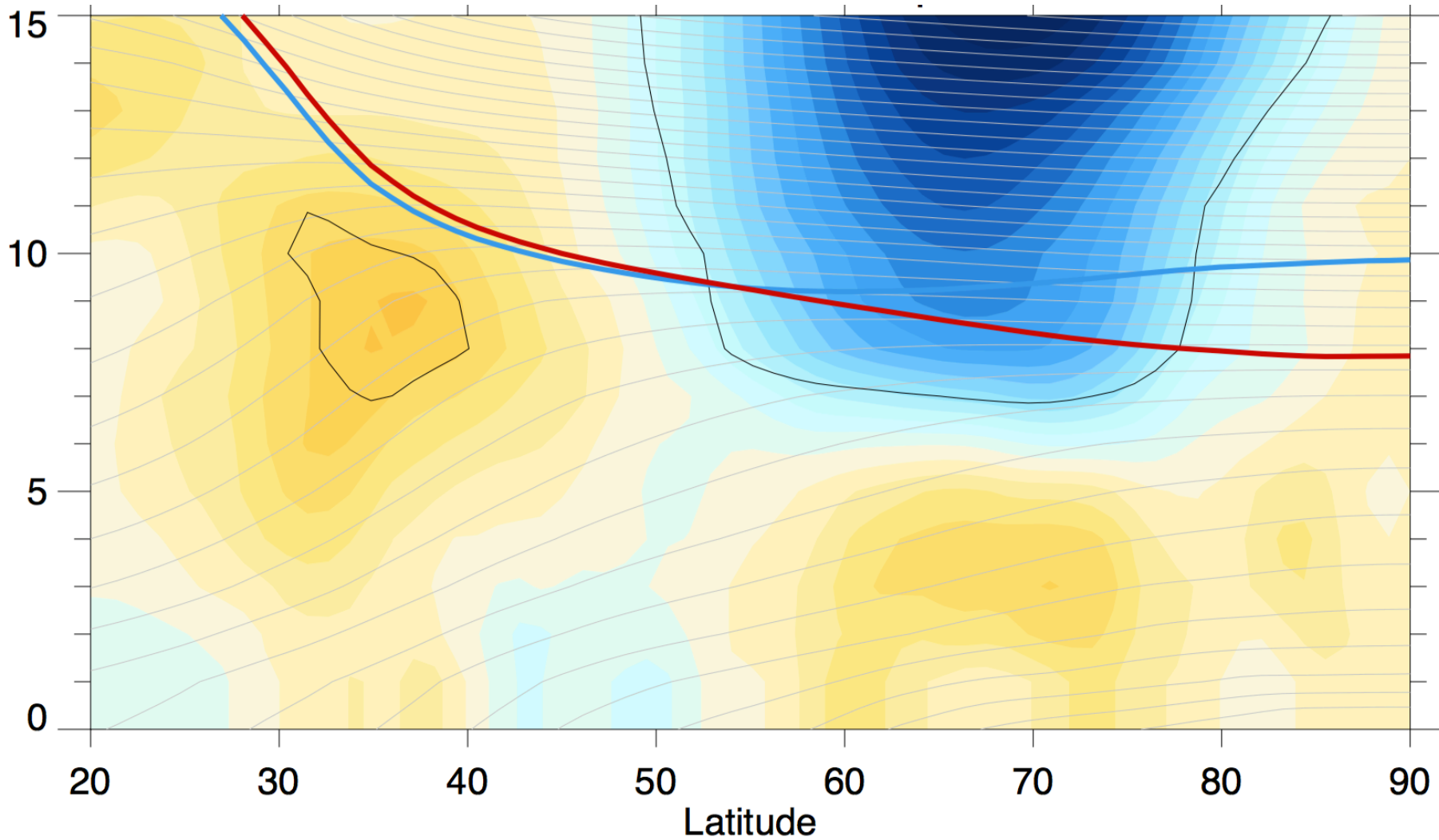
From Baldwin and Birner, *in prep.*



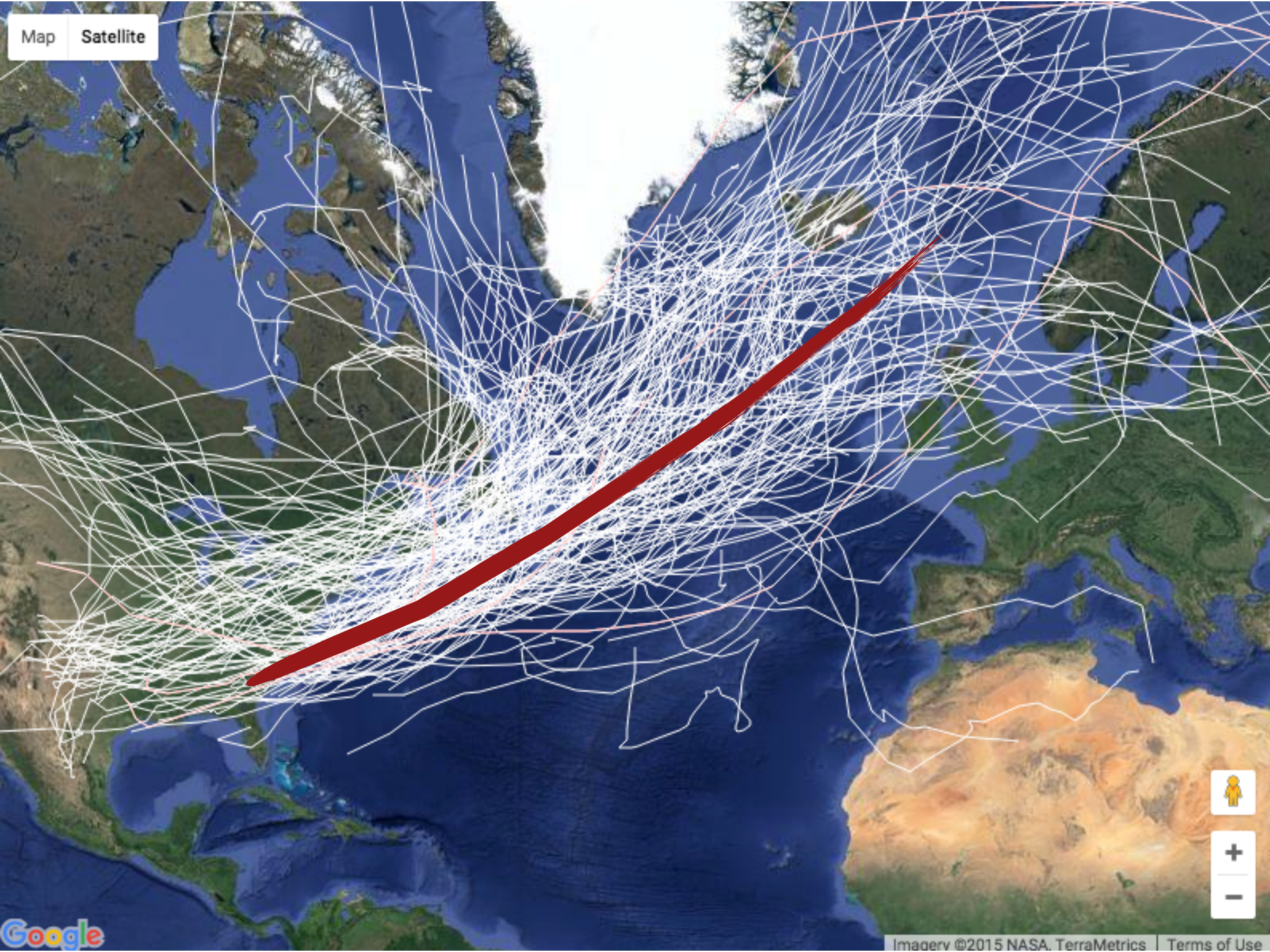
Correlation during winter (JFM) between the 600K PV index and zonal-mean temperature. The JFM daily correlation between PV530 and polar cap tropopause T anomalies is 0.90.

From Baldwin and Birner, *in prep.*

Anomalous Baroclinicity (slope of isentropic surfaces)

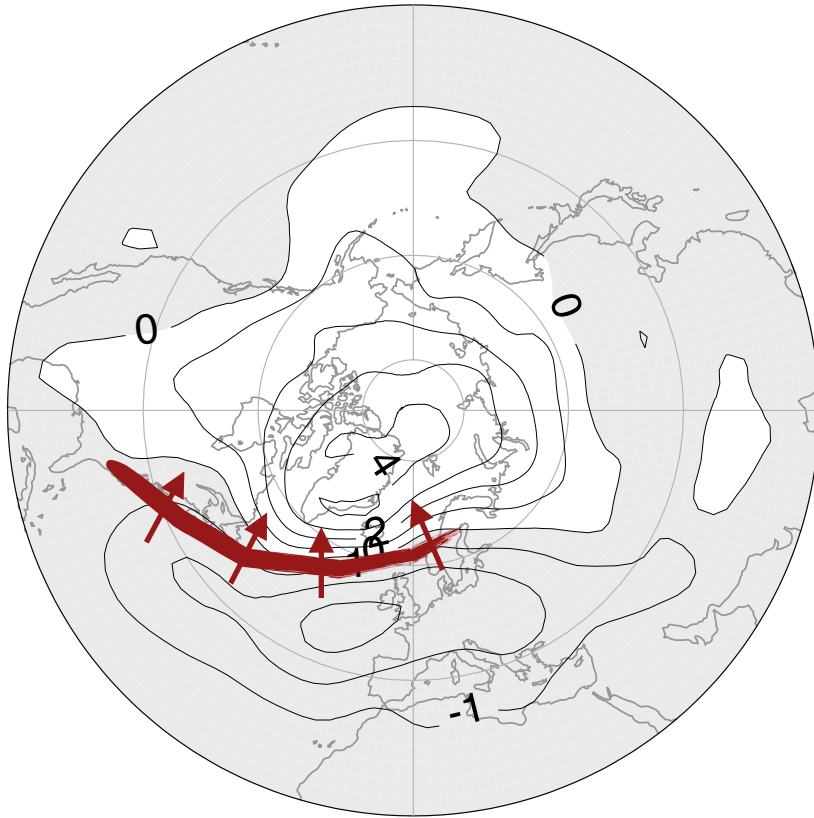


Map Satellite

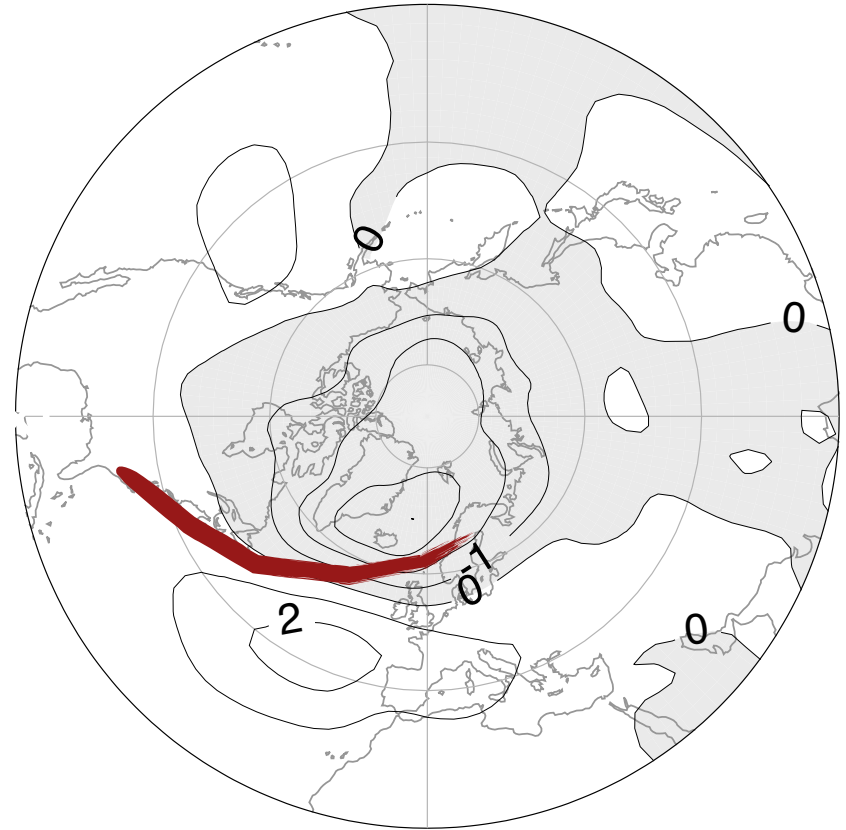


Observed Average Surface Pressure Anomalies (hPa)

60 days following weak stratospheric winds



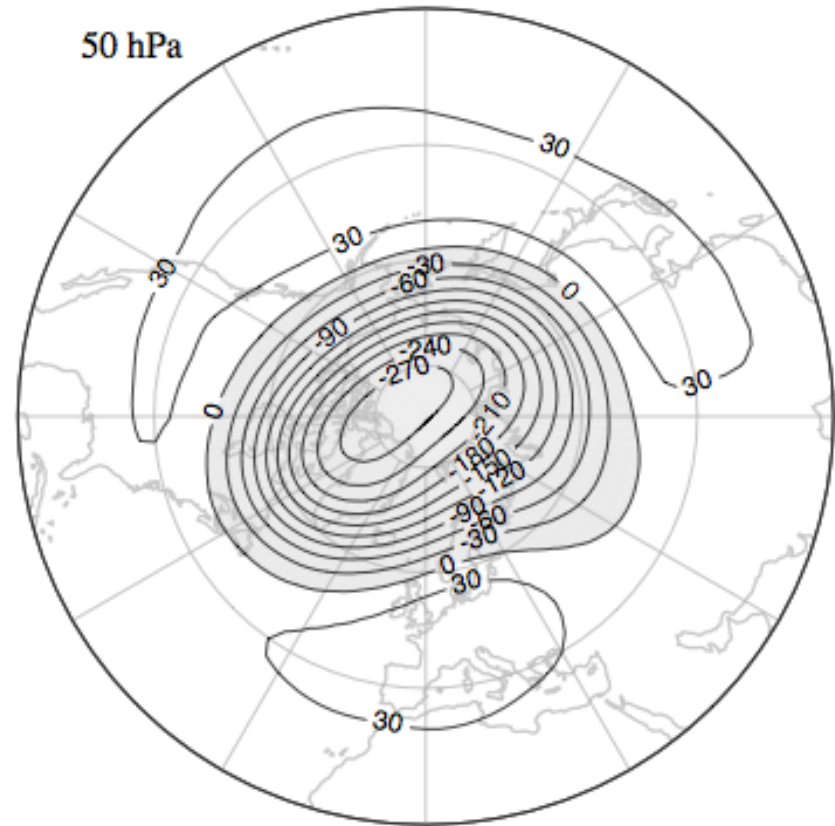
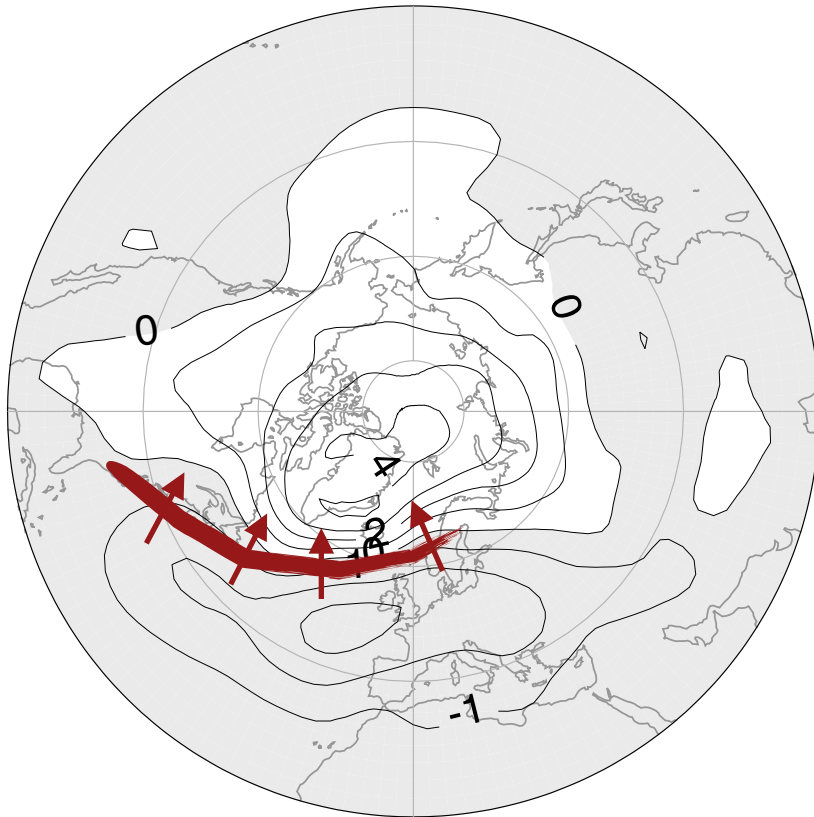
60 days following strong stratospheric winds



From Baldwin and Dunkerton., 2001

Observed Average Surface Pressure Anomalies (hPa)

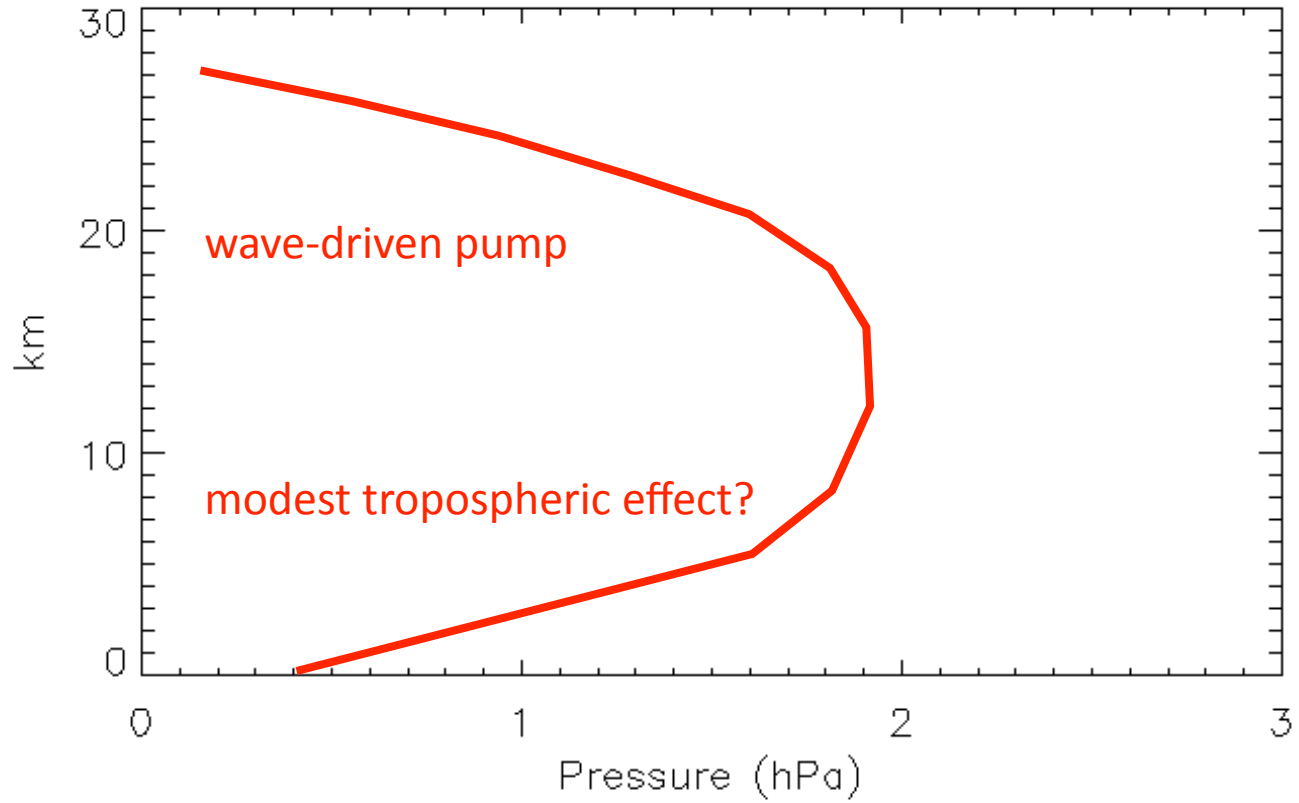
60 days following weak stratospheric winds



From Baldwin and Dunkerton., 2001

Movement of mass by the wave-driven pump

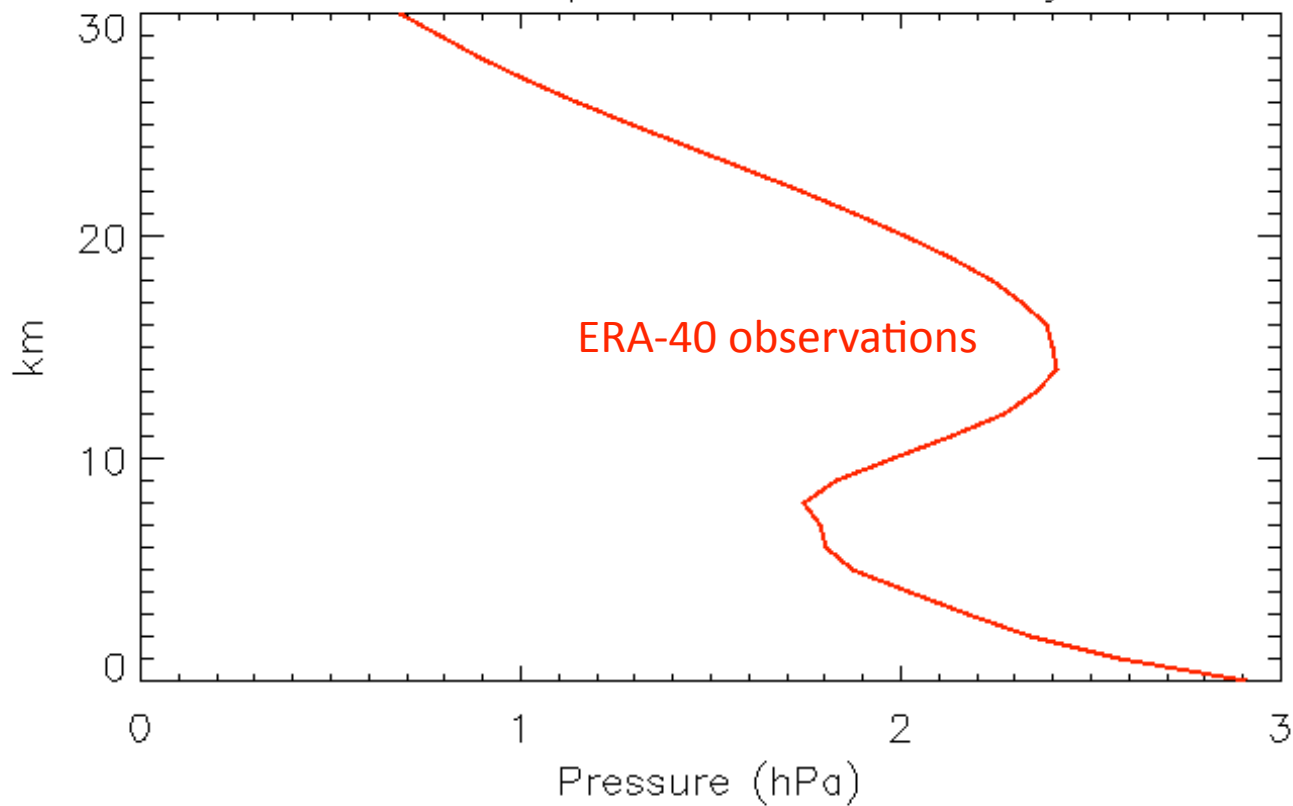
Polar Cap Pressure Anomaly



Diagnostic for observations or models

Regression between PV600K index and Polar Cap p'

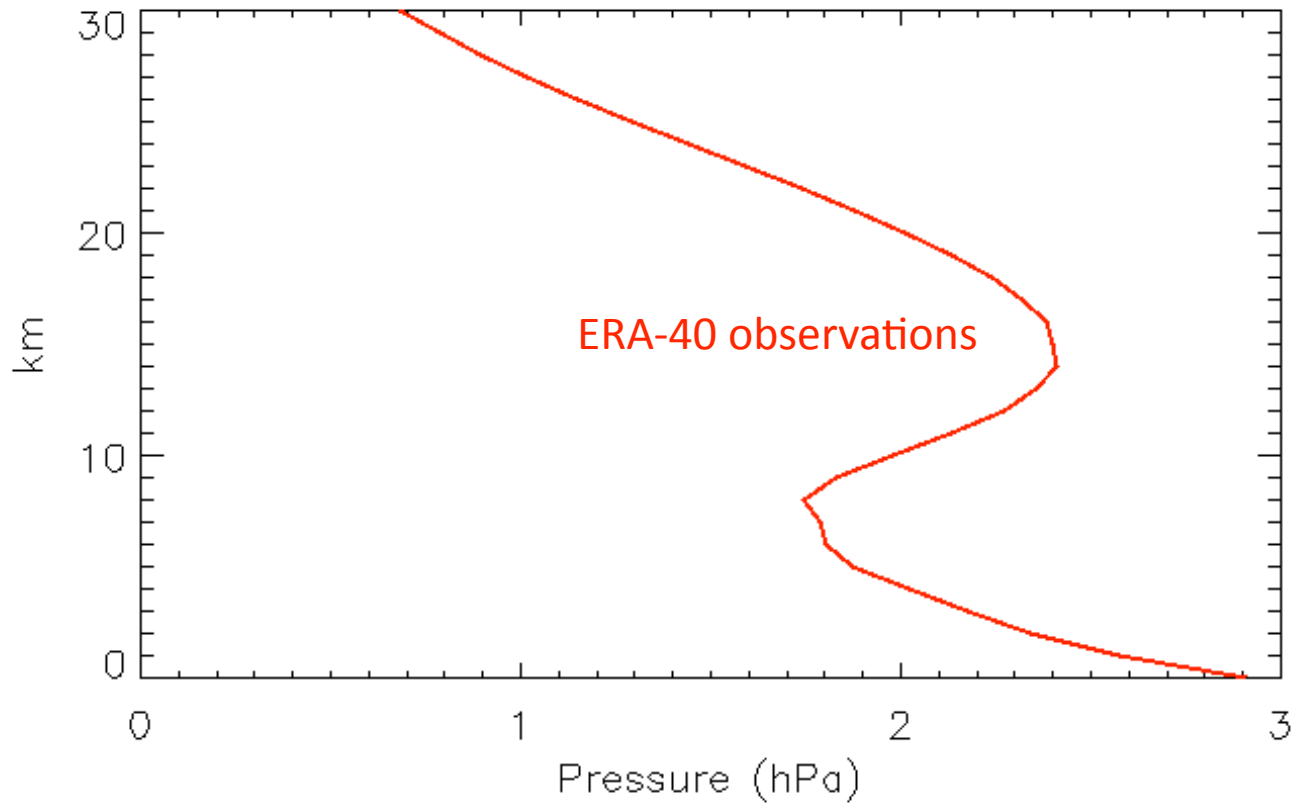
Polar Cap Pressure Anomaly



Diagnostic for observations or models

Regression between PV600K index and Polar Cap p'

Polar Cap Pressure Anomaly



↔
Tropospheric amplification

Summary

Summary

- The stratospheric “wave-driven pump” creates PV anomalies corresponding to weak and strong vortex conditions. Equivalently, it moves mass into and out of the polar cap. This is the annular mode pattern.

Summary

- The stratospheric “wave-driven pump” creates PV anomalies corresponding to weak and strong vortex conditions. Equivalently, it moves mass into and out of the polar cap. This is the annular mode pattern.
- Both PV theory and mass movement explain 1) why the surface pattern looks like the NAO, and why the NAO is the preferred response to stratospheric forcing.

Summary

- The stratospheric “wave-driven pump” creates PV anomalies corresponding to weak and strong vortex conditions. Equivalently, it moves mass into and out of the polar cap. This is the annular mode pattern.
- Both PV theory and mass movement explain 1) why the surface pattern looks like the NAO, and why the NAO is the preferred response to stratospheric forcing.
- Consistent with PV theory, vertical motion in the UTLS displaces isentropic surfaces (and the tropopause) in a north-south dipole. This changes the slopes of isentropic surfaces in the UTLS—which should affect eddy growth rates, and enhance N-S movement of mass—reinforcing the NAO signal.

Summary

- The stratospheric “wave-driven pump” creates PV anomalies corresponding to weak and strong vortex conditions. Equivalently, it moves mass into and out of the polar cap. This is the annular mode pattern.
- Both PV theory and mass movement explain 1) why the surface pattern looks like the NAO, and why the NAO is the preferred response to stratospheric forcing.
- Consistent with PV theory, vertical motion in the UTLS displaces isentropic surfaces (and the tropopause) in a north-south dipole. This changes the slopes of isentropic surfaces in the UTLS—which should affect eddy growth rates, and enhance N-S movement of mass—reinforcing the NAO signal.
- The **NAO signal from the stratosphere is self reinforcing**, through modifying baroclinic eddies. Eddy processes amplify the stratospheric signal.

Summary

- The stratospheric “wave-driven pump” creates PV anomalies corresponding to weak and strong vortex conditions. Equivalently, it moves mass into and out of the polar cap. This is the annular mode pattern.
- Both PV theory and mass movement explain 1) why the surface pattern looks like the NAO, and why the NAO is the preferred response to stratospheric forcing.
- Consistent with PV theory, vertical motion in the UTLS displaces isentropic surfaces (and the tropopause) in a north-south dipole. This changes the slopes of isentropic surfaces in the UTLS—which should affect eddy growth rates, and enhance N-S movement of mass—reinforcing the NAO signal.
- The **NAO signal from the stratosphere is self reinforcing**, through modifying baroclinic eddies. Eddy processes amplify the stratospheric signal.
- A simple polar cap pressure diagnostic can be used to evaluate the fidelity of S–T coupling in models.

Summary

- The stratospheric “wave-driven pump” creates PV anomalies corresponding to weak and strong vortex conditions. Equivalently, it moves mass into and out of the polar cap. This is the annular mode pattern.
- Both PV theory and mass movement explain 1) why the surface pattern looks like the NAO, and why the NAO is the preferred response to stratospheric forcing.
- Consistent with PV theory, vertical motion in the UTLS displaces isentropic surfaces (and the tropopause) in a north-south dipole. This changes the slopes of isentropic surfaces in the UTLS—which should affect eddy growth rates, and enhance N-S movement of mass—reinforcing the NAO signal.
- The **NAO signal from the stratosphere is self reinforcing**, through modifying baroclinic eddies. Eddy processes amplify the stratospheric signal.
- A simple polar cap pressure diagnostic can be used to evaluate the fidelity of S–T coupling in models.

Summary

- The stratospheric “wave-driven pump” creates PV anomalies corresponding to weak and strong vortex conditions. Equivalently, it moves mass into and out of the polar cap. This is the annular mode pattern.
- Both PV theory and mass movement explain 1) why the surface pattern looks like the NAO, and why the NAO is the preferred response to stratospheric forcing.
- Consistent with PV theory, vertical motion in the UTLS displaces isentropic surfaces (and the tropopause) in a north-south dipole. This changes the slopes of isentropic surfaces in the UTLS—which should affect eddy growth rates, and enhance N-S movement of mass—reinforcing the NAO signal.
- The **NAO signal from the stratosphere is self reinforcing**, through modifying baroclinic eddies. Eddy processes amplify the stratospheric signal.
- A simple polar cap pressure diagnostic can be used to evaluate the fidelity of S–T coupling in models.